



# Corrections and Community Supervision

## DOCCS FACT SHEET

October 1, 2023

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 32,465 incarcerated individuals and 25,039 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults						
	2018	2019	2020	2021	2022	2023
Assaults on Staff Statewide	990	1,043	1,052	1,177	1,473	1,182
Assaults on Incarcerated Individuals Statewide	1,166	1,267	1,206	1,107	1,488	1,531

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2018-2023						
	2018	2019	2020	2021	2022	2023 YTD
Assaults on Staff Statewide	990	1043	1,052	1,177	1,473	1,182
Maximum-Security*	722	769	774	887	1,019	686
Medium-Security	237	249	262	286	430	479

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 3 <sup>rd</sup> Quarter Assaults					
	Jul	Aug	Sep		Total
Assault on Incarcerated Individual	212	177	182		571
Assault on Staff	137	170	155		462
<b>Total</b>	<b>349</b>	<b>347</b>	<b>337</b>		<b>1,033</b>

<b>Assault Degree of Injury to Staff</b>					
<b>Incident Type: Staff Injuries during an Incarcerated Individual on Incarcerated Individual Assault</b>					
	<b>July</b>	<b>Aug</b>	<b>Sept</b>		<b>Total</b>
<b>No Injury</b>	782	616	629		<b>2,027</b>
<b>Minor*</b>	15	16	10		<b>41</b>
<b>Moderate**</b>	0	0	3		<b>3</b>
<b>Serious***</b>	0	0	0		<b>0</b>
<b>Severe****</b>	0	0	0		<b>0</b>
<b>Total</b>	<b>797</b>	<b>632</b>	<b>642</b>		<b>2,071</b>
<b>Incident Type: Staff Injuries during an Incarcerated Individual on Staff Assault</b>					
	<b>July</b>	<b>Aug</b>	<b>Sept</b>		<b>Total</b>
<b>No Injury</b>	556	695	627		<b>1,878</b>
<b>Minor*</b>	218	280	249		<b>747</b>
<b>Moderate**</b>	13	18	22		<b>53</b>
<b>Serious***</b>	2	5	8		<b>15</b>
<b>Severe****</b>	0	0	1		<b>1</b>
<b>Total</b>	<b>789</b>	<b>998</b>	<b>907</b>		<b>2,694</b>

Note: This table is based upon the number of staff injuries sustained in assaults on staff and assaults on incarcerated individuals each month and not the number of incidents.

Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 55.3 percent, from a high of 72,649 incarcerated individuals to 32,465 (10/1/23).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.
- DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

### DOCCS Security Staff and Incarcerated Population

December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population	Numeric Change	Percent Change	Staffing Ratio (Incarcerated Population vs. Filled Uniform Staff Positions)
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7%	1.8
2022	16,178	-1,237	-7.1%	31,329	583	1.8%	1.9

### Staffing and Population Differences

	12/31/99	10/1/23	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	15,395	6,717	-30.4%
Incarcerated Individuals	72,649	32,465	40,184	-55.3%

### DOCCS Correctional Facility Security Personnel (Council 82/NYSCOPBA Staff)

	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Council 82														
Lieutenants	521	458	483	482	472	475	471	480	478	470	436	413	407	432
NYSCOPBA														
Sergeants	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,052	1,059
Officers	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,846	13,904
Subtotal	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,774</b>	<b>18,712</b>	<b>18,602</b>	<b>18,165</b>	<b>17,002</b>	<b>15,898</b>	<b>14,963</b>
Total	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,254</b>	<b>19,190</b>	<b>19,072</b>	<b>18,601</b>	<b>17,415</b>	<b>16,305</b>	<b>15,395</b>

**Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
October 1<sup>st</sup> of Selected Years (Males Only)**

	2013 YTD			2018 YTD			2023 YTD		
	Number of VFOs	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
<b>Maximum Facilities</b>	18,646	22,866	81.5%	16,838	20,474	82.2%	12,601	14,453	87.2%
<b>Medium Facilities</b>	15,340	27,047	56.7%	13,252	23,471	56.5%	10,302	15,920	64.7%

**SHU Cell Occupants  
Effective Beginning of Business**

Inmate Status	7/1/2023	8/1/2023	9/1/2023	10/1/2023
SHU Disciplinary Sanction	338	218	161	133
KEELOCK Disciplinary Sanction	0	0	0	0
Administrative Segregation	0	0	0	0
Involuntary Protective Custody	0	0	0	0
Pending Disciplinary Hearing	101	187	140	133
Pending Movement From Unit	2	1	1	0
Pending Investigation	0	0	0	0
Special Watch	0	0	0	0
Voluntary Protective Custody	0	0	0	0
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	441	406	302	266

**Statewide Incarcerated Individuals Convicted of a Violent Felony Offense**

End of Year	2019	2020	2021	2022	2023 YTD
<b>Violent Offenders</b>	29,623	25,193	22,706	23,208	23,853

**2018 Releases by Return Rate**

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2018 Releases	20,921	6,613	31.6%	1,198	5.7%	5,415	25.9%
Parole	9,804	3,889	39.7%	528	5.4%	3,361	34.3%
Conditional Release	9,173	2,473	27.0%	419	4.6%	2,054	22.4%
Maximum Expiration	1,944	251	12.9%	251	12.9%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

**Note:** DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Correctional Facility by Manner of Death: YTD 2023						
Facility	Manner of Death					
	Accident	Homicide	Natural Causes	Suicide	Unknown	Total
Albion	0	0	0	0	1	1
Attica	0	0	0	0	3	3
Auburn	0	0	0	0	3	3
Bare Hill	0	0	1	0	0	1
Bedford Hills	0	0	1	0	0	1
Clinton	0	0	0	3	1	4
Collins	0	0	0	0	1	1
Coxsackie	0	0	6	0	0	6
Elmira	0	0	1	1	4	6
Fishkill	0	0	5	0	1	6
Five Points	0	0	0	0	2	2
Gouverneur	0	0	0	0	2	2
Great Meadow	0	0	1	0	1	2
Green Haven	0	0	2	2	3	7
Hudson	0	0	0	0	1	1
Marcy	0	0	1	0	0	1
Mohawk	0	0	12	0	1	13
Shawangunk	0	0	0	0	1	1
Sing Sing	0	0	0	0	2	2
Upstate	0	0	0	1	0	1
Wallkill	0	0	0	0	1	1
Wende	0	0	8	1	4	13
<b>Total</b>	<b>0</b>	<b>0</b>	<b>38</b>	<b>8</b>	<b>32</b>	<b>78</b>

**Note:** Unusual Incident Data is preliminary as of October 1, 2023 and is subject to change. Deaths are categorized during unusual incident reporting and are subject to change upon receipt of an official autopsy report.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau II	462	18
Bureau III	461	11
Bureau IV	591	14
Bureau V	495	16
<b>Brooklyn Region</b>		
Bureau I	445	12
Bureau II	497	11
Bureau III	509	11

Bureau IV	574	14
<b>Central New York</b>		
Syracuse	792	28
Utica	639	19
Watertown Sub	446	13
Northern Front	226	7
Elmira	414	13
Binghamton Sub	295	11
<b>Hudson Valley Region</b>		
New Rochelle	640	18
Albany	819	23
Poughkeepsie	884	19
Albany Belt	839	26
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	469	7
Manhattan Bureau III	458	10
Manhattan Bureau IV	368	10
Manhattan Bureau VI	598	18
Staten Island Sub	298	9
<b>Queens/Long Island</b>		
Queens Bureau I	819	18
Queens Bureau II	675	21
Suffolk	776	22
Nassau	487	18
<b>Western Region</b>		
Buffalo Metro	600	18
Niagara Frontier	309	8
Rochester Metro	532	13
Rochester Belt	434	13
Rochester SOU	483	21
Southern Tier Sub	484	13

**\*Average case load size cannot be determined using this data**