



# Corrections and Community Supervision

## DOCCS FACT SHEET

March 1, 2020

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 43,801 inmates and 35,889 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2015	2016	2017	2018	2019	2020
<b>Assaults on Staff Statewide**</b>	895	759	799	972	1,033	209
<b>Assaults on Inmates Statewide**</b>	915	1,135	1,224	1,165	1,265	213

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2014-2020						
	2015	2016	2017	2018	2019	2020
<b>Assaults on Staff Statewide</b>	895	759	799	972	1,033	209
Maximum-Security**	626	552	567	722	769	157
Medium-Security**	253	198	214	237	249	48

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

<b>2019 4<sup>th</sup> Quarter Assaults</b>				
	<b>October</b>	<b>November</b>	<b>December</b>	<b>Total</b>
<b>Assault on Inmate</b>	148	97	105	350
<b>Assault on Staff</b>	83	95	93	271
<b>Total</b>	<b>231</b>	<b>192</b>	<b>198</b>	<b>621</b>

<b>Assault Degree of Injury to Staff</b>				
<b>Incident Type: Staff Injured during an Inmate on Inmate Assault</b>				
	<b>October</b>	<b>November</b>	<b>December</b>	<b>Total</b>
<b>No Injury</b>	591	387	408	1,386
<b>Minor*</b>	13	11	13	37
<b>Moderate**</b>	0	0	0	0
<b>Serious***</b>	0	0	0	0
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>604</b>	<b>398</b>	<b>421</b>	<b>1,423</b>

<b>Incident Type: Staff Injured during an Inmate on Staff Assault</b>				
	<b>October</b>	<b>November</b>	<b>December</b>	<b>Total</b>
<b>No Injury</b>	339	414	384	1,137
<b>Minor*</b>	89	130	123	342
<b>Moderate**</b>	1	2	3	6
<b>Serious***</b>	2	2	3	7
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>431</b>	<b>548</b>	<b>513</b>	<b>1,492</b>

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## Prison Closures

- Since 1999, New York's prison population has declined by 39.7 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 43,801 (3/1/20).
- Since 2011, the State has eliminated more than 6,650 prison beds and closed a total of 17 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$193 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Inmate Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Offender Population (includes Willard)</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions</b>
<b>2003</b>	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
<b>2004</b>	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
<b>2005</b>	21,420	352	1.7%	63,930	-975	-1.5%	3.0
<b>2006</b>	21,505	85	0.4%	64,410	480	0.8%	3.0
<b>2007</b>	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
<b>2008</b>	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
<b>2009</b>	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
<b>2010</b>	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
<b>2011</b>	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
<b>2012</b>	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
<b>2013</b>	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
<b>2014</b>	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
<b>2015</b>	19,360	358	1.9%	52,344	-759	-1.4%	2.7
<b>2016</b>	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
<b>2017</b>	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
<b>2018</b>	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5

Staffing and Population Differences				
	12/31/99	3/1/20	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,101	3,010	-13.6%
Inmates (includes Willard)	72,649	43,881	28,768	-39.7%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Council 82														
Lieutenants	541	535	526	521	458	483	482	472	475	471	480	478	470	467
NYSCOPBA														
Sergeants	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,159
Officers	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,475
Subtotal	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,634
Total	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	19,101

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities March 1 <sup>st</sup> of Selected Years									
	2010			2015			2020		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,682	23,960	78.0%	18,103	22,192	81.6%	15,523	18,759	82.7%
Medium Facilities	15,318	29,067	52.7%	14,805	26,395	56.1%	12,445	21,191	58.7%

**Note:** This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	12/1/2019	1/1/2020	2/1/2020	3/1/2020
SHU Disciplinary Sanction	1,364	1,277	1,234	1,243
KEEPLOCK Disciplinary Sanction	394	382	400	409
Administrative Segregation	20	18	15	18
Involuntary Protective Custody	40	34	23	25
Pending Disciplinary Hearing	356	342	331	346
Pending Movement From Unit	45	33	40	53
Pending Investigation	2	0	3	1
Special Watch	1	3	2	2
Voluntary Protective Custody	19	21	14	21
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,241	2,110	2,062	2,118

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2015	2016	2017	2018	2019	2020
<b>Violent Offenders</b>	33,641	32,908	32,236	31,093	29,623	29,414

**Note:** This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2012 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

**Note:** DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	1,140	21
Bureau II	951	22
Bureau III	793	16
Bureau IV	803	18
Bureau V	903	18
<b>Brooklyn Region</b>		
Bureau I	1,154	23
Bureau II	910	24
Bureau III	1,237	22
Bureau IV	1,077	26
<b>Central New York</b>		
Northeast	1,043	24
Syracuse Belt	1,093	17
Syracuse	1,110	28
Utica	1,183	38

<b>Hudson Valley Region</b>		
New Rochelle	885	16
Peekskill	880	23
Albany	1,028	22
Schenectady	1,051	22
Poughkeepsie	1,032	19
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	751	17
Manhattan Bureau III	1,012	16
Manhattan Bureau IV	945	24
Manhattan Bureau VI	676	30
Staten Island	575	11
<b>Queens/Long Island</b>		
Queens Bureau I	726	12
Queens Bureau II	1,216	23
Queens Bureau III	792	16
Long Island SOU	751	0
Suffolk	1,093	17
Nassau	755	16
<b>Western Region</b>		
Buffalo Metro	1,121	25
Niagara Frontier	1,328	31
Elmira	1,221	23
Rochester Metro	1,170	20
Rochester Belt	713	18
Rochester SOU	863	23

\*Average case load size cannot be determined using this data