



# Corrections and Community Supervision

## DOCCS FACT SHEET

April 1, 2020

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 42,784 inmates and 35,905 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2015	2016	2017	2018	2019	2020
<b>Assaults on Staff Statewide**</b>	895	759	799	972	1,033	296
<b>Assaults on Inmates Statewide**</b>	915	1,135	1,224	1,165	1,265	324

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2014-2020						
	2015	2016	2017	2018	2019	2020
<b>Assaults on Staff Statewide</b>	895	759	799	972	1,033	296
Maximum-Security*	626	552	567	722	769	225
Medium-Security	253	198	214	237	249	66

Excludes Willard, Edgecombe and Parole Diversion Programs.

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

<b>2020 1<sup>st</sup> Quarter Assaults</b>				
	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
<b>Assault on Inmate</b>	116	97	111	324
<b>Assault on Staff</b>	109	100	87	296
<b>Total</b>	<b>225</b>	<b>197</b>	<b>198</b>	<b>620</b>

<b>Assault Degree of Injury to Staff</b>				
<b>Incident Type: Staff Injured during an Inmate on Inmate Assault</b>				
	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
<b>No Injury</b>	444	384	476	1,304
<b>Minor*</b>	6	9	15	30
<b>Moderate**</b>	0	0	0	0
<b>Serious***</b>	1	0	0	1
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>451</b>	<b>393</b>	<b>491</b>	<b>1,335</b>

<b>Incident Type: Staff Injured during an Inmate on Staff Assault</b>				
	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
<b>No Injury</b>	416	413	353	1,182
<b>Minor*</b>	139	104	101	344
<b>Moderate**</b>	0	1	5	6
<b>Serious***</b>	3	2	1	6
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>558</b>	<b>520</b>	<b>460</b>	<b>1,538</b>

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## Prison Closures

- Since 1999, New York's prison population has declined by 41 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 42,784 (4/1/20).
- Since 2011, the State has eliminated more than 6,650 prison beds and closed a total of 17 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$193 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Inmate Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Offender Population (includes Willard)</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions</b>
<b>2003</b>	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
<b>2004</b>	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
<b>2005</b>	21,420	352	1.7%	63,930	-975	-1.5%	3.0
<b>2006</b>	21,505	85	0.4%	64,410	480	0.8%	3.0
<b>2007</b>	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
<b>2008</b>	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
<b>2009</b>	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
<b>2010</b>	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
<b>2011</b>	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
<b>2012</b>	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
<b>2013</b>	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
<b>2014</b>	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
<b>2015</b>	19,360	358	1.9%	52,344	-759	-1.4%	2.7
<b>2016</b>	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
<b>2017</b>	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
<b>2018</b>	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5

Staffing and Population Differences				
	12/31/99	4/1/20	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,123	2,989	-13.5%
Inmates (includes Willard)	72,649	42,784	29, 865	-41%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Council 82														
Lieutenants	541	535	526	521	458	483	482	472	475	471	480	478	470	476
NYSCOPBA														
Sergeants	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,139
Officers	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,508
Subtotal	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,647
Total	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	19,123

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities April 1 <sup>st</sup> of Selected Years									
	2010			2015			2020		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,786	23,963	78.4%	18,024	22,119	81.5%	15,345	18,431	83.3%
Medium Facilities	15,239	28,907	52.7%	14,816	26,391	56.1%	12,287	20,777	59.1%

**Note:** This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	1/1/2020	2/1/2020	3/1/2020	4/1/2020
SHU Disciplinary Sanction	1,277	1,234	1,243	1,254
KEEPLOCK Disciplinary Sanction	382	400	409	426
Administrative Segregation	18	15	18	25
Involuntary Protective Custody	34	23	25	30
Pending Disciplinary Hearing	342	331	346	283
Pending Movement From Unit	33	40	53	51
Pending Investigation	0	3	1	0
Special Watch	3	2	2	4
Voluntary Protective Custody	21	14	21	16
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,110	2,062	2,118	2,089

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2015	2016	2017	2018	2019	2020
<b>Violent Offenders</b>	33,641	32,908	32,236	31,093	29,623	29,010

**Note:** This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2012 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

**Note:** DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	1,157	21
Bureau II	939	21
Bureau III	790	16
Bureau IV	827	19
Bureau V	924	20
<b>Brooklyn Region</b>		
Bureau I	1,175	23
Bureau II	922	22
Bureau III	1,243	22
Bureau IV	1,091	26
<b>Central New York</b>		
Northeast	1,052	23
Syracuse Belt	1,108	17
Syracuse	1,096	28
Utica	1,188	38

<b>Hudson Valley Region</b>		
New Rochelle	849	16
Peekskill	882	22
Albany	1,040	21
Schenectady	1,078	23
Poughkeepsie	1,033	20
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	753	18
Manhattan Bureau III	993	16
Manhattan Bureau IV	942	22
Manhattan Bureau VI	677	30
Staten Island	572	10
<b>Queens/Long Island</b>		
Queens Bureau I	734	13
Queens Bureau II	1,209	23
Queens Bureau III	782	16
Long Island SOU	756	0
Suffolk	1,103	16
Nassau	756	17
<b>Western Region</b>		
Buffalo Metro	1,148	26
Niagara Frontier	1,332	30
Elmira	1,230	23
Rochester Metro	1,187	20
Rochester Belt	718	19
Rochester SOU	901	22

\*Average case load size cannot be determined using this data