



Corrections and Community Supervision

DOCCS FACT SHEET

November 1, 2020

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 35,983 inmates and 35,947 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2015	2016	2017	2018	2019	2020
Assaults on Staff Statewide**	895	759	799	972	1,033	845
Assaults on Inmates Statewide**	915	1,135	1,224	1,165	1,265	1,007

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2015-2020							
		2015	2016	2017	2018	2019	2020
Assaults on Staff Statewide		895	759	799	972	1,033	845
Maximum-Security*		626	552	567	722	769	623
Medium-Security		253	198	214	237	249	214

Excludes Willard, Edgecombe and Parole Diversion Programs.

*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2020 3rd Quarter Assaults				
	July	August	September	Total
Assault on Inmate	116	93	91	300
Assault on Staff	96	80	76	252
Total	212	173	167	552
Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	August	September	October	Total
No Injury	364	328	289	981
Minor*	4	3	9	16
Moderate**	0	0	0	0
Serious***	0	0	1	1
Severe****	0	0	0	0
Total	368	331	299	998
Incident Type: Staff Injured during an Inmate on Staff Assault				
	August	September	October	Total
No Injury	347	326	331	1,004
Minor*	123	108	110	341
Moderate**	7	3	4	14
Serious***	0	3	2	5
Severe****	0	0	0	0
Total	477	440	447	1,364

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 50.5 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 35,983 (11/1/20).
- Since 2011, the State has eliminated more than 6,650 prison beds and closed a total of 17 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$193 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3

Staffing and Population Differences				
	12/31/99	11/1/20	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	18,701	3,411	-15.4%
Inmates (includes Willard)	72,649	35,983	36,666	-50.5%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Council 82														
Lieutenants	541	535	526	521	458	483	482	472	475	471	480	478	470	441
NYSCOPBA														
Sergeants	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,085
Officers	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,175
Subtotal	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,260
Total	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,701

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities									
November 1 st of Selected Years									
	2010			2015			2020		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,883	24,032	78.6%	18,176	22,315	81.5%	14,543	17,056	85.3%
Medium Facilities	15,164	28,195	53.8%	14,389	25,923	55.5%	10,331	16,456	62.8%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	8/1/2020	9/1/2020	10/1/2020	11/1/2020
SHU Disciplinary Sanction	1,106	1,105	1,193	1,152
KEEPLOCK Disciplinary Sanction	299	275	279	275
Administrative Segregation	15	13	11	11
Involuntary Protective Custody	50	48	34	27
Pending Disciplinary Hearing	253	248	244	272
Pending Movement From Unit	45	44	60	102
Pending Investigation	3	2	2	0
Special Watch	4	3	6	8

Voluntary Protective Custody	18	18	19	14
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	1,793	1,756	1,848	1,861

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2015	2016	2017	2018	2019	2020
Violent Offenders	33,641	32,908	32,236	31,093	29,623	25,940

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2012 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau*		
(start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,072	19
Bureau II	919	23
Bureau III	784	15
Bureau IV	750	18
Bureau V	913	19
Brooklyn Region		
Bureau I	1,088	17
Bureau II	806	16
Bureau III	1,160	22
Bureau IV	1,080	22
Central New York		
Northeast	1,032	26
Syracuse Belt	1,111	17
Syracuse	1,100	27
Utica	1,201	36

Hudson Valley Region		
New Rochelle	885	17
Peekskill	886	22
Albany	1,118	21
Schenectady	1,017	23
Poughkeepsie	987	19
Manhattan/Staten Island		
Manhattan Bureau II	668	17
Manhattan Bureau III	1,048	11
Manhattan Bureau IV	1,071	15
Manhattan Bureau VI	696	29
Staten Island	634	10
Queens/Long Island		
Queens Bureau I	785	13
Queens Bureau II	1,319	22
Queens Bureau III	771	16
Long Island SOU	752	0
Suffolk	1,082	17
Nassau	790	15
Western Region		
Buffalo Metro	1,223	24
Niagara Frontier	1,360	29
Elmira	1,246	25
Rochester Metro	1,180	18
Rochester Belt	725	17
Rochester SOU	889	28

***Average case load size cannot be determined using this data**