



# Corrections and Community Supervision

## DOCCS FACT SHEET

October 1, 2021

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 50 facilities that house 31,853 incarcerated individuals and 31,884 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide**	759	799	972	1,033	1,047	834
Assaults on Incarcerated Individuals Statewide**	1,135	1,224	1,165	1,265	1,204	810

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2016-2021						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide	759	799	972	1033	1047	834
Maximum-Security*	552	567	722	769	772	628
Medium-Security	198	214	237	249	262	202

Excludes Willard, Edgecombe and Parole Diversion Programs.

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

<b>2021 3rd Quarter Assaults</b>				
	<b>Jul</b>	<b>Aug</b>	<b>Sep</b>	<b>Total</b>
<b>Assault on Incarcerated Individual</b>	103	127	96	326
<b>Assault on Staff</b>	88	103	108	299
<b>Total</b>	<b>191</b>	<b>230</b>	<b>204</b>	<b>625</b>
<b>Assault Degree of Injury to Staff</b>				
<b>Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault</b>				
	<b>Jul</b>	<b>Aug</b>	<b>Sep</b>	<b>Total</b>
<b>No Injury</b>	400	503	376	1,279
<b>Minor*</b>	19	13	7	29
<b>Moderate**</b>	0	0	1	1
<b>Serious***</b>	0	0	0	0
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>419</b>	<b>516</b>	<b>384</b>	<b>1,309</b>
<b>Incident Type: Staff Injured during an Incarcerated Individual on Staff Assault</b>				
	<b>Jul</b>	<b>Aug</b>	<b>Sep</b>	<b>Total</b>
<b>No Injury</b>	373	444	473	1,290
<b>Minor*</b>	111	191	173	475
<b>Moderate**</b>	4	13	6	23
<b>Serious***</b>	1	3	1	5
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>489</b>	<b>651</b>	<b>653</b>	<b>1,793</b>

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.



## Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## Prison Closures

- Since 1999, New York's prison population has declined by 56.7 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 32,130 (8/1/21).
- Since 2011, the State has eliminated more than 10,000 prison beds and closed a total of 18 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$300 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Incarcerated Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Offender Population (includes Willard)</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions</b>
<b>2003</b>	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
<b>2004</b>	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
<b>2005</b>	21,420	352	1.7%	63,930	-975	-1.5%	3.0
<b>2006</b>	21,505	85	0.4%	64,410	480	0.8%	3.0
<b>2007</b>	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
<b>2008</b>	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
<b>2009</b>	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
<b>2010</b>	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
<b>2011</b>	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
<b>2012</b>	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
<b>2013</b>	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
<b>2014</b>	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
<b>2015</b>	19,360	358	1.9%	52,344	-759	-1.4%	2.7
<b>2016</b>	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
<b>2017</b>	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
<b>2018</b>	19,295	53	0.3%	47,459	-2,812	-.5.6%	2.5
<b>2019</b>	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3

2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
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Staffing and Population Differences				
	12/31/99	10/1/21	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	17,592	4,520	-20.4%
Inmates (includes Willard)	72,649	31,853	40,796	-56.1%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Council 82														
Lieutenants	535	526	521	458	483	482	472	475	471	480	478	470	436	407
NYSCOPBA														
Sergeants	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,024
Officers	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	16,161
Subtotal	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,185
Total	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,592

### Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities

October 1 <sup>st</sup> of Selected Years									
	2011			2016			2021		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	19,227	24,191	79.5%	17,895	22,088	81.0%	12,948	15,043	86.1%
Medium Facilities	14,924	27,465	54.3%	13,874	25,195	55.1%	9,484	14,692	64.6%

**Note:** This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	7/1/2021	8/1/2021	9/1/2021	10/1/2021
SHU Disciplinary Sanction	1028	1038	1087	1136
KEEPLOCK Disciplinary Sanction	305	286	321	330
Administrative Segregation	7	8	9	8
Involuntary Protective Custody	26	40	26	31
Pending Disciplinary Hearing	286	260	331	277
Pending Movement From Unit	60	37	41	37

<b>Pending Investigation</b>	2	1	0	0
<b>Special Watch</b>	0	2	0	0
<b>Voluntary Protective Custody</b>	29	21	20	24
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	1,743	1,693	1,835	1,843

<b>Statewide Incarcerated Individuals Convicted of a Violent Felony Offense</b>						
End of Year	2016	2017	2018	2019	2020	2021
<b>Violent Offenders</b>	32,908	32,236	31,093	29,623	25,193	23,305

**Note:** This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

<b>2014 Releases by Return Rate</b>							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2014 Releases	21,816	9,318	42.7%	2,079	9.5%	7,239	33.2%
Parole	8,997	4,725	52.5%	786	8.7%	3,939	43.8%
Conditional Release	10,567	4,156	39.3%	856	8.1%	3,300	31.2%
Maximum Expiration	2,252	437	19.4%	437	19.4%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

**Note:** DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

<b>Community Supervision Staffing and Cases by Region/Bureau*</b>		
<b>(start of month data - excludes staff and parolees in the revocation process)</b>		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	941	17
Bureau II	788	20
Bureau III	686	13
Bureau IV	678	17
Bureau V	765	18
<b>Brooklyn Region</b>		
Bureau I	962	22
Bureau II	697	16
Bureau III	1,067	20
Bureau IV	1,005	18
<b>Central New York</b>		
Northeast	554	17
Plattsburgh Sub	363	8
Syracuse Belt	575	16
Syracuse	946	27

Utica	1,027	29
Watertown Sub	343	8

<b>Hudson Valley Region</b>		
New Rochelle	803	15
Peekskill	805	17
Albany	1,043	23
Schenectady	881	24
Poughkeepsie	898	20
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	587	15
Manhattan Bureau III	1,086	14
Manhattan Bureau IV	983	13
Manhattan Bureau VI	665	27
Staten Island	551	12
<b>Queens/Long Island</b>		
Queens Bureau I	713	12
Queens Bureau II	1,092	19
Queens Bureau III	804	15
Long Island SOU	701	16
Suffolk	863	16
Nassau	667	14
<b>Western Region</b>		
Buffalo Metro	1,090	25
Niagara Frontier	493	13
Elmira	606	15
Binghamton Sub	513	10
Rochester Metro	1,118	20
Rochester Belt	658	17
Rochester SOU	824	24
Southern Tier Sub	740	18

\*Average case load size cannot be determined using this data