

Unusual Incident Report

January - December 2019, with Ten-Year Trends from 2010 - 2019

Introduction

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (DOCCS). Unusual incidents are serious occurrences that may impact upon or disrupt facility operations, have the potential for disrupting the Department's public image, or might arouse widespread public interest. All unusual incidents are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. This system can also identify all incarcerated individuals and staff listed in incidents, along with information about them, such as whether an injury was sustained by an incarcerated individual or a staff member, whether an incarcerated individual used a weapon, or whether a staff member used force.

This report includes information on unusual incidents that occurred during the current reporting year (January – December 2019), along with comparisons to trends in unusual incidents in the past ten years (2010 - 2019).

The report only covers incidents that occurred at DOCCS correctional facilities, and does not include incidents that took place at a Parole Diversion Program (PDP), Willard Drug Treatment Campus (WDTC), or those incidents involving releasees.

Table 1. Unusual Incident Type by Year: 2010 - 2019

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Incident Type	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Accident	424	463	534	572	574	521	534	554	572	584
Assault on Incarcerated Individual	675	666	652	767	860	915	1,135	1,225	1,165	1,264
Assault on Other	16	2	4	7	8	7	12	9	11	12
Assault on Staff	577	563	524	645	747	895	759	800	972	1,034
Contraband	2,494	2,452	2,546	2,959	3,612	4,748	4,745	5,234	5,337	6,126
Death	131	123	123	145	131	124	152	158	142	118
Disruption of Facility	17	13	7	4	10	7	11	13	19	17
Disruptive Behavior	340	365	468	521	506	567	632	951	1,277	1,748
Employee Job Action	0	2	1	0	0	0	0	2	1	0
Employee Misconduct	163	130	140	120	117	116	106	93	103	94
Escape	2	4	1	1	0	2	1	0	1	0
Escape Attempt	0	2	2	1	2	1	1	2	5	4
Fire	32	30	22	34	16	30	24	25	22	25
Mass Demonstration	1	1	0	0	1	0	2	1	1	2
Property Destroyed	11	14	14	3	3	4	3	8	5	10
Property Lost or Stolen	112	83	76	75	57	69	79	83	94	71
Self-Inflicted Injury	57	80	114	74	91	73	77	113	115	77
Sexual Misconduct	71	63	53	51	58	69	39	40	45	34
Suicide Attempt	117	132	145	160	182	157	187	145	149	206
Temp Release Related	129	73	36	29	28	17	23	43	32	20
Weapons Used by Staff	155	170	143	203	191	205	293	714	1,217	1,847
Other Incidents	496	549	487	532	540	603	971	890	828	1,117
Total	6,020	5,980	6,092	6,903	7,734	9,130	9,786	11,103	12,113	14,410

Table 1 displays the number of unusual incidents by type from 2010 through 2019. The number of unusual incidents has increased 139% from 6,020 in 2010 to 14,410 in 2019. These higher incident numbers were largely due to increases in assaults on incarcerated individuals (+87%), assaults on staff (+79%), contraband (+146%), disruptive behavior (+414%), and weapons used by staff (+1,092%).

Table 2. Other Unusual Incidents by Type and Year: 2010 - 2019

Table 2: Strict Strasdart			<u>, </u>	pe ai						
Incident Type	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
AED Use	68	49	51	67	65	81	127	119	97	101
Arrest of Non-Parolee/Non-Employee	0	0	0	1	1	1	0	0	2	1
Attempted Assault Body Fluid/Human Waste	4	2	1	3	2	4	5	7	7	9
Contagious Disease	1	0	1	0	0	0	0	0	0	14
Drone	0	0	0	0	0	0	0	0	0	4
Employee Order of Protection	0	0	0	0	0	0	0	0	0	15
Erroneous Release	4	3	3	2	1	6	4	1	1	2
Family Reunion Visit Other	4	3	2	3	6	3	3	3	1	2
Family Reunion Visit Termination	13	16	13	8	5	5	11	8	11	7
Hunger Strike	8	20	26	16	24	30	26	33	26	23
Medical Emergency	5	3	2	2	9	7	1	1	0	0
Narcan Use	0	0	0	0	0	0	0	0	0	281
Overall Facility Search	5	8	4	9	6	8	9	5	6	6
Pregnant Individual Shackled	0	0	0	0	0	0	0	1	1	2
Pregnant Individual- Staff in Delivery Room	0	0	0	0	0	0	2	0	3	0
Restraint Chair Use	1	10	4	5	7	3	6	12	5	3
Significant Exposure	24	32	31	35	38	46	49	42	56	61
Spit Net Use	57	50	50	63	65	81	85	81	108	113
Visitor Arrest	115	117	126	107	126	172	389	475	408	406
Visitor Limited Visual Search	171	222	161	200	165	144	222	74	82	55
Visitor Strip Search	3	1	2	0	1	3	4	1	1	2
Other	13	13	10	11	19	9	28	27	13	10
Total	496	549	487	532	540	603	971	890	828	1,117

Table 2 presents the specific unusual incident types that are combined together under the label of other incidents in Table 1. There were 1,117 other incidents in 2019, compared to 496 in 2010, an increase of 125%. The largest category among other incidents is visitor arrests. There were 406 visitor arrests in 2019, which accounted for 36% of other unusual incidents and represented a 253% increase from 2010.

Narcan use was added as an unusual incident type in 2019. There were 281 incidents with Narcan use, which represented 25% of other unusual incidents in 2019.

Table 3. Select Incidents by Facility, January - December 2019

Table 3. Select Incidents by Facility, January - December 2019											
Correctional Facility	Assault on	Assault	Contraband	Disruptive	Weapons	All	Total				
	Incar. Indiv.	on Staff		Behavior	Used by Staff	Other	Incidents				
Maximum Security											
Attica	36	35	252	102	105	130	660				
Auburn	117	49	359	91	129	85	830				
Bedford Hills	6	33	34	8	2	60	143				
Clinton	150	42	364	161	202	132	1,051				
Coxsackie	24	32	85	34	55	35	265				
Downstate	21	15	85	33	27	63	244				
Eastern	6	1	74	9	5	53	148				
Elmira	125	43	222	65	88	95	638				
Five Points	73	26	196	109	128	55	587				
Great Meadow	117	67	354	117	142	70	867				
Green Haven	66	60	261	94	113	105	699				
Shawangunk	0	5	59	6	5	61	136				
Sing Sing	49	85	308	75	101	101	719				
Southport	0	18	31	15	18	12	94				
Sullivan	3	55	67	52	49	24	250				
Upstate	1	24	54	51	44	42	216				
Wende	20	20	132	37	43	45	297				
Sub-total	814	610	2,937	1,059	1,256	1,168	7,844				
Consider the control of Children			·	•	·	,	,				
Special Housing SHU200	0	0	00	40	0	40	0.4				
Collins SHU200	0	6	22	12	6	18	64				
Fishkill SHU200	0	12	22	17	21	12	84				
Gouverneur SHU200	0	3	21	4	2	3	33				
Greene SHU200	0	16	10	15	10	4	55				
Lakeview SHU200	0	5	3	1	0	15	24				
Mid-State SHU200	0	4	8	5	1	7	25				
Orleans SHU200	0	46	86	54	4	95	285				
Sub-total	1	37	77	47	44	26	232				
Residential Mental Health T	reatment Unit	t									
Bedford Hills TBU	0	12	1	1	1	11	26				
Coxsackie RMHU	0	2	2	0	1	1	6				
Five Points RMHU	5	33	18	25	15	11	107				
Great Meadow BHU	0	17	5	7	3	9	41				
Marcy RMHU	4	35	18	25	27	33	142				
Sub-total	9	99	44	58	47	65	322				
Pagional Madical Unit											
Regional Medical Unit Bedford Hills RMU		A.	0	4			7				
Coxsackie RMU	0	1 2	0	1	0 2	5	7 17				
Walsh RMU	2	7	2	1	0	8 48	60				
Wende RMU											
	0 3	4	1	5	2 4	13	25 109				
Sub-total	3	14	6	8	4	74	109				
Total Maxium Security	827	760	3,064	1,172	1,351	1,333	8,507				

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Table 3. Select Incidents by Facility, January - December 2019 (cont.)

1 4510 01 00					mber 2019 (c		
Correctional Facility	Assault on	Assault	Contraband	Disruptive	Weapons	All	Total
	Incar. Indiv.	on Staff		Behavior	Used by Staff	Other	Incidents
Medium Security							
Adirondack	0	3	2	0	0	2	7
Albion	2	16	37	14	4	40	113
Altona	1	0	21	9	3	16	50
Bare Hill	21	6	137	15	10	44	233
Cape Vincent	10	6	125	23	25	11	200
Cayuga	1	7	92	6	9	33	148
Collins	18	10	165	19	17	38	267
Fishkill	15	15	114	31	28	64	267
Franklin	28	10	131	17	4	39	229
Gouverneur	13	9	121	3	7	27	180
Gowanda	25	7	96	13	10	49	200
Greene	86	14	181	44	45	58	428
Groveland	13	7	121	25	21	31	218
Hale Creek	0	1	14	1	1	6	23
Hudson	0	23	9	5	2	6	45
Lakeview	0	0	0	2	2	2	6
Livingston	2	1	31	7	6	7	54
Marcy	55	16	182	105	115	47	520
Midstate	26	36	194	71	60	93	480
Mohawk	41	11	270	43	38	62	465
Ogdensburg	0	4	38	6	6	14	68
Orleans	2	5	105	17	15	35	179
Otisville	1	1	33	6	6	9	56
Riverview	5	4	84	8	5	28	134
Taconic	0	1	17	3	3	14	38
Ulster	3	6	88	8	5	32	142
Wallkill	3	6	87	6	5	16	123
Washington	41	12	152	23	15	40	283
Watertown	3	4	40	4	3	17	71
Woodbourne	1	1	17	3	0	34	56
Wyoming	19	7	238	25	22	40	351
Sub-total	435	249	2,942	562	492	954	5,634
Regional Medical Unit							
Fishkill RMU	0	1	0	0	0	6	7
Total Medium Security	435	250	2,942	562	492	960	5,641
Shock							
Moriah Shock	0	0	1	0	0	2	3
Lakeview Shock	0	2	1	3	0	11	17
Sub-total	0	2	2	3	0	13	20
Mindian Committee							
Minimum Security	0	0	40	0	0	0	40
Edgecombe	0	0	12	2	0	2	16
Edgecombe Work Release Fishkill Work Release	0	0	9	0	0	2	11
	0	0	1	0	0	3	4
Hudson Ind Train	0	0	1	0	0	0	1
Hudson Work Release	0	•	15	0	-	4	20
Lakeview Male Trans	0	4	2	0	0	2	8
Lincoln	0	0	18	0	0	10	28
Queensboro	2	6	20	1	1	7	37
Queensboro Work Release	0	2	17	0	0	10	29
Rochester	1	0	8	0	0	4	13
Sub-total	3	13	103	3	1	44	167
Total Minimum Security	3	15	105	6	1	57	187
Other							
Central Office	0	0	6	1	3	12	22
	-	_					
Grand Total	1,264	1,034	6,126	1,748	1,847	2,391	14,410

The types of contraband found in DOCCS facilities in the ten-year period from 2010 to 2019 is presented in Table 4A. Between 2010 and 2019, the total number of contraband incidents increased by 146%. Between 2018 and 2019, the number of contraband incidents increased by 15%. Aside from a few incidents, synthetic drugs were not reported until 2013. In 2019, synthetic drugs accounted for 53% of the drug contraband incidents, an increase from 2018 when synthetic drugs comprised 42% of the drug contraband incidents.

Between 2010 and 2019, besides synthetic drugs, the largest contraband increases were among prescription drugs (+537%) and cutting/stabbing weapons (+71%). During that time, cell phone recoveries decreased by 24%.

Table 4B displays the type of contraband recovered at each DOCCS facility during 2019.

Table 4A. Contraband Incidents by Type: 2010 - 2019

Homemade Alcohol	1 56 0 8 2 38 0 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Commercial Alcohol	4 13 4 28 1 56 0 8 2 38 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Commercial Alcohol	4 13 4 28 1 56 0 8 2 38 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Homemade Alcohol	4 13 4 28 1 56 0 8 2 38 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Sub-total 10	1 56 0 8 2 38 0 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Drug	1 56 0 8 2 38 0 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Cocaine	0 8 2 38 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Fentanyl	0 8 2 38 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Heroin	2 38 0 0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Illegal Drug	0 0 2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Marijuana 592 574 547 524 475 523 672 662 55 Prescription Drug 154 199 238 343 416 606 797 945 98 Synthetic Drug 1 0 2 248 674 1,104 838 1,105 1,22 Other Drug 49 59 147 71 61 98 83 102 11 Sub-total 872 909 998 1,272 1,717 2,455 2,516 2,926 2,93 Electronic Device 85 66 71 58 59 71 71 55 2,95 2,95 2,95 2,95 3 41 35 3 3 15 29 53 41 35 3 3 15 29 53 41 35 3 3 15 29 53 41 35 3 3 15 29 <td< td=""><td>2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50</td></td<>	2 506 8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Prescription Drug	8 981 3 1,912 3 129 9 3,630 3 65 3 31 3 50
Synthetic Drug	3 1,912 3 129 9 3,630 3 65 3 31 3 50
Other Drug 49 59 147 71 61 98 83 102 11 Electronic Device Beta Sub-total 872 909 998 1,272 1,717 2,455 2,516 2,926 <td>3 129 9 3,630 3 65 3 31 3 50</td>	3 129 9 3,630 3 65 3 31 3 50
Sub-total 872 909 998 1,272 1,717 2,455 2,516 2,926	3,630 3 65 3 31 3 50
Electronic Device 85 66 71 58 59 71 71 55 3 Media Storage: CD/DVD/USB 0 0 26 26 16 27 22 26 3 Other Electronic Device 45 42 33 15 29 53 41 35 35 Sub-total 130 108 130 99 104 151 134 116 10 Weapon Cut/Stab Can Lid 198 199 176 230 237 267 216 136 14 Cutting Instrument 175 212 188 206 334 476 456 512 5 Hardback Razorblade 5 8 9 8 7 6 11 3 Ice Pick 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 20 1	3 65 3 31 3 50
Cell Phone 85 66 71 58 59 71 71 55 3 Media Storage: CD/DVD/USB 0 0 26 26 16 27 22 26 3 Other Electronic Device 45 42 33 15 29 53 41 35 35 Sub-total 130 108 130 99 104 151 134 116 16 Weapon Cut/Stab Can Lid 198 199 176 230 237 267 216 136 14 Cutting Instrument 175 212 188 206 334 476 456 512 5 Hardback Razorblade 5 8 9 8 7 6 11 3 Ice Pick 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 <	3 31 3 50
Cell Phone 85 66 71 58 59 71 71 55 3 Media Storage: CD/DVD/USB 0 0 26 26 16 27 22 26 3 Other Electronic Device 45 42 33 15 29 53 41 35 35 Sub-total 130 108 130 99 104 151 134 116 16 Weapon Cut/Stab Can Lid 198 199 176 230 237 267 216 136 14 Cutting Instrument 175 212 188 206 334 476 456 512 5 Hardback Razorblade 5 8 9 8 7 6 11 3 Ice Pick 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 <	3 31 3 50
Media Storage: CD/DVD/USB 0 0 26 26 16 27 22 26 26 26 26 27 22 26 26 27 29 53 41 35	3 31 3 50
Other Electronic Device 45 42 33 15 29 53 41 35 35 Sub-total 130 108 130 99 104 151 134 116 16 Weapon Cut/Stab Can Lid 198 199 176 230 237 267 216 136 14 Cutting Instrument 175 212 188 206 334 476 456 512 5 Hardback Razorblade 5 8 9 8 7 6 11 3 Ice Pick 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 20 17 32 32 16 3 Razor Blade 276 224 256 256 269 321 354 362 3 Shank 210 204 204 227	3 50
Sub-total 130 108 130 99 104 151 134 116 10 Weapon Cut/Stab Can Lid 198 199 176 230 237 267 216 136 14 Cutting Instrument 175 212 188 206 334 476 456 512 5 Hardback Razorblade 5 8 9 8 7 6 11 3 16 12 5 Hardback Razorblade 5 8 9 8 7 6 11 3 16 12 5 Hardback Razorblade 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 20 17 32 32 16 3 Razor Blade 276 224 256 256 269 321 354 362 3 Scalpel/Exacto Blade </td <td></td>	
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Hardback Razorblade 5 8 9 8 7 6 11 3 Ice Pick 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 20 17 32 32 16 32 Razor Blade 276 224 256 256 269 321 354 362 35 Scalpel/Exacto Blade 63 80 97 68 106 140 193 242 25 Shank 210 204 204 227 244 210 204 263 20 Toothbrush 73 76 77 96 90 97 100 142 23 Utility Razorblade 22 18 9 25 19 20 19 24 32 Weapon Other 32 33 34 36 36 36 36 39 <td>9 150</td>	9 150
Hardback Razorblade 5 8 9 8 7 6 11 3 Ice Pick 193 156 180 242 261 323 279 259 25 Manufactured Knife 16 14 21 20 17 32 32 16 32 Razor Blade 276 224 256 256 269 321 354 362 35 Scalpel/Exacto Blade 63 80 97 68 106 140 193 242 25 Shank 210 204 204 227 244 210 204 263 20 Toothbrush 73 76 77 96 90 97 100 142 23 Utility Razorblade 22 18 9 25 19 20 19 24 32 Weapon Other 32 33 34 36 36 36 36 39 <td>1 452</td>	1 452
Ice Pick	4 6
Manufactured Knife 16 14 21 20 17 32 32 16 32 Razor Blade 276 224 256 256 269 321 354 362 34 Scalpel/Exacto Blade 63 80 97 68 106 140 193 242 25 Shank 210 204 204 227 244 210 204 263 26 Toothbrush 73 76 77 96 90 97 100 142 25 Utility Razorblade 22 18 9 25 19 20 19 24 25 Sub-total 1,231 1,191 1,217 1,378 1,584 1,892 1,864 1,959 2,06 Weapon Other 2 26 13 6 6 9 9 6 Explosive 0 0 0 0 0 0 0 0 </td <td>5 252</td>	5 252
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Toothbrush 73 76 77 96 90 97 100 142 23 Utility Razorblade 22 18 9 25 19 20 19 24 3 Sub-total 1,231 1,191 1,217 1,378 1,584 1,892 1,864 1,959 2,06 Weapon Other 2 6 13 6 6 9 9 6 Explosive 0 0 0 0 0 0 0 0	
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Sub-total 1,231 1,191 1,217 1,378 1,584 1,892 1,864 1,959 2,06 Weapon Other Ammunition 12 6 13 6 6 9 9 6 Explosive 0 0 0 0 0 0 0 0 0	0 22
Weapon Other 12 6 13 6 6 9 9 6 Explosive 0	
Ammunition 12 6 13 6 6 9 9 6 Explosive 0 0 0 0 0 0 1 0	1
Explosive 0 0 0 0 0 1 0	
	9 20
Manufactured Gun 1 1 1 0 0 1 0 1	0 0
	1 1
Zip Gun 1 0 0 0 0 0 0 0	0 0
	56
	1 26
Sub-total 112 88 87 90 85 116 90 88 10	1 103
Other Contraband	
	2 76
Escape Paraphernalia	0 2
	4 5
Sub-total 140 148 108 102 104 125 133 129 13	
	7 32
Grand Total 2,495 2,452 2,546 2,959 3,612 4,748 4,745 5,234 5,33	7 32 3 115

Table 4B. Number of Contraband Incidents by Facility: January - December 2019

l able 4B. Number o	Contrat	and in	Electronic		Weapon	Other	
Facility and Security Level	Alcohol	Drug	Device	Cut/Stab	Other	Contraband	Total
Maximum Security			Dovido	Odyotab	O 1.101	o o marabana	
Attica	1	90	12	136	8	5	252
Auburn	5	142	3	204	1	4	359
Bedford Hills	0	24	5	2	1	2	34
Clinton	0	173	2	174	8	7	364
Coxsackie	0	47	4	32	1	1	85
Downstate	0	45	3	33	2	2	85
Eastern	0	48	7	14	3	2	74
Elmira	0	62	1	156	2	1	222
Five Points	0	94	0	96	2	4	196
Great Meadow	0	179	2	167	3	3	354
Green Haven	7	120	5	114	2	13	261
Shawangunk	1	42	1	10	3	2	59
Sing Sing	8	119	42	131	1	7	308
Southport	0	14	0	14	2	1	31
Sullivan	0	34	4	27	2	0	67
Upstate	1	26	0	22	1	4	54
Wende	1	55	11	63	2	0	132
Sub-total	24	1,314	102	1,395	44	58	2,937
SHU 200							
Collins SHU200	0	19	0	3	0	0	22
Fishkill SHU200	0	16	0	5	0	1	22
Gouverneur SHU200	0	17	0	4	0	0	21
Greene SHU200	0	2	0	7	0	1	10
Mid-State SHU200	0	2	0	1	0	0	3
Orleans SHU200	0	7	0	1	0	0	8
Sub-total	0	63	0	21	0	2	86
RMHTU							
Bedford Hills TBU	0	0	0	1	0	0	1
Coxsackie RMHU	0	1	0	1	0	0	2
Five Points RMHU	0	2	0	11	3	2	18
Great Meadow BHU	0	2	0	3	0	0	5
Marcy RMHU	0	7	0	7	1	3	18
Sub-total	0	12	0	23	4	5	44
Regional Medical Unit							
Coxsackie RMU	0	0	0	0	2	0	2
Walsh RMU	0	1	0	2	0	0	3
Wende RMU	0	0	1	0	0	0	1
Sub-total	0	1	1	2	2	0	6
Total Maxium Security	24	1,390	103	1,441	50	65	3,073

(continued on next page)

Table 4B. Number of Contraband Incidents by Facility: January - December 2019 (cont.)

Table 4B. Number of Co	ontrabano	Incid					t.)
Facility and Security Level	Alcohol	Drug	Electronic	_	Weapon	Other	Total
			Device	Cut/Stab	Other	Contraband	
Medium Security							_
Adirondack	0	0	1	0	1	0	2
Albion	0	20	3	8	5	1	37
Altona	0	14	0	5	2	0	21
Bare Hill	0	103	3	23	4	4	137
Cape Vincent	0	93	1	30	1	0	125
Cayuga	0	76	2	12	1	1	92
Collins	0	139	2	22	2	0	165
Fishkill	0	88	4	18	1	3	114
Franklin	0	84	0	43	1	3	131
Gouverneur	0	103	1	17	0	0	121
Gowanda	0	72	1	19	2	2	96
Greene	0	102	2	69	4	4	181
Groveland	0	98	1	20	1	1	121
Hale Creek	0	14	0	0	0	0	14
Hudson	0	0	1	5	0	3	9
Livingston	0	21	0	8	1	1	31
Marcy	0	102	0	69	9	2	182
Midstate	0	122	0	62	6	4	194
Mohawk	0	215	1	51	2	1	270
Ogdensburg	0	30	3	5	0	0	38
Orleans	0	92	1	10	0	2	105
Otisville	0	26	2	5	0	0	33
Riverview	0	67	1	12	2	2	84
Taconic	0	14	0	1	0	2	17
Ulster	0	61	2	20	1	4	88
Wallkill	1	79	1	6	0	0	87
Washington	0	92	2	52	4	2	152
Watertown	0	33	1	5	0	1	40
Woodbourne	0	13	0	3	1	0	17
Wyoming	1	179	1	56	0	1	238
Sub-total	2	2,152	37	656	51	44	2,942
Shock	_	_,:-					,-
Lakeview Shock	0	0	1	0	0	0	1
Moriah Shock	0	1	0	0	0	0	1
Sub-total	0	1	1	0	0	0	2
Minimum Security						-	
Edgecombe	0	10	0	1	0	1	12
Edgecombe Work Release	0	6	1	1	0	1	9
Fishkill Work Release	0	1	0	0	0	0	1
Hudson Ind Train	0	1	0	0	0	0	1
Hudson Work Release	0	10	3	1	0	1	15
Lakeview Male Trans	0	10	0	1	0	0	2
Lincoln	0	14	0	2	0	2	18
Queensboro	0	19	1	0	0	0	20
Queensboro Work Release	1	16	0	0	0	0	17
Rochester	0	8	0	0	0	0	8
Sub-total	1	86	5	6	0	5	103
Other		00	3	0	U	3	103
	4	4		4	•	4	
Central Office	1	2 620	0	2 404	102	115	6 126
Grand Total	∠8	3,630	146	2,104	103	115	6,126

Table 5. Unusual Incident Type by Location: January - December 2019

Incident Type	Admin Areas	Assembly or Gym	Hospital	Housing Unit	Mental Health Units	Mess Hall	Other	Outside Facilty	Shop or Class	SHU	Visit/ Process	Walkway or Corridor	Yard	Total
Accident	19	89	23	114	5	26	29	63	26	20	3	54	113	584
Assault on Incar. Indiv.	1	33	6	489	10	55	26	7	24	4	3	198	408	1,264
Assault on Other	0	0	1	0	0	0	0	1	0	0	10	0	0	12
Assault on Staff	4	6	60	294	181	36	59	13	26	214	14	95	32	1,034
Contraband	493	64	221	2,403	89	113	654	184	102	310	494	543	456	6,126
Death	0	0	31	30	2	0	0	46	0	8	0	0	1	118
Disruption of Facility	3	0	0	1	0	0	7	2	0	1	3	0	0	17
Disruptive Behavior	7	30	73	622	164	123	68	9	38	238	10	156	210	1,748
Employee Misconduct	7	0	3	1	0	1	4	74	3	0	0	1	0	94
Escape Attempt	0	0	0	0	0	0	2	1	0	0	0	0	1	4
Fire	2	0	0	17	1	0	3	1	0	1	0	0	0	25
Mass Demonstration	0	1	0	1	0	0	0	0	0	0	0	0	0	2
Property Destroyed	0	0	3	2	1	0	2	0	1	1	0	0	0	10
Property Lost or Stolen	6	0	11	3	1	0	20	18	10	2	0	0	0	71
Self-Inflicted Injury	0	0	10	19	38	0	1	0	0	9	0	0	0	77
Sexual Misconduct	0	2	0	7	0	0	2	2	0	0	21	0	0	34
Suicide Attempt	0	0	9	56	39	0	1	0	0	99	0	0	2	206
Temp Release Related	0	0	0	0	0	0	0	20	0	0	0	0	0	20
Weapons Used by Staff	10	30	57	604	129	144	75	8	51	195	10	187	347	1,847
Other Incidents	225	3	152	200	53	6	46	119	8	99	153	23	30	1,117
Total	777	258	660	4,863	713	504	999	568	289	1,201	721	1,257	1,600	14,410
Percent	5%	2%	5%	34%	5%	3%	7%	4%	2%	8%	5%	9%	11%	100%

^{*} Mental Health Units include: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Theraputic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units).

Table 5 displays incident type by location for 2019. For most incident types, the most frequent incident location was housing units. This was the case for assaults on incarcerated individuals, assaults on staff, contraband, disruptive behavior, and several other incident types.

Table 6. Unusual Incident Type by Incarcerated Individual Weapon Use: January - December 2019

Table 0. Ollusual lile		po aj		atou ma	Vidicial V		500. 6 a			<u> </u>
Incident Type	No Weapon Used	Body as Weapon	Clubs	Cut/Stab Weapon	Garrotte	Human Waste/ Fluids	Metal Objects	Other	Unknown	Total Individuals
Accident	477	0	0	0	0	0	0	0	0	477
Assault on Incar. Indiv.	1,060	156	65	1,189	0	1	15	56	24	2,566
Assault on Staff	84	721	28	7	0	94	2	203	0	1,139
Assault on Other	1	12	0	0	0	0	0	0	0	13
Contraband	6,163	3	0	7	0	0	0	0	0	6,173
Death	112	1	0	1	15	0	0	0	0	129
Disruptive Behavior	1,035	2,362	2	6	2	0	3	2	1	3,413
Disruption of Facility	8	0	0	0	0	0	0	0	0	8
Employee Misconduct	12	0	0	0	0	0	0	0	0	12
Escape Attempt	4	0	0	0	0	0	0	0	0	4
Fire	41	0	0	0	0	0	0	0	0	41
Mass Demonstration	36	0	0	0	0	0	0	0	0	36
Property Destroyed	7	0	0	0	0	0	0	0	0	7
Property Lost or Stolen	29	0	0	0	0	0	0	0	0	29
Self-Inflicted Injury	13	6	0	40	6	0	0	12	3	80
Sexual Misconduct	39	0	0	0	0	0	0	0	0	39
Suicide Attempt	32	2	0	43	124	0	0	9	2	212
Temp Release Related	19	0	0	0	0	0	0	0	0	19
Weapons Used by Staff	2,952	4	1	0	0	0	0	0	0	2,957
Other Incidents	1,129	2	0	0	0	11	0	4	0	1,146
Total	13,253	3,269	96	1,293	147	106	20	286	30	18,500
Percent	72%	18%	1%	7%	1%	1%	0%	2%	0%	100%

Table 6 details the weapons used by incarcerated individuals in unusual incidents. For DOCCS reporting purposes, use of body, such as fists or kicks, is considered as a type of weapon in classifying incidents. Previous tables in this report were incident-based. This section of the report focuses on all incarcerated individuals involved in incidents. There were 18,500 instances where incarcerated individuals were associated with unusual incidents in 2019; these are not 18,500 unique incarcerated individuals. Many incarcerated individuals are involved in multiple incidents; the numbers presented here reflect each instance where an incarcerated individual is connected to an incident.

No weapons were used by 13,253 (72%) of the incarcerated individuals linked to incidents. Thus, there were 5,247 incarcerated individuals who did use weapons. Of those who used a weapon, 3,269 (62%) used a fist, kick or other body attack. Of those using weapons, 1,293 incarcerated individuals (25%) used cutting or stabbing weapons.

Table 7. Unusual Incident Type by Most Serious Level of Staff Use of Force:

January - December 2019

Incident Type	No Force	Body Hold	Mechanical Restraint	Shield	Strike	Chemical Agents	Baton	Firearm	Other	Total
Accident	583	1	0	0	0	0	0	0	0	584
Assault on Incar. Indiv.	973	53	5	0	1	223	8	0	0	1,264
Assault On Staff	371	330	50	13	54	198	18	1	0	1,034
Assault On Other	11	1	0	0	0	0	0	0	0	12
Contraband	5,991	111	9	0	3	8	1	0	3	6,126
Death	118	0	0	0	0	0	0	0	0	118
Disruptive Behavior	293	121	8	62	2	1,239	19	1	3	1,748
Disruption of Facility	17	0	0	0	0	0	0	0	0	17
Employee Misconduct	94	0	0	0	0	0	0	0	0	94
Escape Attempt	2	2	0	0	0	0	0	0	0	4
Fire	24	1	0	0	0	0	0	0	0	25
Mass Demonstration	2	0	0	0	0	0	0	0	0	2
Property Destruction	10	0	0	0	0	0	0	0	0	10
Property Lost Stolen	71	0	0	0	0	0	0	0	0	71
Self-Injury	74	2	1	0	0	0	0	0	0	77
Sexual Misconduct	33	0	1	0	0	0	0	0	0	34
Suicide Attempt	188	12	1	1	0	3	1	0	0	206
Temporary Release	20	0	0	0	0	0	0	0	0	20
Weapons Used by Staff	1,795	0	0	0	1	45	2	2	2	1,847
Other Incidents	1,062	41	6	1	0	0	0	0	7	1,117
TOTAL	11,732	675	81	77	61	1,716	49	4	15	14,410
Percent	81%	5%	1%	1%	0%	12%	0%	0%	0%	100%

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Tables 7 and 8 detail the degree of staff force that was used in unusual incidents. If more than one type of staff force was used in an incident, the most serious type was selected. Table 7 presents different types of staff force progressively from less serious to more serious, left to right. Staff force was used in 2,678 (19%) incidents in 2019.

Table 7 displays the most serious type of staff force used in each of the incident types that occurred in 2019. No staff force was used in 11,732 (81%) incidents. Of the 2,678 incidents where force was used, 1,716 (64%) involved the use of chemical agents.

Table 8 displays staff use of force for the ten-year period from 2010 to 2019. In 2010, there were 6,020 unusual incidents, with staff force used in 830 (14%) of the incidents. In 2019, the number of incidents where staff force was used increased to 2,678, or 19% of all incidents. Between 2018 and 2019, incidents with staff use of force increased by 29%, from 2,084 to 2,678, while the proportion of incidents with staff use of force increased from 17% to 19%. Chemical agent use increased by 58%, from 1,089 in 2018 to 1,716 in 2019. Shield, strike, and baton use all declined between 2018 and 2019.

Table 8. Staff Use of Force in Unusual Incidents by Security Level: 2010 - 2019

Table 8. Staff	Use of Fo	rce in	<u>Unusua</u>	il Incide		Securi			<u>- 2019</u>	
Type of Force	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Maximum Security										
No Force Used	3,290	3,292	3,193	3,505	3,554	3,939	4,424	5,302	5,705	6,618
Body Hold	396	375	345	367	378	415	371	394	466	491
Mechanic Restraint	106	75	77	70	69	88	56	61	58	51
Shield	33	35	48	54	56	64	72	82	86	71
Strike	1	49	87	116	152	160	70	57	55	51
Chemical Agents	45	51	53	64	54	54	184	548	929	1,220
Baton	86	107	85	122	117	128	79	66	58	46
Firearm	0	1	0	1	2	6	2	4	0	4
Other	13	10	7	17	19	15	24	25	10	8
Sub-total	3,970	3,995	3,895	4,316	4,401	4,869	5,282	6,539	7,367	8,560
Medium Security	,	·	,	·	·	·	,		,	•
No Force Used	1,636	1,679	1,906	2,261	2,902	3,821	4,148	4,102	4,143	4,925
Body Hold	96	94	1,900	128	167	225	180	179	192	168
Mechanic Restraint	30	18	18	24	37	39	24	31	21	29
Shield	30	2	4	3	4	2	3	1	7	6
Strike	0	4	9	21	20	30	17	20	23	10
Chemical Agents	7	0	2	1	1	1	7	42	159	495
Baton	2	3	1	3	12	14	6	5	4	3
Other	2	1	0	7	3	5	3	2	6	5
Sub-total	1,776	1,801	2,050	2,448	3,146	4,137	4,388	4,382	4,555	5,641
Minimum Security										
No Force Used	256	170	128	121	156	109	96	142	165	170
Body Hold	8	8	1	10	16	12	5	16	8	16
Mechanic Restraint	2	2	0	2	1	1	1	1	0	1
Chemical Agents	0	0	0	0	0	0	0	0	1	0
Strike	0	0	0	1	2	2	2	3	1	0
Baton	0	0	0	0	0	0	0	2	0	0
Firearm	0	0	0	0	0	0	0	1	0	0
Sub-total	266	180	129	134	175	124	104	165	175	187
Other										
No Force Used	8	4	18	5	11	0	12	16	16	19
Strike	0	0	0	0	1	0	0	1	0	0
Chemical Agents	0	0	0	0	0	0	0	0	0	1
Other	0	0	0	0	0	0	0	0	0	2
Sub-total	8	4	18	5	12	0	12	17	16	22
All Security Levels										
No Force Used	5,190	5,145	5,245	5,892	6,623	7,869	8,680	9,562	10,029	11,732
Body Hold	500	477	456	505	561	652	556	589	666	675
Mechanic Restraint	138	95	95	96	107	128	81	93	79	81
Shield	36	37	52	57	60	66	75	83	93	77
Strike	1	53	96	138	175	192	89	81	79	61
Chemical Agents	52	51	55	65	55	55	191	590	1,089	1,716
Baton	88	110	86	125	129	142	85	73	62	49
Firearm	0	1	0	1	2	6	2	5	0	4
Other	15	11	7	24	22	20	27	27	16	15
Grand Total	6,020	5,980	6,092	6,903	7,734	9,130	9,786	11,103	12,113	14,410

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Table 9. Unusual Incident Type by Degree of Staff Injury: January - December 2019

Incident Type	No I	njury		nor	Mod	lerate	Sei	rious	S	evere	Total
Incident Type	#	%	#	%	#	%	#	%	#	%	Staff
Accident	2,149	94.8%	18	0.8%	21	0.9%	77	3.4%	2	0.1%	2,267
Assault on Incar. Indiv.	4,950	97.8%	108	2.1%	3	0.1%	1	0.0%	0	0.0%	5,062
Assault on Other	53	91.4%	5	8.6%	0	0.0%	0	0.0%	0	0.0%	58
Assault on Staff	4,489	76.2%	1,316	22.3%	48	0.8%	35	0.6%	1	0.0%	5,889
Contraband	23,161	99.5%	121	0.5%	3	0.0%	1	0.0%	0	0.0%	23,286
Death	1,504	99.9%	0	0.0%	0	0.0%	1	0.1%	0	0.0%	1,505
Disruption of Facility	144	98.0%	1	0.7%	2	1.4%	0	0.0%	0	0.0%	147
Disruptive Behavior	8,602	92.6%	676	7.3%	7	0.1%	9	0.1%	0	0.0%	9,294
Employee Misconduct	502	99.4%	2	0.4%	1	0.2%	0	0.0%	0	0.0%	505
Escape Attempt	42	97.7%	1	2.3%	0	0.0%	0	0.0%	0	0.0%	43
Fire	175	82.9%	33	15.6%	3	1.4%	0	0.0%	0	0.0%	211
Mass Demonstration	24	96.0%	1	4.0%	0	0.0%	0	0.0%	0	0.0%	25
Property Destroyed	31	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	31
Property Lost or Stolen	295	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	295
Self-Inflicted Injury	313	99.1%	3	0.9%	0	0.0%	0	0.0%	0	0.0%	316
Sexual Misconduct	166	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	166
Suicide Attempt	1,148	98.7%	15	1.3%	0	0.0%	0	0.0%	0	0.0%	1,163
Temp Release Related	52	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	52
Weapons Used by Staff	4,621	99.8%	6	0.1%	1	0.0%	0	0.0%	0	0.0%	4,628
Other Incidents	4,766	97.8%	55	1.1%	49	1.0%	1	0.0%	0	0.0%	4,871
Total	57,187	95.6%	2,361	3.9%	138	0.2%	125	0.2%	3	0.0%	59,814

Table 9 details the injuries to DOCCS staff members, including both the number of staff members injured and the extent of their injuries. The previous tables in this report have been incident-based; this section and the one that follows are individual-based. Each number here represents a staff member who was listed in an unusual incident. Staff members can respond to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of staff members who were involved in an incident or incident category.

The majority of staff involved in incidents (96%) were not injured. Assaults on staff had the highest number of staff injuries (1,400). Of those 1,400 injuries, 94% (1,316) resulted in only minor injuries. Accidents had the highest number of serious and severe injuries (79) of any incident type.

Table 10. Unusual Incident Type by Degree of Incarcerated Individual Injury: January - December 2019

	njary. oa	man y	Decemb	CI ZUIJ		
Incident Type	No Injury	Minor	Moderate	Serious	Severe	Total Individuals
Accident	37	11	103	325	1	477
Assault on Incar. Indiv.	916	1,262	344	43	1	2,566
Assault on Other	11	2	0	0	0	13
Assault on Staff	681	439	8	9	2	1,139
Contraband	6,072	95	4	2	0	6,173
Death	124	1	1	0	3	129
Disruption of Facility	8	0	0	0	0	8
Disruptive Behavior	2,068	1,068	88	188	1	3,413
Employee Misconduct	11	0	0	1	0	12
Escape Attempt	1	2	1	0	0	4
Fire	35	5	1	0	0	41
Mass Demonstration	36	0	0	0	0	36
Property Destroyed	7	0	0	0	0	7
Property Lost or Stolen	29	0	0	0	0	29
Self-Inflicted Injury	9	10	54	7	0	80
Sexual Misconduct	38	1	0	0	0	39
Suicide Attempt	71	100	32	9	0	212
Temp Release Related	19	0	0	0	0	19
Weapons Used by Staff	2,946	11	0	0	0	2,957
Other Incidents	1,117	29	0	0	0	1,146
Total	14,236	3,036	636	584	8	18,500
Percent	77%	16%	3%	3%	0%	100%

Table 10 details the injuries to DOCCS incarcerated individuals, including the number of incarcerated individuals injured and the extent of their injuries. The previous tables in this report have been incident-based; this section is individual-based. Each number here represents an incarcerated individual who was listed in an unusual incident. Incarcerated individuals can be attached to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of incarcerated individuals who were involved in an incident or incident category. Assaults on incarcerated individuals had the highest number of incarcerated individual injuries (1,650), while accidents had the highest number of serious and severe injuries (326).

Prepared by:
Division of Program Planning, Research & Evaluation December 2021