



# Corrections and Community Supervision

## DOCCS FACT SHEET

April 1, 2023

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 31,796 incarcerated individuals and 26,020 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2018	2019	2020	2021	2022	2023
Assaults on Staff Statewide**	990	1,043	1,052	1,177	1,471	347
Assaults on Incarcerated Individuals Statewide**	1,166	1,267	1,206	1,107	1,488	416

\*Excludes Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2018-2023						
	2018	2019	2020	2021	2022	2023 YTD
Assaults on Staff Statewide	972	1033	1,047	1,177	1,469	347
Maximum-Security*	722	769	774	885	1,015	216
Medium-Security	237	249	262	284	430	124

Excludes Edgecombe and Parole Diversion Programs.

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 1 <sup>st</sup> Quarter Assaults					
	Jan	Feb	Mar		Total
Assault on Incarcerated Individual	155	126	135		416
Assault on Staff	117	114	116		347
<b>Total</b>	<b>272</b>	<b>240</b>	<b>251</b>		<b>763</b>

<b>Assault Degree of Injury to Staff</b>					
<b>Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault</b>					
	<b>Jan</b>	<b>Feb</b>	<b>Mar</b>		<b>Total</b>
<b>No Injury</b>	540	431	507		<b>1,478</b>
<b>Minor*</b>	12	9	8		<b>29</b>
<b>Moderate**</b>	0	0	0		<b>0</b>
<b>Serious***</b>	0	0	0		<b>0</b>
<b>Severe****</b>	0	0	0		<b>0</b>
<b>Total</b>	<b>552</b>	<b>440</b>	<b>515</b>		<b>1,507</b>
<b>Incident Type: Staff Injured during an Incarcerated Individual on Staff Assault</b>					
	<b>Jan</b>	<b>Feb</b>	<b>Mar</b>		<b>Total</b>
<b>No Injury</b>	462	462	443		<b>1,367</b>
<b>Minor*</b>	182	184	186		<b>552</b>
<b>Moderate**</b>	9	5	10		<b>24</b>
<b>Serious***</b>	4	4	5		<b>13</b>
<b>Severe****</b>	0	0	0		<b>0</b>
<b>Total</b>	<b>657</b>	<b>655</b>	<b>644</b>		<b>1,956</b>

**Note:** Excludes Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 56.2 percent, from a high of 72,649 incarcerated individuals to 31,796 (4/1/23).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.

- DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Incarcerated Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Incarcerated Population</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions</b>
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8
2022	16,178	-1,237	-7.1%	31,329	583	1.8%	1.9

<b>Staffing and Population Differences</b>				
	<b>12/31/99</b>	<b>4/1/23</b>	<b>Numeric Difference</b>	<b>Percent Change</b>
<b>Correction Officers Sergeants Lieutenants</b>	22,112	16,000	6,112	-27.6%
<b>Incarcerated Individuals</b>	72,649	31,796	40,853	-56.2%

**DOCCS Correctional Facility Security Personnel**  
Council 82/NYSCOPBA Staff

	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Council 82														
Lieutenants	521	458	483	482	472	475	471	480	478	470	436	413	407	426
NYSCOPBA														
Sergeants	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,052	1,052
Officers	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,846	14,522
Subtotal	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,774</b>	<b>18,712</b>	<b>18,602</b>	<b>18,165</b>	<b>17,002</b>	<b>15,898</b>	<b>15,574</b>
Total	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,254</b>	<b>19,190</b>	<b>19,072</b>	<b>18,601</b>	<b>17,415</b>	<b>16,305</b>	<b>16,000</b>

**Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
April 1<sup>st</sup> of Selected Years**

	2013 YTD			2018 YTD			2023 YTD		
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
Maximum Facilities	18,590	22,972	80.9%	17,091	20,941	81.6%	12,485	14,231	87.7%
Medium Facilities	15,280	27,236	56.1%	13,493	24,252	55.6%	10,054	15,498	64.9%

**Note:** This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Orleans, and Hudson PDP.

**SHU Cell Occupants  
Effective Beginning of Business**

Inmate Status	1/1/2023	2/1/2023	3/1/2023	4/1/2023
SHU Disciplinary Sanction	205	203	279	243
KEEPLOCK Disciplinary Sanction	0	0	0	0
Administrative Segregation	0	0	0	0
Involuntary Protective Custody	0	0	0	0
Pending Disciplinary Hearing	159	146	130	114
Pending Movement From Unit	0	2	1	2
Pending Investigation	0	0	1	0
Special Watch	0	0	0	0
Voluntary Protective Custody	0	0	0	0
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	<b>364</b>	<b>351</b>	<b>411</b>	<b>359</b>

**Statewide Incarcerated Individuals Convicted of a Violent Felony Offense**

End of Year	2019	2020	2021	2022	2023 YTD
<b>Violent Offenders</b>	<b>29,623</b>	<b>25,193</b>	<b>22,706</b>	<b>23,208</b>	<b>23,457</b>

**Note:** This table includes individuals at the following parole program facilities: Edgecombe Residential Treatment, and Orleans and Hudson Parole Diversion Program

2018 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2018 Releases	20,921	6,613	31.6%	1,198	5.7%	5,415	25.9%
Parole	9,804	3,889	39.7%	528	5.4%	3,361	34.3%
Conditional Release	9,173	2,473	27.0%	419	4.6%	2,054	22.4%
Maximum Expiration	1,944	251	12.9%	251	12.9%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

**Note:** DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau II	498	16
Bureau III	427	15
Bureau IV	648	16
Bureau V	575	16
<b>Brooklyn Region</b>		
Bureau I	510	17
Bureau II	521	11
Bureau III	531	13
Bureau IV	595	16
<b>Central New York</b>		
Syracuse	810	31
Utica	630	19
Watertown Sub	468	15
Northern Front	234	4
Elmira	415	15
Binghamton Sub	312	10
<b>Hudson Valley Region</b>		
New Rochelle	538	13
Peekskill Sub	152	8
Albany	820	26
Poughkeepsie	906	23
Albany Belt	877	16
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	454	12
Manhattan Bureau III	540	11
Manhattan Bureau IV	295	12
Manhattan Bureau VI	642	17
Staten Island Sub	308	9

<b>Queens/Long Island</b>		
Queens Bureau I	806	18
Queens Bureau II	733	20
Suffolk	820	21
Nassau	476	18
<b>Western Region</b>		
Buffalo Metro	655	17
Niagara Frontier	300	9
Rochester Metro	561	19
Rochester Belt	419	14
Rochester SOU	508	27
Southern Tier Sub	469	12

**\*Average case load size cannot be determined using this data**