



Corrections and Community Supervision

DOCCS FACT SHEET

November 1, 2021

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 50 facilities that house 31,555 incarcerated individuals and 31,899 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide**	759	799	972	1,033	1,047	939
Assaults on Incarcerated Individuals Statewide**	1,135	1,224	1,165	1,265	1,204	892

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2016-2021						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide	759	799	972	1033	1047	939
Maximum-Security*	552	567	722	769	772	707
Medium-Security	198	214	237	249	262	228

Excludes Willard, Edgecombe and Parole Diversion Programs.

*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2021 3rd Quarter Assaults				
	Jul	Aug	Sep	Total
Assault on Incarcerated Individual	103	127	96	326
Assault on Staff	88	103	108	299
Total	191	230	204	625
Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault				
	Aug	Sep	Oct	Total
No Injury	502	384	329	1,215
Minor*	14	7	8	29
Moderate**	0	1	0	1
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	516	392	337	1,245
Incident Type: Staff Injured during an Incarcerated Individual on Staff Assault				
	Aug	Sep	Oct	Total
No Injury	449	479	443	1,371
Minor*	191	176	143	510
Moderate**	14	6	20	40
Serious***	2	1	6	9
Severe****	0	0	0	0
Total	656	662	612	1,930

Note: Excludes Willard, Edgcombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 56.6 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 31,555 (11/1/21).
- Since 2011, the State has eliminated more than 10,000 prison beds and closed a total of 18 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$300 million.
- DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Incarcerated Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5

2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9

Staffing and Population Differences				
	12/31/99	11/1/21	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	17,573	4,539	-20.5%
Incarcerated Individuals (includes Willard)	72,649	31,555	40,796	-56.6%

DOCCS Correctional Facility Security Personnel														
Council 82/NYSCOPBA Staff														
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Council 82														
Lieutenants	535	526	521	458	483	482	472	475	471	480	478	470	436	406
NYSCOPBA														
Sergeants	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,057
Officers	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	16,110
Subtotal	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,167
Total	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,573

Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities									
November 1st of Selected Years									
	2011			2016			2021		
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
Maximum Facilities	19,213	24,149	79.6%	17,778	21,897	81.2%	12,874	14,899	86.4%
Medium Facilities	14,935	27,485	54.3%	13,968	25,324	55.2%	9,344	14,476	64.5%

Note: This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	8/1/2021	9/1/2021	10/1/2021	11/1/2021
SHU Disciplinary Sanction	1,038	1,087	1,136	1,131
KEEPLOCK Disciplinary Sanction	286	321	330	304
Administrative Segregation	8	9	8	8
Involuntary Protective Custody	40	26	31	30
Pending Disciplinary Hearing	260	331	277	260

Pending Movement From Unit	37	41	37	54
Pending Investigation	1	0	0	0
Special Watch	2	0	0	5
Voluntary Protective Custody	21	20	24	22
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	1,693	1,835	1,843	1,814

Statewide Incarcerated Individuals Convicted of a Violent Felony Offense						
End of Year	2016	2017	2018	2019	2020	2021
Violent Offenders	32,908	32,236	31,093	29,623	25,193	23,118

Note: This table includes individuals at the following parole program facilities: Edgcombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2015 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2015 Releases	20,776	8,744	42.1%	1,831	8.8%	6,913	33.3%
Parole	8,352	4,377	52.4%	681	8.2%	3,696	44.3%
Conditional Release	10,259	3,946	38.5%	729	7.1%	3,217	31.4%
Maximum Expiration	2,165	421	19.4%	421	19.4%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

Note: DOCCS followed a 2015 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	944	19
Bureau II	778	21
Bureau III	668	12
Bureau IV	668	16
Bureau V	755	18
Brooklyn Region		
Bureau I	956	22
Bureau II	707	16
Bureau III	1,053	20
Bureau IV	1,012	18
Central New York		
Northeast	589	17
Plattsburgh Sub	368	8
Syracuse Belt	579	16

Syracuse	986	27
Utica	1,045	28
Watertown Sub	348	8

Hudson Valley Region		
New Rochelle	800	15
Peekskill	811	17
Albany	1,035	23
Schenectady	897	24
Poughkeepsie	911	21
Manhattan/Staten Island		
Manhattan Bureau II	586	15
Manhattan Bureau III	1,072	14
Manhattan Bureau IV	960	13
Manhattan Bureau VI	671	25
Staten Island	560	12
Queens/Long Island		
Queens Bureau I	709	11
Queens Bureau II	1,076	18
Queens Bureau III	792	16
Long Island SOU	692	16
Suffolk	861	16
Nassau	656	14
Western Region		
Buffalo Metro	1,118	25
Niagara Frontier	497	13
Elmira	642	15
Binghamton Sub	516	10
Rochester Metro	1,129	20
Rochester Belt	664	17
Rochester SOU	850	24
Southern Tier Sub	775	17

*Average case load size cannot be determined using this data