Addendum #3 October 30, 2026

RFP C161877 – Electronic Health Records (EHR)

The following are official modifications which are hereby incorporated into RFP C161877 – Electronic Health Records (EHR). The information contained in this addendum prevails over the original RFP language and prior Addendums for all amendments below. For the amendments below, deleted language appears in strikethrough (“~~xxx~~”) and added language appears in red underline (“xxx”):

- **Responses to Written Questions submitted by October 7, 2026 are posted. Please see PDF document named: RFP C161877 Q&A 1.**

RFP C161877 - Electronic Health Records	
Event	**Date**
Issuance of Request for Proposals	09/09/26
Deadline for Submission of Written Questions	**No later than 3:00 p.m. EST** 09/23/26
Responses to Written Questions Posted by DOCCS	On or about ~~10/27/2026~~ **10/30/2026**
Deadline for Submission of 2nd Round of Written Questions	**No later than 3:00 p.m. EST** ~~10/28/2026~~ **11/4/2026**
Responses to 2nd Round of Written Questions Posted by DOCCS	On or about ~~11/4/2026~~ **11/18/2026**
Deadline for Submission of Proposals/Bid Opening	**No later than 3:00 p.m. EST** ~~11/18/2026~~ **12/2/2026**
Anticipated Contract Start Date	June 1, 2027

1.7.1 - STFL Sections 139-j and 139-k; Procurement Lobbying Certification
Bidders responding to this RFP should submit **Attachment 8 ~~9~~ – Procurement Lobbying Certification and Disclosure of Prior Non-Responsibility Determination**.

1.7.2 - STFL Section 139-l; Sexual Harassment Prevention Certification
Pursuant to New York State Finance Law §139-l, every bid made on or after January 1, 2019 to the State or any public department or agency thereof, where competitive bidding is required by statute, rule or regulation, for work or services performed or to be performed or goods sold or to be sold, and where otherwise required by such public department or agency, shall contain a certification that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees (**Attachment 9 ~~10~~ – Sexual Harassment Prevention Policy Certification)**.

1.7.3 - STFL Section 139-m; Gender-based Violence Prevention Certification

The statement required by New York State Finance Law § 139-M subdivision 1.a may be submitted electronically in accordance with the provisions of New York State Finance Law § 163 subdivision 7 **(Attachment 10 ~~11~~ – Gender-Based Violence Prevention Policy Certification)**.

1.8.1 - Executive Order 16 – Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia

By submission of a response to this solicitation the Bidder certifies that the Bidder is not an entity conducting business operations in Russia, as those terms are defined in the Executive Order No. 16. (See **Attachment 11~~13~~ – EO16**).

1.8.2 - Executive Order 177 - Prohibiting Contracts with Entities that Support Discrimination

In accordance with Executive Order No. 177 dated February 3, 2018, the Offeror certifies they do not have institutional policies or practices that fail to address those protected status under the Human Rights Law (**Attachment 12~~14~~ – EO177 Certification**).

2.1 - Glossary of Terms

INSTITUTIONAL SETTING	A structured, organized facility that provides round-the-clock housing, care and/or treatment to individuals under professional or governmental supervision.
SUBCONTRACTOR	A business or person that carries out work for a company as part of a larger project. ~~Subcontracting is not permitted under this procurement.~~

2.4 - Term of Agreement

The contract term is for five (5) years from "Go Live" date ~~7 years with a 2-year implementation period then 5 years.~~ The contract resulting from this RFP will commence on the date above in Section 1.1 - Calendar of Events or upon approval by the Office of the New York State Comptroller, whichever comes later, subject to the availability of sufficient funding, successful contractor performance, and approvals from the New York State Office of the Attorney General (OAG) and the Office of the State Comptroller (OSC).

3.1 - Minimum Qualifications

Bidders who fail to provide documentation that provides sufficient evidence of meeting the Minimum Qualifications will be disqualified. DOCCS reserves the right to reject a proposal that contains insufficient documentation of how the Bidder meets the Minimum Qualifications.

3.2 - Vendor Responsibility

Bidders may submit **Attachment 13~~15~~ - Vendor Responsibility Attestation**, or Bidders using the Online Questionnaire may go to the bottom of their certified questionnaire, click the button called "Form Overview" and print the subsequent page to include with their proposal.

4.4.2 #11 - **Application Program Interface (API) Or Self Electronic Portal**: Cloud system must interface with all DOCCS databases, including DOCCS IBM Mainframe, COTS and open system databases via real time web service to verify incarcerated individual location, ~~eligibility~~, etc.

4.5.1- Cloud Implementation: The offeror will work with DOCCS and NYS ITS to convert any needed data from existing DOCCS databases Mainframe IBM DB2. COTS, and open system applications, etc. and create appropriate interfaces with the DOCCS mainframe, etc. using real time web services to verify such elements as incarcerated individual location. ~~and~~ ~~eligibility~~.

4.6.3 – Technical Requirements

Hosting:

• The Offeror must deliver the software as a Cloud-Hosted Solution in a ~~Tier 4~~ Tier 3 or higher data center.

• The Offeror's datacenter(s) must be HIPAA, ~~FEDRAMP~~ and CJIS compliant and FEDRAMP High Certification.

4.7.2 – Scanning Services Shall Include

- Scan pages on both sides (duplex) as grayscale, in ~~industry standard~~ 300 DPI PDF/OCR image files.

4.7.3 – Specifications for scanning all documents are as follows

- Documents scanned at ~~256~~ 8-bit grayscale or 24-bit color, or better as applicable.

5.1 - Administrative Proposal

- **Freedom of Information Law (FOIL) – Proposal Redactions**. Bidders must clearly and specifically identify any portion of the proposal a Bidder believes constitutes proprietary information entitled to confidential handling as an exception to the Freedom of Information Law. See **Section ~~1.6~~ 1.5 - Freedom of Information Law**.
- **Procurement Lobbying Certification and Disclosure of Prior Non-Responsibility Determination.** Submit a completed and signed **Attachment 8 ~~9~~**.
- **Sexual Harassment Prevention Policy Certification/State Finance Law §139-l Certification.** Submit a completed **Attachment 9 ~~10~~**.
- **Gender-based Violence in the Workplace Prevention Policy Certification/State Finance Law §139-m Certification.** Submit a completed **Attachment 10 ~~11~~**.
- **Executive Order 16 - Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia.** Submit a completed **Attachment 11 ~~13~~** certifying the Bidder does not contract with businesses conducting business in Russia.
- **Executive Order 177 – Prohibiting Contracts with Entities that Support Discrimination.** Submit a completed **Attachment 12 ~~14~~ – EO 177 Certification** certifying the Bidder does not have institutional policies or practices that fail to address the harassment and discrimination of individuals on the basis of protected status under the Human Rights Law.
- **Vendor Responsibility Attestation.** Bidders should complete and submit **Attachment 13 ~~15~~**.

- **Encouraging Use of New York State Businesses in Contract Performance.** Submit **Attachment 14 ~~16~~** to identify which New York Businesses you will use in the performance of the Contract, if awarded.
- **MWBE Forms**. Submit completed **Attachment 15 ~~17~~ – MWBE/EEO Policy Statement** agreeing to adopt DOCCS' policies to assist in achieving the MWBE Contract participation goals set by DOCCS and provide equal employment opportunities; and **Attachment 16 ~~18~~ – EEO100 Staffing Plan** identifying the anticipated workforce to be utilized on the Contract, if awarded.

5.2 - Technical Proposal

C. Minimum Qualifications

Vendors interested in participating in the RFP must meet the qualifications outlined in this Section.

Bidders who fail to provide documentation that provides sufficient evidence of meeting the Minimum Qualifications will be disqualified. DOCCS reserves the right to reject a proposal that contains insufficient documentation of how the Bidder meets the Minimum Qualifications. **DOCCS will accept proposals from organizations with the following types and levels of experience as a prime contractor:**

a. Offeror must have at least five (5) years of experience developing electronic health records systems, including: development of an EHR capable of interfacing with multiple systems and the provision of consulting and technical support to large businesses entities and/or correctional systems with multiple geographical locations.
b. Additionally, ~~Offeror~~ offeror must have at least five (5) years of experience successfully managing data migration from a legacy system where the Offeror specifically lead the design and implementation.
c. Offeror must have developed and implemented ~~completed~~ an electronic health records system for at least four (4) different customers, and two (2) must have been within an institutional setting (examples; prison systems, nursing homes, hospitals, etc.).

For the purposes of this RFP, a prime contractor is defined as one who has the contract with the owner of a project or job and has full responsibility for its completion. A prime contractor undertakes to perform a complete contract and, if permitted, may employ (and manage) one or more subcontractors to carry out specific parts of the contract.

Failure to meet these Minimum Qualifications will result in a proposal being found non-responsive and eliminated from consideration. Required evidence of qualifications are listed in Section 5.1.A Mandatory Submissions. For minimum qualifications that do not have specific documentation, a statement explaining how your organization meets the minimum requirement is required.

5.4 – Cost Proposal

DOCCS will retain ~~fifteen (15%)~~ ten (10%) percent of the Bid Price of Deliverables 1-6 ("Retainage"). At the completion of each deliverable, the Contractor will invoice for the deliverable price, less the ~~15%~~ 10% Retainage percentage. Prior to DOCCS releasing any Retainage, Deliverables 1-6 shall have been Accepted and DOCCS shall have indicated that all

Severity 1 and 2 defects have been resolved to DOCCS' satisfaction, such satisfaction not to be unreasonably withheld. Once DOCCS has indicated that all Severity 1 and 2 defects have been resolved, a ninety (90) day system stabilization period shall immediately begin. Upon the expiration of the ninety (90) day system stabilization period, all Retainage shall be due and owing and Vendor shall invoice DOCCS for the full amount of Retainage.

8.0 - EQUAL EMPLOYMENT OPPORTUNITY (EEO) REQUIREMENTS AND REPORTING

Additionally, the successful bidder will be required to certify they have an acceptable Equal Employment Opportunity (EEO) policy statement in accordance with **Attachment 1511 – Minority- and Women-owned Business Enterprises (MWBE) EEO Policy Statement**, which should be submitted with the Administrative Proposal.

To ensure compliance with this section, the Bidder should submit with the bid or proposal an **Attachment 1612 - Equal Employment Opportunity Staffing Plan (EEO100)** identifying the anticipated work force to be utilized on the Contract. Additionally, the Bidder should submit **Attachment 1511 - MWBE and EEO Policy Statement** to DOCCS with their Administrative proposal.

10.2 – General Specifications for DOCCS Contracts

Appendix B - *General Specifications*, dated August 2012 ~~April 2016~~, attached hereto, is hereby expressly made a part of this Bid Document as fully as if set forth at length herein and shall govern any situations not covered by this Bid Document or **Appendix A.** Please retain this document for future reference.

10.7 - Encouraging Use of New York Businesses in Contract Performance

Such partnering may be as subcontractors, suppliers, protégés or other supporting roles. All bidders should complete **Attachment 1410 - Encouraging Use of New York Businesses in Contract Performance**, to indicate their intent to use/not use New York Businesses in the performance of this contract.

Attachments

The following attachments pertain to this Solicitation:

Attachment 2 – Bid Submission Checklist

A separate PDF document replaces the version included in the RFP. Bidders should submit the new PDF document named:.

- **Bid Submission Checklist_r1**

- **Diversity Practices Questionnaire** (RFP Section 5.3)

☐ Attachment 42 – Diversity Practices Questionnaire

Attachment 5 – Bid Price Page

A revised Excel document replaced the original version. Bidders should submit the document named:

- **Attachment 5 - Cost Proposal_r1**

DOCCS will retain ~~fifteen (15%)~~ ten (10%) percent of the Bid Price of Deliverables 1-6 ("Retainage"). At the completion of each deliverable, the Contractor will invoice for the deliverable price, less the ~~15%~~ 10% Retainage percentage. Prior to DOCCS releasing any Retainage, Deliverables 1-6 shall have been Accepted and DOCCS shall have indicated that all Severity 1 and 2 defects have been resolved to DOCCS' satisfaction, such satisfaction not to be unreasonably withheld. Once DOCCS has indicated that all Severity 1 and 2 defects have been resolved, a ninety (90) day system stabilization period shall immediately begin. Upon the expiration of the ninety (90) day system stabilization period, all Retainage shall be due and owing and Vendor shall invoice DOCCS for the full amount of Retainage.

Deliverable 7: Post Go-Live Annual Support and Maintenance (Estimated at 850 concurrent licenses)

Deliverable 8: Scanning and Indexing Cost per Scanned Page (not to include any blank pages) (See Section 4.7 of RFP).

Attachment 17 – Medical Record Volumes

A new PDF document is posted.
Attachment 17 – Medical Record Volumes

All other terms and conditions remain the same.
IMPORTANT NOTE: This addendum *must* be signed and returned.

_____
Signature

_____
Print Name and Title

_____
Date

Applicants should monitor the following Web sites for posted updates or information:

NYS Contract Reporter: http://www.nyscr.ny.gov and NYS DOCCS' Web site: https://doccs.ny.gov/procurement-opportunities