



Corrections and Community Supervision

DOCCS FACT SHEET

January 1, 2020

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 44,284 inmates and 35,956 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2014	2015	2016	2017	2018	2019
Assaults on Staff Statewide**	747	895	759	799	972	1,032
Assaults on Inmates Statewide**	860	915	1,135	1,224	1,164	1,262

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2014-2019						
	2014	2015	2016	2017	2018	2019
Assaults on Staff Statewide	747	895	759	798	972	1,032
Maximum-Security**	538	626	552	566	722	768
Medium-Security**	188	253	198	214	237	249

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2019 4 th Quarter Assaults				
	October	November	December	Total
Assault on Inmate	148	97	105	350
Assault on Staff	83	95	93	271
Total	231	192	198	621

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	October	November	December	Total
No Injury	591	387	408	1,386
Minor*	13	11	13	37
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	604	398	421	1,423

Incident Type: Staff Injured during an Inmate on Staff Assault				
	October	November	December	Total
No Injury	339	414	384	1,137
Minor*	89	130	123	342
Moderate**	1	2	3	6
Serious***	2	2	3	7
Severe****	0	0	0	0
Total	431	548	513	1,492

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 39.0 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 44,284 (1/1/20).
- Since 2011, the State has eliminated more than 6,650 prison beds and closed a total of 17 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$193 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5

Staffing and Population Differences				
	12/31/99	1/1/20	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,072	3,040	-13.7%
Inmates (includes Willard)	72,649	44,284	28,365	-39.0%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Council 82														
Lieutenants	543	541	535	526	521	458	483	482	472	475	471	480	478	470
NYSCOPBA														
Sergeants	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171
Officers	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431
Subtotal	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602
Total	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities January 1 st of Selected Years									
	2010			2015			2020		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,674	23,909	78.1%	18,296	22,405	81.7%	15,624	18,947	82.5%
Medium Facilities	15,456	29,630	52.2%	14,760	26,366	56.0%	12,528	21,403	58.5%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	10/1/2019	11/1/2019	12/1/2019	1/1/2020
SHU Disciplinary Sanction	1,433	1,501	1,364	1,277
KEEPLOCK Disciplinary Sanction	408	412	394	382
Administrative Segregation	17	21	20	18
Involuntary Protective Custody	32	34	40	34
Pending Disciplinary Hearing	388	353	356	342
Pending Movement From Unit	32	34	45	33
Pending Investigation	6	0	2	0
Special Watch	4	3	1	3
Voluntary Protective Custody	25	19	19	21
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,345	2,377	2,241	2,110

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2014	2015	2016	2017	2018	2019
Violent Offenders	34,380	33,641	32,908	32,236	31,093	29,623

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2012 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,105	20
Bureau II	915	22
Bureau III	781	13
Bureau IV	822	19
Bureau V	881	19
Brooklyn Region		
Bureau I	1,135	20
Bureau II	893	21
Bureau III	1,234	19
Bureau IV	1,086	27
Central New York		
Northeast	1,026	25
Syracuse Belt	1,099	17
Syracuse	1,100	27
Utica	1,172	38

Hudson Valley Region		
New Rochelle	856	17
Peekskill	887	23
Albany	1,029	26
Schenectady	1,016	18
Poughkeepsie	1,077	20
Manhattan/Staten Island		
Manhattan Bureau II	812	19
Manhattan Bureau III	988	14
Manhattan Bureau IV	926	18
Manhattan Bureau VI	658	28
Staten Island	574	10
Queens/Long Island		
Queens Bureau I	777	12
Queens Bureau II	1,249	23
Queens Bureau III	788	16
Suffolk	1,660	21
Nassau	968	16
Western Region		
Buffalo Metro	1,130	26
Niagara Frontier	1,323	29
Elmira	1,221	25
Rochester Metro	1,123	25
Rochester Belt	688	17
Rochester SOU	892	23

*Average case load size cannot be determined using this data