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|  <b>NEW YORK STATE</b><br><b>Corrections and Community Supervision</b><br><b>Collins Correctional Facility</b> | FACILITY OPERATIONS MANUAL<br>TITLE<br><b>ASAT, DWI, and RSAT Programs</b> |   | FOM. NO.<br><b>3019</b> |
|   |  |   | DATE<br><b>6/15/21</b>  |
| SUPERSEDES<br><b>P &amp; P DATED 10/09/15</b>   | DISTRIBUTION<br><b>A &amp; B</b>   | PAGE<br><b>1 of 4</b>   |                         |
| REFERENCES  |  | APPROVING AUTHORITY<br> |                         |

I. **AUTHORITY:** Superintendent

II. **PURPOSE:**

To provide a general overview of this facility's alcohol and drug treatment programs, namely the Alcohol and Substance Abuse Treatment (ASAT), Driving While Intoxicated (DWI), and Residential Substance Abuse Treatment (RSAT) programs. These programs address alcohol and substance abuse issues to prepare inmates for reentry into society as productive and contributing members. These Programs are a minimum of 26 weeks, five days a week, am or pm module. Inmates must receive 12 hours of direct treatment services per week. Inmates are placed on a required program list according to their earliest release date. Satisfactory participation will be based on individual program efforts, input and/or feedback on topics presented throughout the month and participant's ability to achieve documented goals.

**Alcohol and Substance Abuse Treatment (ASAT)**

The ASAT Program has been designed to provide treatment for individuals that have a documented and/or self-reported history of alcohol and substance abuse. It is an inmate centered program that incorporates concepts of motivational interviewing. The program places responsibility on the inmate to develop goals and understanding of the process of addiction and recovery.

**Driving While Intoxicated (DWI)**

The DWI Treatment Program provides evidence-based treatment within the prison setting to those inmates who suffer primarily, but not necessarily exclusively, from an alcohol use disorder, and have related vehicular criminal histories. It is an inmate centered program that incorporates the concepts of motivational interviewing based on five major themes, 1. The disease of alcoholism/substance abuse, 2. Relapse prevention, 3. Family issues, 4. Stages of change and 5. Criminal thinking and behaviors. The program places responsibility on the inmate to develop goals and understanding of the process of alcohol and substance abuse and recovery.

**Residential Substance Abuse Treatment (RSAT)**

RSAT is federally funded and is a minimum of six months; maximum of 12 months in duration. Inmates participating in RSAT must live and receive substance abuse treatment separately from other inmates. The treatment content and philosophy of RSAT utilizes the approach described in the "ASAT Operations Manual".

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### Therapeutic Community (TC)

The ASAT/DWI/RSAT Treatment Programs are conducted on residential housing units and incorporates the concepts of Therapeutic Communities utilizing the most current "Therapeutic Community Supplement". The Therapeutic Community utilizes positive peer intervention within a highly structured environment. It promotes pro social vs. antisocial decision-making skills and conflict resolution. All participants are placed within a hierarchy of inmate leaders designed to monitor the overall functioning of the unit. Everyone is responsible for themselves and to each other. Group and community meetings provide a format for community members to address personal and interpersonal issues and are based on openness and honesty. All participants will be required to sign the Therapeutic Community Contract agreeing to follow all Program Termination, Major and House Standards.

### III. POLICY:

It is the facility's goal to provide the inmate population an inmate centered assessment and evaluation, treatment planning, evaluation of progress and continuing recovery planning in ten (10) identified life areas. It is an abstinence model.

### IV. PROCEDURE:

#### A. Criteria for Admission:

1. Facility will utilize admission criteria established within the current ASAT/DWI Operations Manual.
2. Upon arrival at Collins Correctional Facility, an Offender Rehabilitation Coordinator (ORC) will review the inmate's Guidance and Counseling Unit folder and conduct an initial interview. All inmates who meet any of the criteria set forth in the ASAT/DWI Operations Manual will be recommended by the ORC to the ASAT/DWI/RSAT Program.
3. The ORC will complete the Initial Interview/Program Plan Assessment and note if the inmate is either amenable or refusing the ASAT/DWI Programs. DWI identified inmates must sign the DWI treatment notification form (att. BB in DWI operations manual) if amenable or refusing the DWI program. The ORC will then forward the Initial Interview/Program Plan Assessment Form to the Program Committee and will maintain a list of all inmates recommended for ASAT/DWI on the KIPY required program list system and will be responsible for program assignment consistent with space availability.

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4. A general overview of the ASAT/DWI/RSAT Programs will be done at Facility Orientation. All inmates will receive a detailed orientation regarding the ASAT/DWI/RSAT program content, standards governing the program, program expectations of inmate participants and an outline of the benefits to be derived by the inmate toward his rehabilitation. The detailed orientation will be done within the first two days of beginning the program.

B. Program Content:

1. Treatment Documentation

The ASAT/DWI/RSAT programs encompass the use of individualized treatment plans, progress notes, disciplinary record, and program evaluations that include, but are not limited to the following:

- Substance abuse treatment admissions and comprehensive evaluation
- Identification of significant risk factors and diagnostic impression
- Individualized substance abuse treatment plan and updates
- Progress/case conference notes
- Evaluation of progress notes/monthly basis
- Substance abuse treatment continuing recovery plan/discharge plan

This approach to service delivery shall be documented in treatment planning conferences, guidance, and treatment files

2. All inmates involved in ASAT/DWI/RSAT Programs are subject to drug/alcohol testing to promote compliance with these programs as set forth by Directive 4937, Urinalysis Testing. Any positive results for drugs and/or alcohol use will be addressed through normal disciplinary procedures and will result in program removal regardless of the outcome of the disciplinary hearing.
3. Incentives are provided to maintain and increase the inmate's motivation for treatment to include consideration for Earned Eligibility, positive time allowance adjustment, work release, facility outside clearance consideration (if qualified) and subsequently more responsible and desirable jobs for the duration of their sentence.

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4. Criteria for Termination:

Program Removal is considered whenever an inmate receives negative or unsuccessful programming evaluations, disciplinary sanctions and/or violation of program termination standards. Inmates removed from the ASAT/DWI/RSAT Program in an unsatisfactory manner must wait 30 days and submit a readmission request to the ASAT department in order to be considered for reentry. Upon reentry, inmates will be assessed by ASAT staff for prior program credit.

- If an inmate refused to conduct himself in a manner appropriate to the tenets of the ASAT/DWI/RSAT Program, termination will occur based upon the established criteria within the current ASAT/DWI Operations Manuals.
- Violation of facility rules and regulations will be responded to through the established disciplinary procedures. Program termination procedures for inmates receiving a guilty disposition to a misbehavior report will follow the identified process in the current ASAT/DWI Operation Manuals.
- An inmate may refuse program participation prior to being programmed into the ASAT/DWI/RSAT Program. The inmate may request to refuse the programs through his assigned ORC, utilizing Form 3617. Once programmed, an inmate may refuse participation at any time. The inmate will appear before the Treatment Plan Review Committee and a program refusal form (3617) must be completed.

5. Reentry

- Reentry into both the ASAT/DWI/RSAT Program is based not only by the inmate request, but on guidelines established by ASAT staff and the Supervising Offender Rehabilitation Coordinator of the program.