

## **Unusual Incident Report**

January - December 2017, with Ten-Year Trends from 2008 - 2017

## Introduction

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (DOCCS). Unusual incidents are serious occurrences that may impact upon or disrupt facility operations, have the potential for disrupting the Department's public image, or might arouse widespread public interest. All unusual incidents are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. This system can also identify all incarcerated individuals and staff associated with incidents, along with information about them, such as whether an injury was sustained by an incarcerated individual or a staff member, whether an incarcerated individual used a weapon, or whether a staff member used force.

This report includes information on unusual incidents that occurred during the current reporting year (January – December 2017), along with comparisons to trends in unusual incidents in the past ten years (2008 - 2017).

The report only covers incidents that occurred at DOCCS correctional facilities, and does not include incidents that took place at a Parole Diversion Program (PDP), Willard Drug Treatment Campus (WDTC), or those incidents involving releasees.

Table 1. Unusual Incident Type by Year: 2008 - 2017

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Incident Type	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Accident	499	536	424	463	534	572	574	521	534	554
Assault on Incarcerated Individual	657	603	675	666	652	767	860	915	1,135	1,225
Assault on Other	6	2	16	2	4	7	8	7	12	9
Assault on Staff	581	567	577	563	524	645	747	895	759	800
Contraband	2,540	2,351	2,494	2,452	2,546	2,959	3,612	4,748	4,745	5,234
Death	142	150	131	123	123	145	131	124	152	158
Disruption of Facility	28	26	17	13	7	4	10	7	11	13
Disruptive Behavior	379	376	340	365	468	521	506	567	632	951
Employee Job Action	2	3	0	2	1	0	0	0	0	2
Employee Misconduct	129	132	163	130	140	120	117	116	106	93
Escape	0	1	2	4	1	1	0	2	1	0
Escape Attempt	4	2	0	2	2	1	2	1	1	2
Fire	41	35	32	30	22	34	16	30	24	25
Mass Demonstration	0	0	1	1	0	0	1	0	2	1
Property Destroyed	8	10	11	14	14	3	3	4	3	8
Property Lost or Stolen	140	101	112	83	76	75	57	69	79	83
Self-Inflicted Injury	78	70	57	80	114	74	91	73	77	113
Sexual Misconduct	59	57	71	63	53	51	58	69	39	40
Suicide Attempt	92	150	117	132	145	160	182	157	187	145
Temp Release Related	129	123	129	73	36	29	28	17	23	43
Weapons Used by Staff	169	165	155	170	143	203	191	205	293	714
Other Incidents	381	394	496	549	487	532	540	603	971	890
Total	6,064	5,854	6,020	5,980	6,092	6,903	7,734	9,130	9,786	11,103

Table 1 displays the number of unusual incidents by type from 2008 through 2017. The number of unusual incidents has increased 83% from 6,064 in 2008 to 11,103 in 2017. These higher incident numbers were largely due to increases in assaults on incarcerated individuals (+86%), assaults on staff (+38%), contraband (+106%), disruptive behavior (+151%), and weapons used by staff (+322%).

Table 2. Other Unusual Incidents by Type and Year: 2008 - 2017

			<u> </u>							
Incident Type	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
AED Use	48	58	68	49	51	67	65	81	127	119
Arrest of Non-Releasee/Non-Employee	0	0	0	0	0	1	1	1	0	0
Body Fluid/Human Waste- Attempted Assault	1	2	4	2	1	3	2	4	5	7
Contagious Disease	1	0	1	0	1	0	0	0	0	0
Erroneous Release	6	8	4	3	3	2	1	6	4	1
Family Reunion Visit Other	2	7	4	3	2	3	6	3	3	3
Family Reunion Visit Termination	4	14	13	16	13	8	5	5	11	8
Hunger Strike	18	14	8	20	26	16	24	30	26	33
Medical Emergency	15	9	5	3	2	2	9	7	1	1
Overall Facility Search	4	5	5	8	4	9	6	8	9	5
Pregnant Individual Shackled	0	0	0	0	0	0	0	0	0	1
Pregnant Individual- Staff in Delivery Room	0	0	0	0	0	0	0	0	2	0
Restraint Chair Use	43	13	1	10	4	5	7	3	6	12
Significant Exposure	37	51	24	32	31	35	38	46	49	42
Spit Net Use	34	35	57	50	50	63	65	81	85	81
Visitor Arrest	132	101	115	117	126	107	126	172	389	475
Visitor Limited Visual Search	0	50	171	222	161	200	165	144	222	74
Visitor Strip Search	6	6	3	1	2	0	1	3	4	1
Other	30	21	13	13	10	11	19	9	28	27
Total	381	394	496	549	487	532	540	603	971	890

Table 2 presents the specific unusual incident types that are combined together under the label of other incidents in Table 1. There were 890 other incidents in 2017, compared to 381 in 2008, an increase of 134%. The largest category among other incidents was visitor arrests. There were 475 visitor arrests in 2017, which accounted for 53% of other unusual incidents and represented a 260% increase from 2008.

Table 3. Select Incidents by Facility, January - December 2017

Table 3			Facility, Ja		cember 2017		<b>-</b>
Correctional Facility	Assault on	Assault	Contraband	Disruptive	Weapons	All	Total
	Incar. Indiv.	on Staff		Behavior	Used by Staff	Other	Incidents
Maximum Security							
Attica	55	24	241	82	75	106	583
Auburn	119	37	303	74	104	60	697
Bedford Hills	8	14	28	5	0	29	84
Clinton	149	40	365	108	135	114	911
Coxsackie	17	33	78	3	0	30	161
Downstate	25	30	119	22	6	50	252
Eastern	7	3	52	6	1	32	101
Elmira	93	23	237	54	68	78	553
Five Points	71	23	163	29	14	65	365
Great Meadow	66	66	236	60	81	60	569
Green Haven	54	45	199	48	71	76	493
Shawangunk	6	2	41	4	0	82	135
Sing Sing	34	47	195	27	9	89	401
Southport	2	12	30	13	7	30	94
Sullivan	9	31	62	24	18	50	194
Upstate	2	23	58	26	5	44	158
Wende	23	22	101	14	8	46	214
Sub-total	740	475	2,508	599	602	1,041	5,965
Special Housing SHU200							
Cayuga SHU200	0	0	2	2	1	1	6
Collins SHU200	0	7	17	15	6	13	58
Fishkill SHU200	0	3	11	10	9	8	41
Gouverneur SHU200	0	0	14	1	0	2	17
Greene SHU200	0	7	10	5	0	6	28
Lakeview SHU200	0	0	7	2	2	4	15
Mid-State SHU200	2	0	6	2	2	5	17
Orleans SHU200	0	2	15	7	2	4	30
Sub-total	2	19	82	44	22	43	
Residential Mental Health	Tractmont III						
			1	0	1		12
Attica RMHU Bedford Hills TBU	0 2	16	4 20	23	13	0	88
Five Points RMHU	6	35	21	32	17	6	134
Great Meadow BHU	1	1	0	0	0	2	134
Marcy RMHU	1	14	5	11	5	4	40
Sub-total	10	69	50	66	36	47	278
	10	03	30	30	30	4/	210
Regional Medical Unit							
Bedford Hills RMU	0	0	0	0	0	1	1
Coxsackie RMU	0	0	0	0	0	10	10
Walsh RMU	3	4	3	0	0	41	51
Wende RMU	0	0	3	0	0	19	22
Sub-total	3	4	6	0	0	71	84
Total Maxium Security	755	567	2,646	709	660	1,202	6,539

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Table 3. Se			<u>cility, Janu</u>		<u>nber 2017 (c</u>		
Correctional Facility	Assault on	Assault	Contraband	Disruptive	Weapons	All	Total
,	Incar. Indiv.	on Staff		Behavior	Used by Staff	Other	Incidents
Medium Security							
Adirondack	1	1	22	5	0	9	38
Albion	1	6	21	4	0	45	77
Altona	2	0	45	4	0	7	58
Bare Hill	44	4	104	16	0	35	203
Cape Vincent	3	5	54	5	0	21	88
Cayuga	2	3	78	2	1	26	112
Collins Fishkill	22 11	6	145 120	6 24	1	34 62	214
Franklin	42	42 8	120	24	27 0	49	286 253
Gouverneur	6	2	126	15	1	33	162
Gowanda	9	11	82	9	0	50	162
Greene	109	6	200	12	0	56	383
Groveland	6	5	79	7	0	29	126
Hale Creek	0	1	6	0	0	7	14
Hudson	2	8	17	1	0	4	32
Lakeview	0	0	0	0	0		1
Livingston	12	9	76	4	0	28	129
Marcy	42	11	200	10	1	45	309
Midstate	11	26	151	17	3	62	270
Mohawk	37	14	188	18	1	29	287
Ogdensburg	0	5	18	4	1	12	40
Orleans	9	9	79	4	1	25	127
Otisville	1	1	7	2	0	12	23
Riverview	10	4	89	1	0	27	131
Taconic	1	1	13	0	0	10	25
Ulster	2	6	95	5	0	38	146
Wallkill	2	0	48	2	1	22	75
Washington	41	15	116	22	11	26	231
Watertown	5	0	31	0	0	17	53
Woodbourne	1	1	46	0	0	14	62
Wyoming	34	4	162	13	1	37	251
Sub-total	468	214	2,525	238	50	872	4,367
Regional Medical Unit							
Fishkill RMU	0	0	0	0	0	15	15
	468	24.4		220	F0		4 202
Total Medium Security	408	214	2,525	238	50	887	4,382
Shock							
Lakeview Shock	0	1	8	0	0	10	19
Moriah Shock	0	1	0	0	0	2	3
Sub-total	0	2	8	0	0	12	22
Minimum Security							
Edgecombe	0	2	6	0	0	0	8
Edgecombe Work Release		0	2	0	0	7	9
Fishkill Work Release	0	0	0	0	0	2	2
Hudson Ind Train	0	0	3	0	0	0	4
Hudson Work Release	0	0	9	0	1	5	15
Lakeview Male Trans	0	2	0	0	0	2	4
Lincoln	1	1	13	0	1	25	41
Queensboro	0	11	21	4	2	7	45
Queensboro Work Release		0	0	0	0		1
Rochester	1	0	1	0	0	12	14
Sub-total	2	16	55	4	4	62	143
Total Minimum Security	2	18	63	4	4	74	165
Other			_				
Central Office	0	1	0	0	0	16	17
	_				-		
Grand Total	1,225	800	5,234	951	714	2,179	11,103

The types of contraband found in DOCCS facilities in the ten-year period from 2008 to 2017 are presented in Table 4A. Between 2008 and 2017, the total number of contraband incidents increased by 106%. Between 2016 and 2017, the number of contraband incidents increased by 10%. Aside from a few incidents, synthetic drugs were not reported until 2013. In 2017, synthetic drugs accounted for 38% of the drug contraband incidents, an increase from 2016, when synthetic drugs comprised 33% of the drug contraband incidents.

Between 2008 and 2017, besides synthetic drugs, the largest contraband increases were among prescription drugs (+449%) and cutting/stabbing weapons (+60%). During that time, cell phone recoveries decreased by 27%.

Table 4B displays the type of contraband recovered at each DOCCS facility during 2017.

Table 4A. Contraband Incidents by Type: 2008 - 2017

Control ond Type	2008	2009		_	2012				2016	2017
Contraband Type	2006	2009	2010	2011	2012	2013	2014	2015	2010	2017
Alcohol										
Commercial Alcohol	7	8	9	7	6	14	13	9	5	11
Homemade Alcohol	0	2	1	1	0	4	5	0	3	5
Sub-tota	7	10	10	8	6	18	18	9	8	16
Drug										
<b>Drug</b> Cocaine	18	19	24	26	15	27	25	42	48	54
Heroin	95	73	52	51	47	55	66	82	78	58 58
	0	0	0	0	2	4		0	0	0
Illegal Drug	552	515	592		547	524	0 475	523	672	662
Marijuana	172	148	154	574 199	238	343	416	606	797	945
Prescription Drug										
Synthetic Drug	0	0	1	0	2	248	674	1,104	838	1,105
Other Drug	45	36	49	59		71	61	98	83	102
Sub-tota	882	791	872	909	998	1,2/2	1,717	2,455	2,516	2,926
Electronic Device										
Cell Phone	75	93	85	66	71	58	59	71	71	55
Media Storage: CD/DVD/USB	0	0	0	0	26	26	16	27	22	26
Other Electronic Device	18	29	45	42	33	15	29	53	41	35
Sub-tota	93	122	130	108	130	99	104	151	134	116
Weapon- Cutting/Stabbing	040	400	400	400	470	000	007	007	0.4.0	400
Can Lid	216	190	198	199	176	230	237	267	216	136
Cutting Instrument	153	186	175	212	188	206	334	476	456	512
Hardback Razorblade	10	8	5	8	9	8	7	6	11	3
Ice Pick	201	158	193	156	180	242	261	323	279	259
Manufactured Knife	24	24	16	14	21	20	17	32	32	16
Razor Blade	301	275	276	224	256	256	269	321	354	362
Scalpel/Exacto Blade	0	0	63	80	97	68	106	140	193	242
Shank	230	220	210	204	204	227	244	210	204	263
Toothbrush	63	70	72	76	77	96	90	97	100	142
Utility Razorblade	30	38	22	18	9	25	19	20	19	24
Sub-tota	1,228	1,169	1,230	1,191	1,217	1,378	1,584	1,892	1,864	1,959
Weapon- Other										
Ammunition	13	11	12	6	13	6	6	9	9	6
Explosive	1	2	0	0	0	0	0	0	1	0
Manufactured Gun	1	2	1	1	1	0	0	1	0	1
Weighted Cloth	68	54	54	55	41	54	59	68	56	59
Zip Gun	0	0	1	0	0	0	0	0	0	0
Other Weapons	76		44	26	32	30	20	38	24	22
Sub-tota		123	112	88		90	85	116	90	88
	133	123	112	00	07	30	- 03	110	30	- 00
Other Contraband										
Cash	114	71	76	86	70	67	59	79	81	84
Hypodermic Needle/Syringe	7	9	6	9	6	8	12	11	17	12
Other	50	56	58	53	32	27	33	35	35	33
Sub-tota	171	136	140	148	108	102	104	125	133	129
Grand Total	2,540	2,351	2,494	2,452	2,546	2,959	3,612	4,748	4,745	5,234

Table 4B. Number of Contraband Incidents by Facility: January - December 2017

Table 4B. Number o	Contrai	Janu II	Electronic		Weapon	Other	
Facility and Security Level	Alcohol	Drug	Device	Cut/Stab	Other	Contraband	Total
Maximum Security			Device	Cuvotab	Other	Contraband	
Attica	0	89	3	141	3	5	241
Auburn	3	97	4	184	2	13	303
Bedford Hills	0	19	5	2	1	1	28
Clinton	0	146	3	_	•	6	
	0	44	2	203	7	0	365
Coxsackie	0	68		32		5	78
Downstate	0	34	1 2	45 13	0	2	119 52
Eastern	0				•		
Elmira	1	76	1	152	2	5 2	237
Five Points	0	84	0	76	1		163
Great Meadow	0	119	2	107	4	4	236
Green Haven	2	75	4	103	6	9	199
Shawangunk	0	23	4	12	0	2	41
Sing Sing	4	79	21	80	0	11	195
Southport	1	12	3	10	0	4	30
Sullivan	1	32	2	25	1	1	62
Upstate	0	35	1	20	0	2	58
Wende	0	59	0	39	0	3	101
Sub-total	12	1,091	58	1,244	28	75	2,508
SHU 200							
Cayuga SHU200	0	1	0	1	0	0	2
Collins SHU200	0	13	0	3	0	1	17
Fishkill SHU200	0	7	0	4	0	0	11
Gouverneur SHU200	0	13	0	1	0	0	14
Greene SHU200	0	4	0	5	0	1	10
Lakeview SHU200	0	4	0	3	0	0	7
Mid-State SHU200	0	2	0	4	0	0	6
Orleans SHU200	0	13	0	2	0	0	15
Sub-total	0	57	0	23	0	2	82
RMHTU							
Attica RMHU	0	0	0	4	0	0	4
Five Points RMHU	0	6	1	12	1	0	20
Great Meadow BHU	0	0	0	4	0	1	5
Marcy RMHU	0	3	1	9	8	0	21
Sub-total	0	9	2	29	9	1	50
Regional Medical Unit							
Walsh RMU	0	0	0	1	2	0	3
Wende RMU	0	3	0	0	0	0	3
Sub-total	_	3	0	1	2	0	6
Total Maxium Security	12	1,160	60	1,297	39		2,646

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Table 4B. Number of Contraband Incidents by Facility: January - December 2017 (cont.)

Medium Security	Table 4B. Number of Co			Electronic		Weapon	Other	ι.)
Medium Security	Facility and Security Level	Alcohol	Drug			-		Total
Adirondack	Medium Security			Device	Cuvotab	Other	Contraband	
Albion	-	0	1/1	0	6	1	1	22
Altona						-		21
Bare Hill				_				45
Cape Vincent				1		-		104
Cayuga         0         66         0         10         0         2           Collins         0         116         2         24         0         3           Fishkill         0         72         4         37         2         5           Franklin         0         80         1         41         5         1           Gouverneur         0         76         3         24         0         2           Gowanda         0         61         0         18         1         2           Greene         0         126         0         65         7         2           Groveland         0         61         0         16         0         2           Hale Creek         0         5         0         0         1         0           Hale Creek         0         1         1         1				0				54
Collins         0         116         2         24         0         3           Fishkill         0         72         4         37         2         5           Franklin         0         80         1         41         5         1           Gouverneur         0         76         3         24         0         2           Gowanda         0         61         0         18         1         2           Greene         0         126         0         65         7         2           Greene         0         126         0         65         7         2           Groveland         0         61         0         16         0         2           Hale Creek         0         5         0         0         1         0         0           Huldson         0         1         1         14         1         0         0           Huldson         0         1         1         14         1         0         0           Huldson         0         1         1         1         4         1         0         1         1         1 </td <td>•</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>78</td>	•							78
Fishkill         0         72         4         37         2         5           Franklin         0         80         1         41         5         1           Gouverneur         0         76         3         24         0         2           Gowanda         0         61         0         18         1         2           Greene         0         126         0         65         7         2           Groveland         0         61         0         16         0         2           Hale Creek         0         5         0         0         1         0           Hale Creek         0         5         0         0         1         0           Hudson         0         1         1         14         1         0           Hudson         0         1         1         1         4         1         0           Hudson         0         1         1         1         1         0         1           Hudson         0         1         1         1         1         0         1           Malcson         1         1 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>145</td>								145
Franklin         0         80         1         41         5         1           Gouverneur         0         76         3         24         0         2           Gowanda         0         61         0         18         1         2           Greene         0         126         0         65         7         2           Groveland         0         61         0         16         0         2           Hale Creek         0         5         0         0         1         0           Hudson         0         1         1         14         1         0           Livingston         0         56         0         17         1         2           Marcy         1         115         4         70         6         4           Midstate         0         105         1         41         2         2           Mohawk         0         130         4         51         1         2         2           Mogdensburg         0         14         0         3         0         1         1         2         2           Otiswille								120
Gouverneur         0         76         3         24         0         2           Gowanda         0         61         0         18         1         2           Greene         0         126         0         65         7         2           Groveland         0         61         0         16         0         2           Hale Creek         0         5         0         0         1         0           Hudson         0         1         1         14         1         0           Hudson         0         1         1         14         1         0           Livingston         0         56         0         17         1         2           Marcy         1         115         4         70         6         4           Midstate         0         105         1         41         2         2           Mohawk         0         130         4         51         1         2           Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9								128
Gowanda				-				105
Greene         0         126         0         65         7         2           Groveland         0         61         0         16         0         2           Hale Creek         0         5         0         0         1         0           Hudson         0         1         1         14         1         0           Livingston         0         56         0         17         1         2           Marcy         1         115         4         70         6         4           Midstate         0         105         1         41         2         2           Mohawk         0         130         4         51         1         2         2           Mohawk         0         130         4         51         1         2         2           Mohawk         0         130         4         51         1         2         2           Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9         3         0           Otisville         0								82
Groveland						-		200
Hale Creek								79
Hudson								6
Livingston         0         56         0         17         1         2           Marcy         1         115         4         70         6         4           Midstate         0         105         1         41         2         2           Mohawk         0         130         4         51         1         2           Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9         3         0           Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6				1				17
Marcy         1         115         4         70         6         4           Midstate         0         105         1         41         2         2           Mohawk         0         130         4         51         1         2           Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9         3         0           Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7				0				76
Midstate         0         105         1         41         2         2           Mohawk         0         130         4         51         1         2           Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9         3         0           Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Wyoming         0         114         0         40         7         1           Shock         0         7         1         0	•							200
Mohawk         0         130         4         51         1         2           Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9         3         0           Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Shock         1         1         0         0	•							151
Ogdensburg         0         14         0         3         0         1           Orleans         0         67         0         9         3         0           Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Uster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Shock         1         1,729         45         654         49         47         2           Shock         0         7         1								188
Orleans         0         67         0         9         3         0           Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Shock         0         7         1         0         0         0           Lakeview Shock         0         7         1         0         0         0           Minimum Security         0         4         1         1 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>18</td>								18
Otisville         0         3         1         3         0         0           Riverview         0         64         2         17         4         2           Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Sub-total         1         1,729         45         654         49         47         2           Shock         1         0         0         0         0         0         0           Makeview Shock         0         7         1         0         0         0         0           Makeview Shock         <	= =							79
Riverview								7
Taconic         0         7         4         1         0         1           Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Sub-total         1         1,729         45         654         49         47         2           Shock         0         7         1         0         0         0         0           Sub-total         0         7         1         0         0         0         0           Minimum Security         0         4         1         1         0         0         0								89
Ulster         0         65         2         24         1         3           Wallkill         0         32         4         10         1         1           Washington         0         67         4         41         2         2           Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Shock         0         1         1,729         45         654         49         47         2           Shock         0         7         1         0         0         0           Lakeview Shock         0         7         1         0         0         0           Minimum Security         0         4         1         1         0         0           Edgecombe         0         4         1         1         0         0								13
Wallkill       0       32       4       10       1       1         Washington       0       67       4       41       2       2         Watertown       0       24       0       6       0       1         Woodbourne       0       32       7       7       0       0         Wyoming       0       114       0       40       7       1         Sub-total       1       1,729       45       654       49       47       2         Shock       0       7       1       0       0       0         Lakeview Shock       0       7       1       0       0       0         Minimum Security       0       4       1       1       0       0         Edgecombe       0       4       1       1       0       0								95
Washington       0       67       4       41       2       2         Watertown       0       24       0       6       0       1         Woodbourne       0       32       7       7       0       0         Wyoming       0       114       0       40       7       1         Sub-total       1       1,729       45       654       49       47       2         Shock       0       7       1       0       0       0       0         Lakeview Shock       0       7       1       0       0       0       0         Minimum Security       Edgecombe       0       4       1       1       0       0       0								48
Watertown         0         24         0         6         0         1           Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Sub-total         1         1,729         45         654         49         47         2           Shock         0         7         1         0         0         0         0           Lakeview Shock         0         7         1         0         0         0         0           Sub-total         0         7         1         0         0         0         0           Minimum Security         Edgecombe         0         4         1         1         0         0								116
Woodbourne         0         32         7         7         0         0           Wyoming         0         114         0         40         7         1           Sub-total         1         1,729         45         654         49         47         2           Shock         2         2         45         654         49         47         2           Lakeview Shock         0         7         1         0         0         0         0           Sub-total         0         7         1         0         0         0         0           Minimum Security         2         4         1         1         0         0         0								31
Wyoming         0         114         0         40         7         1           Sub-total         1         1,729         45         654         49         47         2           Shock         0         7         1         0         0         0           Lakeview Shock         0         7         1         0         0         0           Sub-total         0         7         1         0         0         0           Minimum Security         Edgecombe         0         4         1         1         0         0	Woodbourne	0		7				46
Sub-total         1         1,729         45         654         49         47         2           Shock         Shock         0         7         1         0 </td <td></td> <td></td> <td></td> <td></td> <td>40</td> <td>7</td> <td></td> <td>162</td>					40	7		162
Shock         0         7         1         0         0         0           Sub-total         0         7         1         0         0         0           Minimum Security         Edgecombe         0         4         1         1         0         0	• •	1		45		49	47	2,525
Sub-total         0         7         1         0         0         0           Minimum Security         Edgecombe         0         4         1         1         0         0	Shock		,					
Sub-total         0         7         1         0         0         0           Minimum Security         Edgecombe         0         4         1         1         0         0	Lakeview Shock	0	7	1	0	0	0	8
Minimum Security Edgecombe 0 4 1 1 0 0				1	0	0		8
Edgecombe 0 4 1 1 0 0	Minimum Security							
	•	0	4	1	1	0	0	6
LUGUUUNUU YYUIN INGIGASE   U  I  UI II UI UI UI UI	Edgecombe Work Release	0	1	0	1	0	0	2
Hudson Ind Train 0 2 1 0 0								3
Hudson Work Release 0 1 6 1 0 1				-				9
Lincoln 2 8 2 1 0 0								13
Queensboro 1 13 0 4 0 3					4			21
Rochester 0 1 0 0 0 0								1
Sub-total 3 30 10 8 0 4				_				55
		16	2,926	116	1,959	88	129	5,234

Table 5. Unusual Incident Type by Location: January - December 2017

Incident Type	Admin Areas	_	Hospital	Housing Unit	Mental Health Unit	Mess Hall	Outside Facilty	Shop or Class	SHU	Visit/ Process	Walkway or Corridor	Yard	Other	Total
Accident	5	74	26	129	2	21	52	33	17	1	38	127	29	554
Assault on Incar. Indiv.	3	35	6	414	19	38	3	17	10	2	223	436	19	1,225
Assault on Other	0	0	0	0	0	0	1	0	0	8	0	0	0	9
Assault on Staff	7	7	51	252	120	26	9	16	161	15	91	16	29	800
Contraband	386	72	257	1,969	68	100	288	76	383	399	457	399	380	5,234
Death	1	0	48	32	1	0	66	1	5	0	2	0	2	158
Disruption of Facility	3	1	0	0	0	0	3	2	0	1	0	0	3	13
Disruptive Behavior	4	10	46	300	111	55	3	16	145	4	61	150	46	951
Employee Job Action	1	0	0	0	0	0	0	0	0	0	0	0	1	2
Employee Misconduct	5	0	0	2	0	0	84	1	0	0	0	0	1	93
Escape Attempt	0	0	0	0	0	0	1	0	0	0	0	0	1	2
Fire	0	0	0	18	1	0	1	1	2	0	1	0	1	25
Mass Demonstration	0	0	0	1	0	0	0	0	0	0	0	0	0	1
Property Destroyed	1	0	3	1	0	0	2	1	0	0	0	0	0	8
Property Lost or Stolen	12	0	9	2	4	1	27	6	0	4	0	0	18	83
Self-Inflicted Injury	0	0	15	30	33	0	2	0	31	0	1	0	1	113
Sexual Misconduct	0	4	0	6	0	2	2	4	0	16	0	3	3	40
Suicide Attempt	0	0	7	61	19	0	1	1	56	0	0	0	0	145
Temp Release Related	0	0	0	0	0	0	42	0	0	0	0	0	1	43
Weapons Used by Staff	9	5	39	138	73	60	7	10	65	2	79	196	31	714
Other Incidents	155	1	81	83	33	5	216	5	51	211	7	13	29	890
Total	592	209	588	3,438	484	308	810	190	926	663	960	1,340	595	11,103
Percent	5%	2%	5%	31%	4%	3%	7%	2%	8%	6%	9%	12%	5%	100%

<sup>\*</sup> Mental Health Units include: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Theraputic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units).

Table 5 displays incident type by location for 2017. For most incident types, the most frequent incident location was housing units. This was the case for assaults on staff, contraband, disruptive behavior, and several other incident types.

Table 6. Unusual Incident Type by Incarcerated Individual Weapon Use: January - December 2017

Table 0. Ollasaal l		. , , , ,			0.1111010101				, 2000.	IDCI ZUTI
Incident Type	No Weapon Used	Body as Weapon	Clubs	Cut/Stab Weapon	Garrotte	Human Waste/ Fluids	Metal Objects	Other	Unknown	Total Individuals
Accident	492	0	0	0	0	0	0	0	0	492
Assault on Incar. Indiv.	964	274	64	1,126	0	1	16	33	33	2,511
Assault on Staff	74	606	20	7	0	68	3	88	0	866
Assault on Other	0	9	0	0	0	0	0	0	0	9
Contraband	5,316	4	2	10	0	0	0	0	0	5,332
Death	154	2	0	0	11	0	0	0	0	167
Disruptive Behavior	690	1,341	4	14	0	0	0	2	0	2,051
Disruption of Facility	5	0	0	0	0	0	0	0	0	5
Employee Misconduct	5	0	0	0	0	0	0	0	0	5
Escape Attempt	7	0	0	0	0	0	0	0	0	7
Fire	45	0	0	0	0	0	0	0	0	45
Mass Demonstration	33	0	0	0	0	0	0	0	0	33
Property Destroyed	7	0	0	0	0	0	0	0	0	7
Property Lost or Stolen	54	0	0	0	0	0	0	0	0	54
Self-Inflicted Injury	34	8	0	48	21	0	0	4	4	119
Sexual Misconduct	55	0	0	0	0	0	0	0	0	55
Suicide Attempt	41	0	0	34	86	0	0	6	0	167
Temp Release Related	42	0	0	0	0	0	0	1	0	43
Weapons Used by Staff	1,174	14	0	0	0	0	0	0	0	1,188
Other Incidents	901	2	0	0	1	12	0	1	0	917
Total	10,093	2,260	90	1,239	119	81	19	135	37	14,073
Percent	72%	16%	1%	9%	1%	1%	0%	1%	0%	100%

Table 6 details the weapons used by incarcerated individuals in unusual incidents. For DOCCS reporting purposes, use of body, such as fists or kicks, is considered as a type of weapon in classifying incidents. Previous tables in this report were incident-based. This section of the report focuses on all incarcerated individuals involved in incidents. There were 14,073 instances where incarcerated individuals were associated with unusual incidents in 2017; these are not 14,073 unique incarcerated individuals. Many incarcerated individuals were associated with multiple incidents; the numbers presented here reflect each instance where an incarcerated individual is connected to an incident.

No weapons were used by 10,093 (72%) of the incarcerated individuals associated with incidents. Thus, there were 3,980 incarcerated individuals who did use weapons. Of those who used a weapon, 2,260 (57%) used a body attack such as a fist or kick. Of those using weapons, 1,239 incarcerated individuals (31%) used cutting or stabbing weapons.

Table 7. Unusual Incident Type by Most Serious Type of Staff Use of Force:

January - December 2017

	No	Body	Mechanical		DCI ZU	Chemical				
Incident Type	Force	Hold	Restraint	Shield	Strike	Agents	Baton	Firearm	Other	Total
Accident	554	0	0	0	0	0	0	0	0	554
Assault On Incar. Indiv.	1,068	61	4	0	3	76	13	0	0	1,225
Assault On Other	8	1	0	0	0	0	0	0	0	9
Assault On Staff	235	301	59	16	73	88	27	0	1	800
Contraband	5,135	89	8	0	1	0	1	0	0	5,234
Death	157	0	0	0	0	0	0	1	0	158
Disruption of Facility	13	0	0	0	0	0	0	0	0	13
Disruptive Behavior	326	106	12	62	4	399	28	3	11	951
Employee Job Action	2	0	0	0	0	0	0	0	0	2
Employee Misconduct	93	0	0	0	0	0	0	0	0	93
Escape Attempt	2	0	0	0	0	0	0	0	0	2
Fire	23	0	1	1	0	0	0	0	0	25
Mass Demonstration	1	0	0	0	0	0	0	0	0	1
Property Destruction	8	0	0	0	0	0	0	0	0	8
Property Lost Stolen	83	0	0	0	0	0	0	0	0	83
Self-Injury	102	5	2	2	0	2	0	0	0	113
Sexual Misconduct	40	0	0	0	0	0	0	0	0	40
Suicide Attempt	144	1	0	0	0	0	0	0	0	145
Temporary Release	42	0	0	0	0	0	1	0	0	43
Weapons Use by Staff	684	0	1	0	0	25	3	1	0	714
Other Incidents	842	25	6	2	0	0	0	0	15	890
TOTAL	9,562	589	93	83	81	590	73	5	27	11,103
Percent	86%	5%	1%	1%	1%	5%	1%	0%	0%	100%

Note: This table is based upon a count of the total number of incidents and the most serious type of force used in each incident and is not a count of all the times that staff used force.

Tables 7 and 8 detail the type of staff force that was used in unusual incidents. If more than one type of staff force was used in an incident, the most serious type was selected. Table 7 presents different types of staff force progressively from less serious to more serious, left to right. Staff force was used in 1,541 (14%) incidents in 2017.

Table 7 displays the most serious type of staff force used in each of the incident types that occurred in 2017. No staff force was used in 9,562 (86%) incidents. Of the 1,541 incidents where force was used, 590 (38%) involved the use of chemical agents.

Table 8 displays staff use of force for the ten-year period from 2008 to 2017. In 2008, there were 6,064 unusual incidents, with staff force used in 884 (15%) of the incidents. In 2017, the number of incidents where staff force was used increased to 1,541, or 14% of all incidents. Between 2016 and 2017, incidents with staff use of force increased by 39%, from 1,106 to 1,541, while the proportion of incidents with staff use of force increased from 11% to 14%. Chemical agent use increased by 209%, from 191 in 2016 to 590 in 2017.

Table 8. Staff Use of Force in Unusual Incidents by Security Level: 2008 - 2017

l able 8. Staff	use of Fo	rce in	<u>onusua</u>		ents by	Securi	ty Leve	:I. 2006	- 2017	
Type of Force	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Maximum Security										
No Force Used	3,021	3,027	3,290	3,292	3,193	3,505	3,554	3,939	4,424	5,302
Body Hold	357	365	396	375	345	367	378	415	371	394
Mechanic Restraint	162	130	106	75	77	70	69	88	56	61
Shield	33	27	33	35	48	54	56	64	72	82
Strike	0	0	1	49	87	116	152	160	70	57
Chemical Agents	64	54	45	51	54	64	54	54	184	548
Baton	93	92	86	107	84	122	117	128	79	66
Firearm	2	0	0	1	0	1	2	6	2	4
Other	14	12	13	10	7	17	19	15	24	25
Total	3,746	3,707	3,970	3,995	3,895	4,316	4,401	4,869	5,282	6,539
Medium Security										
No Force Used	1,871	1,781	1,636	1,679	1,906	2,261	2,902	3,821	4,148	4,102
Body Hold	98	77	96	94	110	128	167	225	180	179
Mechanic Restraint	36	21	30	18	18	24	37	39	24	31
Shield	2	3	3	2	4	3	4	2	3	1
Strike	0	0	0	4	9	21	20	30	17	20
Chemical Agents	2	4	7	0	2	1	1	1	7	42
Baton	4	1	2	3	1	3	12	14	6	5
Other	4	3	2	1	0	7	3	5	3	2
Total	2,017	1,890	1,776	1,801	2,050	2,448	3,146	4,137	4,388	4,382
Minimum Security										
No Force Used	279	229	256	170	128	121	156	109	96	142
Body Hold	9	11	8	8	1	10	16	12	5	16
Mechanic Restraint	4	5	2	2	0	2	1	1	1	1
Strike	0	0	0	0	0	1	2	2	2	3
Baton	0	0	0	0	0	0	0	0	0	2
Firearm	0	0	0	0	0	0	0	0	0	1
Total	292	245	266	180	129	134	175	124	104	165
Other										
No Force Used	9	12	8	4	18	5	11	0	12	16
Strike	0	0	0	0	0		1	0	0	1
Sub-total	9	12	8	4	18	5	12	0	12	17
All Security Levels										
No Force Used	5,180	5,049	5,190	5,145	5,245	5,892	6,623	7,869	8,680	9,562
Body Hold	464	453	500	477	456		561	652	556	589
Mechanic Restraint	202	156	138	95	95		107	128	81	93
Shield	35	30	36	37	52	57	60	66	75	83
Strike	0	0	1	53	96	138	175	192	89	81
Chemical Agents	66	58	52	51	56	65	55	55	191	590
Baton	97	93	88	110	85	125	129	142	85	73
Firearm	2	0	0	1	0	1	2	6	2	5
Other	18	15	15	11	7	24	22	20	27	27
Grand Total	6,064	5,854	6,020	5,980	6,092	6,903	7,734	9,130	9,786	11,103

Note: This table is based upon a count of the total number of incidents and the most serious type of force used in each incident and is not a count of all the times that staff used force.

Table 9. Unusual Incident Type by Degree of Staff Injury: January - December 2017

Tuble of offusual		njury		nor		derate		rious	S	evere	Total
Incident Type	#	%	#	%	#	%	#	%	#	%	Staff
Accident	1,978	95.3%	16	0.8%	18	0.9%	63	3.0%	0	0.0%	2,075
Assault on Incar. Indiv.	4,802	98.2%	86	1.8%	2	0.0%	0	0.0%	0	0.0%	4,890
Assault on Other	49	98.0%	1	2.0%	0	0.0%	0	0.0%	0	0.0%	50
Assault on Staff	3,372	74.4%	1,096	24.2%	37	0.8%	27	0.6%	0	0.0%	4,532
Contraband	20,025	99.5%	88	0.4%	1	0.0%	2	0.0%	0	0.0%	20,116
Death	1,691	99.9%	0	0.0%	0	0.0%	0	0.0%	2	0.1%	1,693
Disruption of Facility	81	93.1%	6	6.9%	0	0.0%	0	0.0%	0	0.0%	87
Disruptive Behavior	5,196	94.2%	304	5.5%	6	0.1%	9	0.2%	0	0.0%	5,515
Employee Job Action	8	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	8
Employee Misconduct	517	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	517
Escape Attempt	17	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	17
Fire	156	68.4%	70	30.7%	2	0.9%	0	0.0%	0	0.0%	228
Property Destroyed	28	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	28
Property Lost or Stolen	356	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	356
Self-Inflicted Injury	514	97.9%	11	2.1%	0	0.0%	0	0.0%	0	0.0%	525
Sexual Misconduct	175	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	175
Suicide Attempt	777	99.4%	5	0.6%	0	0.0%	0	0.0%	0	0.0%	782
Temp Release Related	104	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	104
Weapons Used by Staff	1,837	99.7%	5	0.3%	0	0.0%	1	0.1%	0	0.0%	1,843
Other Incidents	4,626	98.0%	63	1.3%	27	0.6%	5	0.1%	0	0.0%	4,721
Total	46,309	96.0%	1,751	3.6%	93	0.2%	107	0.2%	2	0.0%	48,262

Table 9 details the injuries to DOCCS staff members, including both the number of staff members injured and the extent of their injuries. The previous tables in this report have been incident-based; this section and the one that follows are individual-based. Each number here represents a staff member who was associated with an unusual incident. Staff members can be associated with multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of staff members who were associated with an incident or incident category.

The majority of staff involved in incidents (96%) were not injured. Assaults on staff had the highest number of staff injuries (1,160). Of those 1,160 injuries, 94% (1,096) resulted in only minor injuries. Accidents had the highest number of serious injuries (63) of any incident type. There were two severe injuries, both were associated with deaths.

Table 10. Unusual Incident Type by Degree of Incarcerated Individual Iniury: January - December 2017

	mjary: Gariaary				•	
Incident Type	No Injury	Minor	Moderate	Serious	Severe	Total Individuals
Accident	55	19	112	306	0	492
Assault on Incar. Indiv.	894	1,186	351	77	3	2,511
Assault on Other	8	1	0	0	0	9
Assault on Staff	461	385	11	9	0	866
Contraband	5,240	88	3	1	0	5,332
Death	163	0	0	1	3	167
Disruption of Facility	5	0	0	0	0	5
Disruptive Behavior	1,102	625	105	217	2	2,051
Employee Misconduct	5	0	0	0	0	5
Escape Attempt	7	0	0	0	0	7
Fire	33	12	0	0	0	45
Mass Demonstration	33	0	0	0	0	33
Property Destroyed	6	1	0	0	0	7
Property Lost or Stolen	54	0	0	0	0	54
Self-Inflicted Injury	24	23	61	11	0	119
Sexual Misconduct	53	2	0	0	0	55
Suicide Attempt	65	68	27	7	0	167
Temp Release Related	41	1	0	1	0	43
Weapons Used by Staff	1,174	13	1	0	0	1,188
Other Incidents	897	14	3	0	3	917
Total	10,320	2,438	674	630	11	14,073
Percent	73%	17%	5%	4%	0%	100%

Table 10 details the injuries to DOCCS incarcerated individuals, including the number of incarcerated individuals injured and the extent of their injuries. The previous tables in this report have been incident-based; this section is individual-based. Each number here represents an incarcerated individual who was associated with an unusual incident. Incarcerated individuals can be attached to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of incarcerated individuals who were involved in an incident or incident category. Most incarcerated individuals (73%) involved in an unusual incident during 2017 had no injuries. Assaults on incarcerated individuals had the highest number of incarcerated individual injuries (1,617), while accidents had the highest number of serious and severe injuries (306).

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