



# Corrections and Community Supervision

## DOCCS FACT SHEET

May 1, 2023

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 31,952 incarcerated individuals and 25,533 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2018	2019	2020	2021	2022	2023
Assaults on Staff Statewide**	990	1,043	1,052	1,177	1,471	459
Assaults on Incarcerated Individuals Statewide**	1,166	1,267	1,206	1,107	1,488	570

\*Excludes Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2018-2023						
	2018	2019	2020	2021	2022	2023 YTD
Assaults on Staff Statewide	972	1033	1,047	1,177	1,469	459
Maximum-Security*	722	769	774	885	1,015	280
Medium-Security	237	249	262	284	430	170

Excludes Edgecombe and Parole Diversion Programs.

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 1 <sup>st</sup> Quarter Assaults					
	Jan	Feb	Mar		Total
Assault on Incarcerated Individual	155	126	135		416
Assault on Staff	117	114	116		347
<b>Total</b>	<b>272</b>	<b>240</b>	<b>251</b>		<b>763</b>

<b>Assault Degree of Injury to Staff</b>					
<b>Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault</b>					
	<b>Feb</b>	<b>Mar</b>	<b>April</b>		<b>Total</b>
<b>No Injury</b>	426	516	576		<b>1,518</b>
<b>Minor*</b>	9	8	15		<b>32</b>
<b>Moderate**</b>	0	0	0		<b>0</b>
<b>Serious***</b>	0	0	0		<b>0</b>
<b>Severe****</b>	0	0	0		<b>0</b>
<b>Total</b>	<b>435</b>	<b>524</b>	<b>591</b>		<b>1,550</b>
<b>Incident Type: Staff Injured during an Incarcerated Individual on Staff Assault</b>					
	<b>Feb</b>	<b>Mar</b>	<b>April</b>		<b>Total</b>
<b>No Injury</b>	463	440	452		<b>1,367</b>
<b>Minor*</b>	184	189	182		<b>552</b>
<b>Moderate**</b>	5	10	10		<b>24</b>
<b>Serious***</b>	4	5	3		<b>13</b>
<b>Severe****</b>	0	0	0		<b>0</b>
<b>Total</b>	<b>656</b>	<b>644</b>	<b>647</b>		<b>1,956</b>

**Note:** Excludes Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 56 percent, from a high of 72,649 incarcerated individuals to 31,952 (5/1/23).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.

- DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Incarcerated Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Incarcerated Population</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions</b>
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8
2022	16,178	-1,237	-7.1%	31,329	583	1.8%	1.9

<b>Staffing and Population Differences</b>				
	<b>12/31/99</b>	<b>5/1/23</b>	<b>Numeric Difference</b>	<b>Percent Change</b>
<b>Correction Officers Sergeants Lieutenants</b>	22,112	15,906	6,206	-28.1%
<b>Incarcerated Individuals</b>	72,649	31,952	40,853	-56.0%

**DOCCS Correctional Facility Security Personnel**  
Council 82/NYSCOPBA Staff

	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Council 82														
Lieutenants	521	458	483	482	472	475	471	480	478	470	436	413	407	432
NYSCOPBA														
Sergeants	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,052	1,051
Officers	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,846	14,423
Subtotal	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,774</b>	<b>18,712</b>	<b>18,602</b>	<b>18,165</b>	<b>17,002</b>	<b>15,898</b>	<b>15,474</b>
Total	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,254</b>	<b>19,190</b>	<b>19,072</b>	<b>18,601</b>	<b>17,415</b>	<b>16,305</b>	<b>15,906</b>

**Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
May 1<sup>st</sup> of Selected Years**

	2013 YTD			2018 YTD			2023 YTD		
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
Maximum Facilities	18,658	23,026	81.0%	17,029	20,917	81.4%	12,551	14,231	88.2%
Medium Facilities	15,255	27,148	56.2%	13,463	24,103	55.9%	10,061	15,599	64.5%

**Note:** This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Orleans, and Hudson PDP.

**SHU Cell Occupants  
Effective Beginning of Business**

Inmate Status	2/1/2023	3/1/2023	4/1/2023	5/1/2023
SHU Disciplinary Sanction	203	279	243	225
KEEPLOCK Disciplinary Sanction	0	0	0	0
Administrative Segregation	0	0	0	0
Involuntary Protective Custody	0	0	0	0
Pending Disciplinary Hearing	146	130	114	143
Pending Movement From Unit	2	1	2	0
Pending Investigation	0	1	0	0
Special Watch	0	0	0	0
Voluntary Protective Custody	0	0	0	0
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	<b>351</b>	<b>411</b>	<b>359</b>	<b>368</b>

**Statewide Incarcerated Individuals Convicted of a Violent Felony Offense**

End of Year	2019	2020	2021	2022	2023 YTD
<b>Violent Offenders</b>	<b>29,623</b>	<b>25,193</b>	<b>22,706</b>	<b>23,208</b>	<b>23,534</b>

**Note:** This table includes individuals at the following parole program facilities: Edgecombe Residential Treatment, and Orleans and Hudson Parole Diversion Program

2018 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2018 Releases	20,921	6,613	31.6%	1,198	5.7%	5,415	25.9%
Parole	9,804	3,889	39.7%	528	5.4%	3,361	34.3%
Conditional Release	9,173	2,473	27.0%	419	4.6%	2,054	22.4%
Maximum Expiration	1,944	251	12.9%	251	12.9%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

**Note:** DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau II	494	15
Bureau III	427	15
Bureau IV	642	16
Bureau V	578	16
<b>Brooklyn Region</b>		
Bureau I	505	17
Bureau II	530	11
Bureau III	514	13
Bureau IV	584	16
<b>Central New York</b>		
Syracuse	799	30
Utica	652	18
Watertown Sub	451	15
Northern Front	233	7
Elmira	414	15
Binghamton Sub	314	10
<b>Hudson Valley Region</b>		
New Rochelle	544	13
Peekskill Sub	141	7
Albany	826	26
Poughkeepsie	897	22
Albany Belt	875	16
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	462	12
Manhattan Bureau III	514	11
Manhattan Bureau IV	305	12
Manhattan Bureau VI	634	17
Staten Island Sub	306	9

<b>Queens/Long Island</b>		
Queens Bureau I	802	18
Queens Bureau II	722	19
Suffolk	819	21
Nassau	479	18
<b>Western Region</b>		
Buffalo Metro	641	16
Niagara Frontier	297	9
Rochester Metro	553	17
Rochester Belt	415	14
Rochester SOU	494	27
Southern Tier Sub	466	12

**\*Average case load size cannot be determined using this data**