



Corrections and Community Supervision

DOCCS FACT SHEET

April 1, 2021

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 31,412 inmates and 35,254 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide**	759	799	972	1,033	1,047	242
Assaults on Inmates Statewide**	1,135	1,224	1,165	1,265	1,204	248

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2016-2021						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide	759	799	972	1033	1047	242
Maximum-Security*	552	567	722	769	772	194
Medium-Security	198	214	237	249	262	46

Excludes Willard, Edgecombe and Parole Diversion Programs.

*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2021 1 st Quarter Assaults				
	Jan	Feb	Mar	Total
Assault on Inmate	80	81	87	248
Assault on Staff	93	82	67	242
Total	173	163	154	490
Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	January	February	March	Total
No Injury	314	300	323	937
Minor*	3	11	9	23
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	314	300	323	0
Total	317	311	332	960
Incident Type: Staff Injured during an Inmate on Staff Assault				
	January	February	March	Total
No Injury	371	337	285	993
Minor*	101	98	93	292
Moderate**	9	6	4	19
Serious***	4	2	1	7
Severe****	0	0	0	0
Total	485	443	383	1,311

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 56.8 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 31,412 (4/1/21).
- Since 2011, the State has eliminated more than 9,400 prison beds and closed a total of 19 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$282 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3

2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
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Staffing and Population Differences				
	12/31/99	4/1/21	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	18,222	3,890	-17.6%
Inmates (includes Willard)	72,649	31,412	41,237	-56.8%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Council 82														
Lieutenants	535	526	521	458	483	482	472	475	471	480	478	470	436	427
NYSCOPBA														
Sergeants	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,073
Officers	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	16,722
Subtotal	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,795
Total	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	18,222

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities									
April 1st of Selected Years									
	2011			2016			2021		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	19,103	24,132	79.2%	17,968	22,158	81.1%	13,691	15,532	88.1%
Medium Facilities	15,128	27,685	54.6%	14,182	25,563	55.5%	9,144	13,913	65.7%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	1/1/2021	2/1/2021	3/1/2021	4/1/2021
SHU Disciplinary Sanction	1,073	923	933	1,015
KEEPLOCK Disciplinary Sanction	276	272	293	296
Administrative Segregation	9	16	10	6
Involuntary Protective Custody	52	49	29	33
Pending Disciplinary Hearing	228	251	270	203
Pending Movement From Unit	106	111	73	66

Pending Investigation	2	5	0	1
Special Watch	41	5	3	3
Voluntary Protective Custody	21	19	18	16
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	1,808	1,651	1,629	1,639

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2016	2017	2018	2019	2020	2021
Violent Offenders	32,908	32,236	31,093	29,623	25,193	23,779

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2014 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2014 Releases	21,816	9,318	42.7%	2,079	9.5%	7,239	33.2%
Parole	8,997	4,725	52.5%	786	8.7%	3,939	43.8%
Conditional Release	10,567	4,156	39.3%	856	8.1%	3,300	31.2%
Maximum Expiration	2,252	437	19.4%	437	19.4%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	994	16
Bureau II	821	21
Bureau III	768	13
Bureau IV	696	18
Bureau V	757	19
Brooklyn Region		
Bureau I	994	14
Bureau II	722	12
Bureau III	1,087	21
Bureau IV	1,033	21
Central New York		
Northeast	625	17
Plattsburgh Sub	369	9
Syracuse Belt	627	16
Syracuse	993	27

Utica	1,091	27
Watertown Sub	356	8

Hudson Valley Region		
New Rochelle	844	18
Peekskill	830	20
Albany	1,071	21
Schenectady	918	23
Poughkeepsie	891	22
Manhattan/Staten Island		
Manhattan Bureau II	624	17
Manhattan Bureau III	1,113	14
Manhattan Bureau IV	1,015	12
Manhattan Bureau VI	701	24
Staten Island	603	11
Queens/Long Island		
Queens Bureau I	702	12
Queens Bureau II	1,200	20
Queens Bureau III	716	15
Long Island SOU	737	17
Suffolk	944	18
Nassau	715	15
Western Region		
Buffalo Metro	1,146	23
Niagara Frontier	509	9
Elmira	641	16
Binghamton Sub	547	9
Rochester Metro	1,107	17
Rochester Belt	678	18
Rochester SOU	881	26
Southern Tier Sub	793	19

*Average case load size cannot be determined using this data