



# Corrections and Community Supervision

## DOCCS FACT SHEET

February 1, 2022

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 50 facilities that house 30,477 incarcerated individuals and 31,221 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2017	2018	2019	2020	2021	2022
<b>Assaults on Staff Statewide**</b>	799	972	1,033	1,048	1,173	107
<b>Assaults on Incarcerated Individuals Statewide**</b>	1,224	1,165	1,265	1,205	1,106	101

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2017-2022						
	2017	2018	2019	2020	2021	2022
<b>Assaults on Staff Statewide</b>	799	972	1,033	1,048	1,173	107
Maximum-Security*	567	722	769	774	885	76
Medium-Security	214	237	249	262	284	30

Excludes Willard, Edgecombe and Parole Diversion Programs.

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2021 4 <sup>th</sup> Quarter Assaults					
	Oct	Nov	Dec		Total
<b>Assault on Incarcerated Individual</b>	82	101	113		<b>296</b>
<b>Assault on Staff</b>	104	109	125		<b>338</b>
<b>Total</b>	<b>186</b>	<b>210</b>	<b>238</b>		<b>634</b>

<b>Assault Degree of Injury to Staff</b>				
<b>Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault</b>				
	<b>Nov</b>	<b>Dec</b>	<b>Jan</b>	<b>Total</b>
<b>No Injury</b>	407	417	362	1,186
<b>Minor*</b>	3	10	3	16
<b>Moderate**</b>	0	0	1	1
<b>Serious***</b>	0	0	0	0
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>410</b>	<b>427</b>	<b>366</b>	<b>1,203</b>
<b>Incident Type: Staff Injured during an Incarcerated Individual on Staff Assault</b>				
	<b>Nov</b>	<b>Dec</b>	<b>Jan</b>	<b>Total</b>
<b>No Injury</b>	444	511	483	1,438
<b>Minor*</b>	192	164	120	476
<b>Moderate**</b>	6	5	12	23
<b>Serious***</b>	4	1	2	7
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>646</b>	<b>681</b>	<b>617</b>	<b>1,944</b>

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 58.1 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 30,477 (2/1/22).
- Since 2011, the State has eliminated more than 10,000 prison beds and closed a total of 18 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$300 million.

- DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Incarcerated Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Incarcerated Population (includes Willard)</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions</b>
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8

<b>Staffing and Population Differences</b>				
	<b>12/31/99</b>	<b>2/1/22</b>	<b>Numeric Difference</b>	<b>Percent Change</b>
<b>Correction Officers Sergeants Lieutenants</b>	22,112	17,320	4,792	-21.7%
<b>Incarcerated Individuals (includes Willard)</b>	72,649	30,477	42,172	-58.1%

**DOCCS Correctional Facility Security Personnel**  
Council 82/NYSCOPBA Staff

	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022
Council 82														
<b>Lieutenants</b>	526	521	458	483	482	472	475	471	480	478	470	436	413	400
NYSCOPBA														
<b>Sergeants</b>	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,003
<b>Officers</b>	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	15,917
Subtotal	<b>20,191</b>	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,774</b>	<b>18,712</b>	<b>18,602</b>	<b>18,165</b>	<b>17,002</b>	<b>16,920</b>
Total	<b>20,717</b>	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,254</b>	<b>19,190</b>	<b>19,072</b>	<b>18,601</b>	<b>17,415</b>	<b>17,320</b>

**Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
February 1<sup>st</sup> of Selected Years**

	2012			2017			2022		
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
<b>Maximum Facilities</b>	19,256	24,039	80.1%	17,690	21,844	81.0%	12,635	14,469	87.3%
<b>Medium Facilities</b>	14,799	27,308	54.2%	13,819	25,231	54.8%	9,067	13,915	65.2%

**Note:** This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants  
Effective Beginning of Business**

Inmate Status	11/1/2021	12/1/2021	1/1/2022	2/1/2022
<b>SHU Disciplinary Sanction</b>	1,131	1,174	1,268	1,281
<b>KEEPLOCK Disciplinary Sanction</b>	304	101	44	36
<b>Administrative Segregation</b>	8	7	7	10
<b>Involuntary Protective Custody</b>	30	33	36	43
<b>Pending Disciplinary Hearing</b>	260	325	319	285
<b>Pending Movement From Unit</b>	54	55	64	108
<b>Pending Investigation</b>	0	0	0	0
<b>Special Watch</b>	5	1	2	13
<b>Voluntary Protective Custody</b>	22	31	20	19
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	1,814	1,727	1,760	1,795

**Statewide Incarcerated Individuals Convicted of a Violent Felony Offense**

End of Year	2017	2018	2019	2020	2021	2022 YTD
<b>Violent Offenders</b>	32,236	31,093	29,623	25,193	22,706	22,572

**Note:** This table includes individuals at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2015 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2015 Releases	20,776	8,744	42.1%	1,831	8.8%	6,913	33.3%
Parole	8,352	4,377	52.4%	681	8.2%	3,696	44.3%
Conditional Release	10,259	3,946	38.5%	729	7.1%	3,217	31.4%
Maximum Expiration	2,165	421	19.4%	421	19.4%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

**Note:** DOCCS followed a 2015 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	921	20
Bureau II	736	22
Bureau III	584	13
Bureau IV	642	15
Bureau V	736	16
<b>Brooklyn Region</b>		
Bureau I	909	26
Bureau II	694	20
Bureau III	1,016	23
Bureau IV	1,013	26
<b>Central New York</b>		
Northeast	560	17
Plattsburgh Sub	359	8
Syracuse Belt	600	15
Syracuse	966	27
Utica	1,027	27
Watertown Sub	359	8
<b>Hudson Valley Region</b>		
New Rochelle	796	16
Peekskill	795	16
Albany	1,016	24
Schenectady	922	23
Poughkeepsie	896	20
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	564	17
Manhattan Bureau III	996	13
Manhattan Bureau IV	968	17
Manhattan Bureau VI	716	25

Staten Island	513	11
<b>Queens/Long Island</b>		
Queens Bureau I	672	11
Queens Bureau II	1,056	18
Queens Bureau III	807	16
Long Island SOU	705	18
Suffolk	849	16
Nassau	655	15
<b>Western Region</b>		
Buffalo Metro	1,107	25
Niagara Frontier	499	12
Elmira	640	16
Binghamton Sub	521	10
Rochester Metro	1,095	21
Rochester Belt	647	17
Rochester SOU	829	27
Southern Tier Sub	750	16

**\*Average case load size cannot be determined using this data**