



Corrections and Community Supervision

Unusual Incident Report

January – December 2016, with Ten-Year Trends from 2007 - 2016

Unusual Incident Report

2007 -2016

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Executive Summary

Total Unusual Incidents

- There were 9,785 unusual incidents that occurred during 2016, with a rate of 191.5 per 1,000 inmates. The most frequent incidents were contraband (4,744), assaults on inmates (1,135), assaults on staff (759), and disruptive behavior (632).
- There were 5,282 incidents in maximum security facilities, with a rate of 230.7 per 1,000 inmates. Medium security facilities had 4,387 incidents, with a rate of 164.2. Minimum security facilities had 104 incidents, with a rate of 69.9.
- The number of unusual incidents has steadily increased over the past ten years, from 6,006 in 2007 to 9,785 in 2016, although much of this increase is likely due to improvements in reporting incidents.

Staff Assaults

- There were 759 staff assaults in 2016, with a rate of 14.9 per 1,000 inmates. There were 552 staff assaults in maximum security facilities, with a rate of 24.1, while medium security facilities had 198 (7.4), minimum security facilities had 9 (6.0).
- Staff assaults increased in 2013 (645), 2014 (747), and 2015 (895), but decreased in 2016 (759).

Inmate Assaults

- There were 1,135 inmate assaults in 2016, with a rate of 22.2 per 1,000 inmates. This is the highest rate of inmate assaults in the past ten years. There were 580 inmate assaults in maximum security facilities (25.3), while medium security facilities had 554 (20.7), and minimum security facilities had 1 (0.7).

Contraband

- There were 4,744 contraband incidents in 2016, with a rate of 92.8 per 1,000 inmates. Most contraband incidents were either drugs (2,532) or cutting/stabbing weapons (1,864).
- There were 2,189 contraband incidents in maximum security facilities, with a rate of 95.6 per 1,000 inmates, while medium security facilities had 2,511 (94.0), minimum security facilities had 38 (25.5).

Location of Incidents

- In 2016, the most frequent locations for unusual incidents were housing units (33%), yards (10%), Special Housing Units (9%), and walkways and corridors (8%).

Inmate Weapon Use

- Inmates used weapons in 27% of incidents in 2016. Use of body, such as fists or kicking was used in 13% of incidents, while cutting/stabbing weapons were used in 9% of incidents. Body attacks were most frequently used in disruptive behavior incidents and staff assault incidents, while cutting/stabbing weapons were most frequently used in inmate assaults.
- In 2016, the use of cutting and stabbing weapons was at the highest level in the past ten years. The increase is primarily due to these weapons being used in inmate assault incidents.

Staff Use of Force

- Staff used force in 1,106 incidents in 2016 (11%). Force was used most frequently (63% of the time) in staff assault incidents. In 2016, there were decreases in the use of body holds, mechanical restraints, batons, and strikes, while there was an increase in chemical agents.

Injury to Staff

- In 2016, 42,735 staff members were listed in unusual incidents. The vast majority of the time (96%), these staff members resolved the incident in question without injury. In 2016, 1,520 staff members reported injuries; of these, 1,336 were minor, 117 were moderate, 62 were serious, and five were severe.
- Assaults on staff had the highest number of staff injuries (997), although the majority of staff in these incidents (76%) did not receive any injuries. Accidents had the highest number of injuries that were serious or severe (35).

Injury to Inmates

- In 2016, there were 12,078 inmates involved in unusual incidents. The majority of these inmates (73%) did not receive any injuries. A total of 3,287 inmates received injuries, with 1,980 minor injuries, 827 moderate, 465 serious, and 15 severe injuries.
- Most inmate injuries occurred in inmate assaults (1,484), disruptive behavior (661), accidents (450), and staff assaults (364). Most of the serious and severe inmate injuries occurred in accidents (255).

Deaths

- There were 152 deaths in 2016. Of these, 148 were inmates formally under DOCCS jurisdiction and four were staff members. None of these deaths were homicides.

Inmate Mental Health

- The majority of inmates (67%) were not on the OMH caseload at the time of the incident. The incident types where a majority of inmates were on the OMH caseload were self-inflicted injuries (91%), suicide attempts (76%), other incidents (66%), and staff assaults (56%).

Introduction

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (DOCCS). Unusual incidents are serious occurrences that may impact upon or disrupt facility operations, have the potential for disrupting the Department's public image, or might arouse widespread public interest. All unusual incidents are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. This system can also identify all inmates and staff listed in incidents, along with information about them, such as whether an injury was sustained by an inmate or a staff member, whether an inmate used a weapon, or whether a staff member used force.

This report includes information on unusual incidents that occurred during the current reporting year (January – December 2016), along with comparisons to trends in unusual incidents in the past ten years (2007 - 2016). Where appropriate, rates of unusual incidents are presented in terms of the rate these incidents occur for every 1,000 inmates.

The report only covers incidents that occurred at DOCCS correctional facilities, and does not include incidents that took place at a Parole Diversion Program (PDP), Willard Drug Treatment Campus (WDTC), or those incidents involving parolees.

Sections of this report are devoted to staff assaults, inmate assaults, contraband incidents, incident location, inmate weapon use, staff use of force, staff injury, inmate injury, inmate deaths, and inmate mental health.

Section One: Frequency and Rate of Unusual Incidents in 2016

A total of 9,785 unusual incidents were reported in 2016. Table 1-1 shows the type and number of incidents that occurred each month during the year. The most frequently reported categories of incidents were contraband (4,744), assault on inmate (1,135), assault on staff (759) and disruptive behavior (632). Together, these four categories accounted for 74% of reported incidents.

Table 1-1. Unusual Incident Type by Month: January - December 2016

Incident Type	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Accident	41	35	40	46	40	61	48	43	52	44	34	50	534
Assault on Inmate	109	80	78	90	83	99	94	114	97	98	107	86	1,135
Assault on Staff	75	76	66	37	54	66	58	83	64	57	61	62	759
Assault on Other	1	2	2	1	1	0	1	1	0	1	0	2	12
Contraband	371	398	399	350	424	390	405	451	436	386	372	362	4,744
Death	10	10	17	16	15	13	18	11	13	7	13	9	152
Disruptive Behavior	43	46	43	36	42	50	62	45	61	72	64	68	632
Disruption of Facility	1	2	0	0	0	0	0	2	4	0	2	0	11
Employee Misconduct	11	8	8	8	11	13	4	9	6	12	10	6	106
Escape	0	0	1	0	0	0	0	0	0	0	0	0	1
Attempted Escape	0	0	0	0	0	0	0	1	0	0	0	0	1
Fire	2	1	3	6	0	4	0	0	1	1	4	2	24
Inmate Mass Demonstration	0	0	0	0	0	0	0	0	1	0	1	0	2
Property Destroyed	0	0	0	0	0	0	1	0	0	0	2	0	3
Property Lost or Stolen	6	6	6	3	5	8	13	9	5	3	5	10	79
Self-Inflicted Injury	8	7	5	5	4	4	9	3	11	7	11	3	77
Sexual Misconduct	4	5	2	4	2	6	3	7	2	1	1	2	39
Suicide Attempt	14	14	13	7	26	15	19	20	14	13	18	14	187
Temp Release Related	1	0	2	2	2	1	2	4	3	3	0	3	23
Staff Use of Weapons	19	15	13	15	16	18	25	34	27	36	44	31	293
Other Incidents	58	73	75	65	77	88	107	80	79	113	92	64	971
Total	774	778	773	691	802	836	869	917	876	854	841	774	9,785

Table 1-2 presents the number of unusual incidents in 2016 and the rate that they occurred by the average under custody population. The largest category is contraband, with 4,744 incidents. The rate for contraband is 92.8; this means that for every 1,000 inmates under custody, there were 92.8 contraband incidents. Overall, there were 191.5 unusual incidents for every 1,000 inmates under custody. In 2016, DOCCS had an average under custody population of 51,105 inmates.

**Table 1-2. Unusual Incident Frequency and Rate
January - December 2016**

Incident Type	Number of Incidents	Rate per 1,000 Inmates
Accident	534	10.4
Assault on Inmate	1,135	22.2
Assault on Staff	759	14.9
Assault on Other	12	0.2
Contraband	4,744	92.8
Death	152	3.0
Disruptive Behavior	632	12.4
Disruption of Facility	11	0.2
Employee Misconduct	106	2.1
Escape	1	0.0
Attempted Escape	1	0.0
Fire	24	0.5
Inmate Mass Demonstration	2	0.0
Property Destroyed	3	0.1
Property Lost or Stolen	79	1.5
Self-Inflicted Injury	77	1.5
Sexual Misconduct	39	0.8
Suicide Attempt	187	3.7
Temp Release Related	23	0.5
Staff Use of Weapons	293	5.7
Other Incidents	971	19.0
Total	9,785	191.5

Table 1-3. Number and Rate of Unusual Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Unusual Incidents	Rate per 1,000 Inmates
Maximum Security			
Attica	1,992	445	223.4
Auburn	1,560	539	345.5
Bedford Hills	741	95	128.2
Clinton	2,643	568	214.9
Coxsackie	860	158	183.7
Downstate	1,156	229	198.1
Eastern	905	87	96.1
Elmira	1,594	360	225.8
Five Points	1,269	286	225.4
Great Meadow	1,452	477	328.5
Green Haven	1,955	340	173.9
Shawangunk	502	201	400.4
Sing Sing	1,580	316	200.0
Southport	617	102	165.3
Sullivan	475	154	324.2
Upstate	1,134	184	162.3
Wende	761	175	230.0
Sub-Total	21,196	4,716	222.5
Special Housing 200 Bed			
Cayuga SHU200	138	1	7.2
Collins SHU200	164	49	298.8
Fishkill SHU200	151	48	317.9
Gouverneur SHU200	167	27	161.7
Greene SHU200	147	25	170.1
Lakeview SHU200	154	16	103.9
Mid-State SHU200	160	14	87.5
Orleans SHU200	150	27	180.0
Sub-Total	1,231	207	168.2
Residential Mental Health Treatment Unit			
Attica RMHU	8	10	1,250.0
Five Points RMHU	55	101	1,836.4
Marcy RMHU	93	97	1,043.0
Bedford Hills TBU	9	15	1,666.7
Great Meadow BHU	32	46	1,437.5
Sub-Total	197	269	1,365.5
Regional Medical Unit			
Bedford Hills RMU	16	1	62.5
Coxsackie RMU	59	28	474.6
Walsh RMU	116	39	336.2
Wende RMU	78	22	282.1
Sub-Total	269	90	334.6
Total Maximum Security	22,893	5,282	230.7

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Table 1-3. Number and Rate of Unusual Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Unusual Incidents	Rate per 1,000 Inmates
Medium Security			
Adirondack	383	15	39.2
Albion	1,065	106	99.5
Altona	455	69	151.6
Bare Hill	1,481	188	126.9
Cape Vincent	849	95	111.9
Cayuga	831	105	126.4
Collins	873	159	182.1
Fishkill	1,400	313	223.6
Franklin	1,430	340	237.8
Gouverneur	850	108	127.1
Gowanda	1,531	200	130.6
Greene	1,506	280	185.9
Groveland	1,041	144	138.3
Hale Creek	344	13	37.8
Hudson	98	21	214.3
Lakeview Female Transit	5	2	400.0
Livingston	842	97	115.2
Marcy	1,039	278	267.6
Midstate	1,398	238	170.2
Mohawk	1,283	203	158.2
Ogdensburg	411	56	136.3
Orleans	767	151	196.9
Otisville	574	36	62.7
Riverview	852	138	162.0
Taconic	341	16	46.9
Ulster	776	142	183.0
Wallkill	530	38	71.7
Washington	840	245	291.7
Watertown	529	49	92.6
Woodbourne	800	81	101.3
Wyoming	1,570	451	287.3
Sub-Total	26,694	4,377	164.0
Regional Medical Unit			
Fishkill RMU	30	10	333.3
Total Medium Security	26,724	4,387	164.2

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Table 1-3. Number and Rate of Unusual Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Unusual Incidents	Rate per 1,000 Inmates
Minimum Shock			
Moriah Shock	190	1	5.3
Lakeview Shock	505	13	25.7
Sub-Total	695	14	20.1
Minimum Security Other			
Edgecombe	16	10	625.0
Hudson Industrial Training	42	0	0.0
Lakeview Male Transit	106	4	37.7
Lincoln	137	25	182.5
Queensboro	264	23	87.1
Rochester	68	12	176.5
Sub-Total	633	74	116.9
Minimum Work Release			
Albion Work Release	33	1	30.3
Edgecombe Work Release	34	6	176.5
Fishkill Work Release	46	3	65.2
Hale Creek Work Release	5	0	0.0
Hudson Work Release	42	6	142.9
Sub-Total	160	16	100.0
Total Minimum Security	1,488	104	69.9
Other			
Central Office	0	12	N/A
Grand Total	51,105	9,785	191.5

Table 1-3 displays the number and rate of unusual incidents in 2016 by the facility in which they occurred. For ease of reading, the tables in this report that display information on specific correctional facilities have been divided into three parts. The first part contains the maximum security facilities, the second contains medium security facilities, and the third contains the minimum security facilities and the grand total for all DOCCS facilities.

Attica, which is a maximum security prison, had an average inmate population of 1,992 and it experienced 445 unusual incidents, for a rate of 223.4 incidents for every 1,000 inmates. Rates are comparable, so if we look at Adirondack, which is a medium security facility with an average inmate population of 383 and an unusual incident rate of 39.2 incidents per 1,000 inmates, we would be able to say that Attica's unusual incident rate was 5.7 times higher than Adirondack's.

Throughout this report, instances where a facility (or sub-facility) does not have a current inmate population, "N/A" is displayed where the rate would be.

Residential Mental Health Units (RMHUs) had the highest rate of unusual incidents per 1,000 inmates. It should be noted, however that these sub-facilities have relatively low inmate populations.

**Table 1-4. Unusual Incident Type by Facility and Security Level:
January - December 2016**

Correctional Facility	Accident	Assault on Inmate	Assault on Staff	Assault on Other	Contraband	Death	Disruptive Behavior	Disruption of Facility	Employee Misconduct	Escape	Attempted Escape	Fire	Inmate Mass Demonstration	Property Destroyed	Property Lost or Stolen	Self-inflicted Injury	Sexual Misconduct	Suicide Attempt	Temp Release Related	Staff Use of Weapons	Other Incidents	Total	
Maximum Security																							
Attica	14	67	12	1	211	2	40	0	1	0	0	2	0	0	1	5	3	12	0	35	39	445	
Auburn	5	87	32	0	289	1	41	1	0	0	0	0	1	0	2	6	0	9	0	29	36	539	
Bedford Hills	4	9	24	1	20	5	4	0	3	0	0	1	0	0	2	0	0	5	0	0	17	95	
Clinton	21	89	32	0	228	10	55	0	5	0	0	2	0	1	3	11	3	10	0	57	41	568	
Coxsackie	7	8	26	0	76	2	8	0	4	0	0	0	0	0	2	1	0	7	0	2	15	158	
Downstate	6	21	29	0	82	3	14	1	4	0	0	1	0	0	4	2	0	4	0	5	53	229	
Eastern	13	4	3	1	38	2	5	2	2	0	0	0	0	0	1	0	2	3	0	1	10	87	
Elmira	8	75	27	0	180	5	15	0	2	0	0	1	0	0	2	2	0	9	0	3	31	360	
Five Points	3	39	18	0	160	5	12	1	2	0	0	1	0	0	0	4	1	8	0	1	31	286	
Great Meadow	15	76	51	0	215	2	40	0	1	0	0	0	0	0	0	3	2	5	0	44	23	477	
Green Haven	11	24	55	0	159	8	17	0	4	0	0	5	1	0	1	4	0	2	0	12	37	340	
Shawangunk	7	5	3	1	23	1	1	0	1	0	0	0	0	0	6	0	0	1	0	0	152	201	
Sing Sing	16	25	36	0	152	7	17	0	7	0	1	0	0	1	7	3	0	0	0	9	35	316	
Southport	7	1	20	1	31	1	9	0	0	0	0	0	0	0	0	2	0	4	0	6	20	102	
Sullivan	10	15	39	0	29	0	24	0	2	0	0	0	0	0	2	3	3	8	0	7	12	154	
Upstate	3	5	13	0	80	2	32	0	2	0	0	0	0	0	1	1	0	6	0	18	21	184	
Wende	6	14	19	0	83	5	10	0	3	0	0	1	0	0	4	1	2	7	0	8	12	175	
Sub-Total	156	564	439	5	2,056	61	344	5	43	0	1	14	2	2	38	48	16	100	0	237	585	4,716	
Special Housing 200 Bed																							
Cayuga SHU200	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
Collins SHU200	0	0	7	0	22	1	2	0	0	0	0	0	0	0	0	3	0	12	0	0	2	49	
Fishkill SHU200	0	2	9	0	18	0	8	0	0	0	0	0	0	0	0	1	0	6	0	0	4	48	
Gouverneur SHU200	1	0	1	0	18	0	4	0	0	0	0	0	0	0	0	0	0	1	0	1	1	27	
Greene SHU200	1	0	7	0	9	0	2	0	0	0	0	0	0	0	0	0	0	5	0	0	1	25	
Lakeview SHU200	0	0	2	0	6	0	2	0	0	0	0	0	0	0	0	0	0	4	0	0	2	16	
Mid-State SHU200	0	1	0	0	4	0	3	0	0	0	0	0	0	0	0	0	0	3	0	2	1	14	
Orleans SHU200	0	0	6	0	12	0	4	0	0	0	0	0	0	0	0	1	0	1	0	1	2	27	
Sub-Total	3	3	32	0	89	1	25	0	0	0	0	0	0	0	0	5	0	32	0	4	13	207	
Residential Mental Health Treatment Unit																							
Attica RMHU	0	0	1	0	0	0	5	0	0	0	0	0	0	0	0	1	0	0	0	2	1	10	
Five Points RMHU	0	8	33	0	11	0	19	0	0	0	0	3	0	0	0	2	0	4	0	13	8	101	
Marcy RMHU	2	3	21	0	14	0	22	0	0	0	0	0	0	0	0	16	0	1	0	14	4	97	
Bedford Hills TBU	0	2	7	0	1	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	2	15	
Great Meadow BHU	0	0	8	0	10	0	15	0	0	0	0	0	0	0	0	0	0	2	0	10	1	46	
Sub-Total	2	13	70	0	36	0	64	0	0	0	0	3	0	0	0	19	0	7	0	39	16	269	
Regional Medical Unit																							
Bedford Hills RMU	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
Coxsackie RMU	0	0	6	0	2	17	0	0	0	0	0	0	0	0	2	0	0	0	0	0	1	28	
Walsh RMU	3	0	3	0	6	23	1	0	0	0	0	0	0	0	0	0	0	0	0	0	3	39	
Wende RMU	2	0	2	0	0	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	22	
Sub-Total	6	0	11	0	8	53	1	0	0	0	0	0	0	0	2	0	0	0	0	0	9	90	
Total Maximum Security	167	580	552	5	2,189	115	434	5	43	0	1	17	2	2	40	72	16	139	0	280	623	5,282	

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**Table 1-4. Unusual Incident Type by Facility and Security Level:
January - December 2016**

Correctional Facility	Accident	Assault on Inmate	Assault on Staff	Assault on Other	Contraband	Death	Disruptive Behavior	Disruption of Facility	Employee Misconduct	Escape	Attempted Escape	Fire	Inmate Mass Demonstration	Property Destroyed	Property Lost or Stolen	Self-inflicted Injury	Sexual Misconduct	Suicide Attempt	Temp Release Related	Staff Use of Weapons	Other Incidents	Total
Medium Security																						
Adirondack	5	2	1	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	15
Albion	16	3	10	0	44	1	3	0	4	0	0	0	0	0	3	2	3	5	0	0	12	106
Altona	6	6	1	0	48	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0	3	69
Bare Hill	9	31	12	0	98	0	13	0	4	0	0	0	0	0	4	1	1	0	0	0	15	188
Cape Vincent	10	2	4	0	51	1	9	0	1	0	0	0	0	0	0	0	0	1	0	2	14	95
Cayuga	7	3	3	0	65	0	4	0	1	0	0	1	0	0	2	0	2	2	0	1	14	105
Collins	8	12	6	0	105	2	5	0	0	0	0	0	0	0	1	0	0	2	0	0	18	159
Fishkill	40	17	23	1	166	6	12	1	8	0	0	0	0	0	2	1	2	16	0	0	18	313
Franklin	27	74	17	0	174	2	18	0	2	0	0	1	0	0	1	0	0	4	0	2	18	340
Gouverneur	13	5	7	0	64	0	5	0	2	0	0	0	0	0	0	0	0	0	0	0	12	108
Gowanda	36	23	10	0	112	1	6	0	4	0	0	0	0	0	0	0	1	1	0	1	5	200
Greene	9	46	7	1	171	2	11	0	3	0	0	0	0	0	3	0	1	1	0	0	25	280
Groveland	10	12	8	0	88	0	10	0	1	0	0	0	0	0	1	1	1	0	0	0	12	144
Hale Creek	4	0	1	0	6	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1	13
Hudson	1	2	8	0	8	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	21
Lakeview Female Transit	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
Livingston	14	11	4	0	51	0	4	0	0	0	0	0	0	0	0	0	0	1	0	0	12	97
Marcy	13	42	11	0	166	1	16	0	0	0	0	0	0	1	4	0	3	1	0	1	19	278
Midstate	9	39	20	0	133	2	10	1	1	0	0	0	0	0	1	0	3	5	0	0	14	238
Mohawk	14	16	4	2	137	2	3	0	3	0	0	1	0	0	2	0	0	3	0	0	16	203
Ogdensburg	6	2	5	0	15	0	0	0	1	0	0	0	0	0	0	0	1	0	0	0	26	56
Orleans	15	11	3	0	91	3	14	0	2	0	0	0	0	0	0	0	1	0	0	0	11	151
Otisville	6	1	1	0	12	3	1	0	3	0	0	0	0	0	3	0	0	1	0	0	5	36
Riverview	12	20	3	0	83	0	2	0	2	0	0	0	0	0	1	0	1	0	0	0	14	138
Taconic	1	1	0	0	11	0	0	1	1	0	0	0	0	0	0	0	1	0	0	0	0	16
Ulster	12	6	7	0	97	0	2	0	3	0	0	0	0	0	1	0	0	3	0	0	11	142
Wallkill	8	1	2	1	21	0	0	1	1	0	0	0	0	0	0	0	1	0	0	0	2	38
Washington	12	42	10	0	131	1	19	1	6	0	0	0	0	0	2	0	0	1	0	5	15	245
Watertown	5	2	1	0	29	2	1	0	1	0	0	1	0	0	3	0	0	0	0	0	4	49
Woodbourne	8	2	1	0	52	0	4	0	2	0	0	1	0	0	0	0	1	0	0	0	10	81
Wyoming	12	120	8	1	275	1	16	0	0	1	0	1	0	0	0	0	0	1	0	1	14	451
Sub-Total	349	554	198	6	2,511	30	193	5	57	1	0	7	0	1	35	5	23	48	0	13	341	4,377
Regional Medical Unit																						
Fishkill RMU	4	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	10
Total Medium Security	353	554	198	6	2,511	35	193	5	57	1	0	7	0	1	35	5	23	48	0	13	342	4,387

(continued on next page)

**Table 1-4. Unusual Incident Type by Facility and Security Level:
January - December 2016**

Correctional Facility	Accident	Assault on Inmate	Assault on Staff	Assault on Other	Contraband	Death	Disruptive Behavior	Disruption of Facility	Employee Misconduct	Escape	Attempted Escape	Fire	Inmate Mass Demonstration	Property Destroyed	Property Lost or Stolen	Self-Inflicted Injury	Sexual Misconduct	Suicide Attempt	Temp Release Related	Staff Use of Weapons	Other Incidents	Total
Minimum Shock																						
Moriah Shock	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Lakeview Shock	4	0	1	0	3	1	0	1	1	0	0	0	0	0	1	0	0	0	0	0	1	13
Sub-Total	4	0	1	0	3	1	0	1	2	0	0	0	0	0	1	0	0	0	0	0	1	14
Minimum Security Other																						
Edgecombe	3	1	1	0	3	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	10
Hudson Industrial Training	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Male Transit	2	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
Lincoln	0	0	0	0	10	0	0	0	3	0	0	0	0	0	0	0	0	0	12	0	0	25
Queensboro	1	0	5	0	10	0	3	0	0	0	0	0	0	0	1	0	0	0	0	0	3	23
Rochester	1	0	0	1	4	1	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0	12
Sub-Total	7	1	7	1	27	1	5	0	3	0	0	0	0	0	1	0	0	0	17	0	4	74
Minimum Work Release																						
Albion Work Release	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Edgecombe Work Release	0	0	1	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0	2	0	0	6
Fishkill Work Release	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	3
Hale Creek Work Release	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Hudson Work Release	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	6
Sub-Total	0	0	1	0	8	0	0	0	0	0	0	0	0	0	1	0	0	0	6	0	0	16
Total Minimum Security	11	1	9	1	38	2	5	1	5	0	0	0	0	0	3	0	0	0	23	0	5	104
Other																						
Central Office	3	0	0	0	6	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	12
Grand Total	534	1,135	759	12	4,744	152	632	11	106	1	1	24	2	3	79	77	39	187	23	293	971	9,785

Table 1-4 contains information on the specific types of unusual incidents that occurred in each correctional facility. Here, we can look in closer detail at the 445 unusual incidents that occurred in Attica; Table 1-4 shows that nearly half of those incidents (211) were contraband. Other prominent categories at Attica included 67 incidents involving assaults on inmates and 40 incidents of disruptive behavior.

This table can also be used to examine where certain types of unusual incidents occurred. If, for example, we wanted to know which medium security facility had the highest number of contraband incidents, we can look down the contraband column and see that Wyoming had the most contraband incidents (275). We can also see that Green Haven had the highest number of assaults on staff (55), while Clinton had the highest number of assaults on inmates (89).

**Table 1-5. Rate of Unusual Incidents per 1,000 Inmates by Incident Type, Facility and Security Level:
January - December 2016**

Correctional Facility	Accident	Assault on Inmate	Assault on Staff	Assault on Other	Contraband	Death	Disruptive Behavior	Disruption of Facility	Employee Misconduct	Escape	Attempted Escape	Fire	Inmate Mass Demonstration	Property Destroyed	Property Lost or Stolen	Self-Inflicted Injury	Sexual Misconduct	Suicide Attempt	Temp Release Related	Staff Use of Weapons	Other Incidents	Total
Maximum Security																						
Attica	7.0	33.6	6.0	0.5	105.9	1.0	20.1	0.0	0.5	0.0	0.0	1.0	0.0	0.5	2.5	1.5	6.0	0.0	17.6	19.6	223.4	
Auburn	3.2	55.8	20.5	0.0	185.3	0.6	26.3	0.6	0.0	0.0	0.0	0.6	0.0	1.3	3.8	0.0	5.8	0.0	18.6	23.1	345.5	
Bedford Hills	5.4	12.1	32.4	1.3	27.0	6.7	5.4	0.0	4.0	0.0	0.0	1.3	0.0	2.7	0.0	0.0	6.7	0.0	0.0	22.9	128.2	
Clinton	7.9	33.7	12.1	0.0	86.3	3.8	20.8	0.0	1.9	0.0	0.0	0.8	0.0	0.4	1.1	4.2	1.1	3.8	0.0	21.6	15.5	214.9
Coxsackie	8.1	9.3	30.2	0.0	88.4	2.3	9.3	0.0	4.7	0.0	0.0	0.0	0.0	2.3	1.2	0.0	8.1	0.0	2.3	17.4	183.7	
Downstate	5.2	18.2	25.1	0.0	70.9	2.6	12.1	0.9	3.5	0.0	0.0	0.9	0.0	3.5	1.7	0.0	3.5	0.0	4.3	45.8	198.1	
Eastern	14.4	4.4	3.3	1.1	42.0	2.2	5.5	2.2	2.2	0.0	0.0	0.0	0.0	1.1	0.0	2.2	3.3	0.0	1.1	11.0	96.1	
Elmira	5.0	47.1	16.9	0.0	112.9	3.1	9.4	0.0	1.3	0.0	0.0	0.6	0.0	1.3	1.3	0.0	5.6	0.0	1.9	19.4	225.8	
Five Points	2.4	30.7	14.2	0.0	126.1	3.9	9.5	0.8	1.6	0.0	0.0	0.8	0.0	0.0	3.2	0.8	6.3	0.0	0.8	24.4	225.4	
Great Meadow	10.3	52.3	35.1	0.0	148.1	1.4	27.5	0.0	0.7	0.0	0.0	0.0	0.0	0.0	2.1	1.4	3.4	0.0	30.3	15.8	328.5	
Green Haven	5.6	12.3	28.1	0.0	81.3	4.1	8.7	0.0	2.0	0.0	0.0	2.6	0.5	0.5	2.0	0.0	1.0	0.0	6.1	18.9	173.9	
Shawangunk	13.9	10.0	6.0	2.0	45.8	2.0	2.0	0.0	2.0	0.0	0.0	0.0	0.0	12.0	0.0	0.0	2.0	0.0	0.0	302.8	400.4	
Sing Sing	10.1	15.8	22.8	0.0	96.2	4.4	10.8	0.0	4.4	0.0	0.6	0.0	0.6	4.4	1.9	0.0	0.0	0.0	5.7	22.2	200.0	
Southport	11.3	1.6	32.4	1.6	50.2	1.6	14.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3.2	0.0	6.5	0.0	9.7	32.4	165.3	
Sullivan	21.1	31.6	82.1	0.0	61.0	0.0	50.5	0.0	4.2	0.0	0.0	0.0	0.0	4.2	6.3	6.3	16.8	0.0	14.7	25.3	324.2	
Upstate	2.6	4.4	11.5	0.0	70.5	1.8	28.2	0.0	1.8	0.0	0.0	0.0	0.0	0.9	0.9	0.0	5.3	0.0	15.9	18.5	162.3	
Wende	7.9	18.4	25.0	0.0	109.1	6.6	13.1	0.0	3.9	0.0	0.0	1.3	0.0	5.3	1.3	2.6	9.2	0.0	10.5	15.8	230.0	
Sub-Total	7.4	26.6	20.7	0.2	97.0	2.9	16.2	0.2	2.0	0.0	0.0	0.7	0.1	0.1	1.8	2.3	0.8	4.7	0.0	11.2	27.6	222.5
Special Housing 200 Bed																						
Cayuga SHU200	7.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	7.2
Collins SHU200	0.0	0.0	42.7	0.0	134.1	6.1	12.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	18.3	0.0	73.2	0.0	0.0	12.2	298.8	
Fishkill SHU200	0.0	13.2	59.6	0.0	119.2	0.0	53.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	6.6	0.0	39.7	0.0	0.0	26.5	317.9	
Gouverneur SHU200	6.0	0.0	6.0	0.0	107.8	0.0	24.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	6.0	0.0	6.0	6.0	161.7	
Greene SHU200	6.8	0.0	47.6	0.0	61.2	0.0	13.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	34.0	0.0	0.0	6.8	170.1	
Lakeview SHU200	0.0	0.0	13.0	0.0	39.0	0.0	13.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	26.0	0.0	0.0	13.0	103.9	
Mid-State SHU200	0.0	6.3	0.0	0.0	25.0	0.0	18.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	18.8	0.0	12.5	6.3	87.5	
Orleans SHU200	0.0	0.0	40.0	0.0	80.0	0.0	26.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	6.7	0.0	6.7	0.0	6.7	13.3	180.0	
Sub-Total	2.4	2.4	26.0	0.0	72.3	0.8	20.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4.1	0.0	26.0	0.0	3.2	10.6	168.2	
Residential Mental Health Treatment Unit																						
Attica RMHU	0.0	0.0	125.0	0.0	0.0	0.0	625.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	125.0	0.0	0.0	0.0	250.0	125.0	1,250.0	
Five Points RMHU	0.0	145.5	600.0	0.0	200.0	0.0	345.5	0.0	0.0	0.0	0.0	54.5	0.0	0.0	36.4	0.0	72.7	0.0	236.4	145.5	1,836.4	
Marcy RMHU	21.5	32.3	225.8	0.0	150.5	0.0	236.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	172.0	0.0	10.8	0.0	150.5	43.0	1,043.0	
Bedford Hills TBU	0.0	222.2	777.8	0.0	111.1	0.0	333.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	222.2	1,666.7	
Great Meadow BHU	0.0	0.0	250.0	0.0	312.5	0.0	468.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	62.5	0.0	312.5	31.3	1,437.5	
Sub-Total	10.2	66.0	355.3	0.0	182.7	0.0	324.9	0.0	0.0	0.0	0.0	15.2	0.0	0.0	96.4	0.0	35.5	0.0	198.0	81.2	1,365.5	
Regional Medical Unit																						
Bedford Hills RMU	62.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	62.5
Coxsackie RMU	0.0	0.0	101.7	0.0	33.9	288.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	33.9	0.0	0.0	0.0	0.0	0.0	16.9	474.6	
Walsh RMU	25.9	0.0	25.9	0.0	51.7	198.3	8.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	25.9	336.2	
Wende RMU	25.6	0.0	25.6	0.0	0.0	166.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	64.1	282.1	
Sub-Total	22.3	0.0	40.9	0.0	29.7	197.0	3.7	0.0	0.0	0.0	0.0	0.0	0.0	7.4	0.0	0.0	0.0	0.0	0.0	33.5	334.6	
Total Maximum Security	7.3	25.3	24.1	0.2	95.6	5.0	19.0	0.2	1.9	0.0	0.0	0.7	0.1	0.1	1.7	3.1	0.7	6.1	0.0	12.2	27.2	230.7

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**Table 1-5. Rate of Unusual Incidents per 1,000 Inmates by Incident Type, Facility and Security Level:
January - December 2016**

Correctional Facility	Accident	Assault on Inmate	Assault on Staff	Assault on Other	Contraband	Death	Disruptive Behavior	Disruption of Facility	Employee Misconduct	Escape	Attempted Escape	Fire	Inmate Mass Demonstration	Property Destroyed	Property Lost or Stolen	Self-Inflicted Injury	Sexual Misconduct	Suicide Attempt	Temp Release Related	Staff Use of Weapons	Other Incidents	Total	
Medium Security																							
Adirondack	13.1	5.2	2.6	0.0	15.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.6	39.2	
Albion	15.0	2.8	9.4	0.0	41.3	0.9	2.8	0.0	3.8	0.0	0.0	0.0	0.0	0.0	2.8	1.9	2.8	4.7	0.0	0.0	11.3	99.5	
Altona	13.2	13.2	2.2	0.0	105.5	0.0	11.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	6.6	151.6	
Bare Hill	6.1	20.9	8.1	0.0	66.2	0.0	8.8	0.0	2.7	0.0	0.0	0.0	0.0	0.0	2.7	0.7	0.7	0.0	0.0	0.0	10.1	126.9	
Cape Vincent	11.8	2.4	4.7	0.0	60.1	1.2	10.6	0.0	1.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.2	0.0	2.4	16.5	111.9	
Cayuga	8.4	3.6	3.6	0.0	78.2	0.0	4.8	0.0	1.2	0.0	0.0	1.2	0.0	0.0	2.4	0.0	2.4	2.4	0.0	1.2	16.8	126.4	
Collins	9.2	13.7	6.9	0.0	120.3	2.3	5.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.1	0.0	0.0	2.3	0.0	0.0	20.6	182.1	
Fishkill	28.6	12.1	16.4	0.7	118.6	4.3	8.6	0.7	5.7	0.0	0.0	0.0	0.0	0.0	1.4	0.7	1.4	11.4	0.0	0.0	12.9	223.6	
Franklin	18.9	51.7	11.9	0.0	121.7	1.4	12.6	0.0	1.4	0.0	0.0	0.7	0.0	0.0	0.7	0.0	0.0	2.8	0.0	1.4	12.6	237.8	
Gouverneur	15.3	5.9	8.2	0.0	75.3	0.0	5.9	0.0	2.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	14.1	127.1	
Gowanda	23.5	15.0	6.5	0.0	73.2	0.7	3.9	0.0	2.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.7	0.7	0.0	0.7	3.3	130.6	
Greene	6.0	30.5	4.6	0.7	113.5	1.3	7.3	0.0	2.0	0.0	0.0	0.0	0.0	0.0	2.0	0.0	0.7	0.7	0.0	0.0	16.6	185.9	
Groveland	9.6	11.5	7.7	0.0	84.5	0.0	9.6	0.0	1.0	0.0	0.0	0.0	0.0	0.0	1.0	1.0	1.0	0.0	0.0	0.0	11.5	138.3	
Hale Creek	11.6	0.0	2.9	0.0	17.4	0.0	0.0	0.0	0.0	0.0	0.0	2.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.9	37.8	
Hudson	10.2	20.4	81.6	0.0	81.6	0.0	0.0	0.0	10.2	0.0	0.0	0.0	0.0	0.0	10.2	0.0	0.0	0.0	0.0	0.0	0.0	214.3	
Lakeview Female Transit	200.0	0.0	0.0	0.0	200.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	400.0	
Livingston	16.6	13.1	4.8	0.0	60.6	0.0	4.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.2	0.0	0.0	14.3	115.2	
Marcy	12.5	40.4	10.6	0.0	159.8	1.0	15.4	0.0	0.0	0.0	0.0	0.0	0.0	1.0	3.8	0.0	2.9	1.0	0.0	1.0	18.3	267.6	
Midstate	6.4	27.9	14.3	0.0	95.1	1.4	7.2	0.7	0.7	0.0	0.0	0.0	0.0	0.0	0.7	0.0	2.1	3.6	0.0	0.0	10.0	170.2	
Mohawk	10.9	12.5	3.1	1.6	106.8	1.6	2.3	0.0	2.3	0.0	0.0	0.8	0.0	0.0	1.6	0.0	0.0	2.3	0.0	0.0	12.5	158.2	
Ogdensburg	14.6	4.9	12.2	0.0	36.5	0.0	0.0	0.0	2.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.4	0.0	0.0	0.0	63.3	136.3	
Orleans	19.6	14.3	3.9	0.0	118.6	3.9	18.3	0.0	2.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.3	0.0	0.0	0.0	14.3	196.9	
Otisville	10.5	1.7	1.7	0.0	20.9	5.2	1.7	0.0	5.2	0.0	0.0	0.0	0.0	0.0	5.2	0.0	0.0	1.7	0.0	0.0	8.7	62.7	
Riverview	14.1	23.5	3.5	0.0	97.4	0.0	2.3	0.0	2.3	0.0	0.0	0.0	0.0	0.0	1.2	0.0	1.2	0.0	0.0	0.0	16.4	162.0	
Taconic	2.9	2.9	0.0	0.0	32.3	0.0	0.0	2.9	2.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.9	0.0	0.0	0.0	0.0	46.9	
Ulster	15.5	7.7	9.0	0.0	125.0	0.0	2.6	0.0	3.9	0.0	0.0	0.0	0.0	0.0	1.3	0.0	0.0	3.9	0.0	0.0	14.2	183.0	
Wallkill	15.1	1.9	3.8	1.9	39.6	0.0	0.0	1.9	1.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.9	0.0	0.0	0.0	3.8	71.7	
Washington	14.3	50.0	11.9	0.0	156.0	1.2	22.6	1.2	7.1	0.0	0.0	0.0	0.0	0.0	2.4	0.0	0.0	1.2	0.0	6.0	17.9	291.7	
Watertown	9.5	3.8	1.9	0.0	54.8	3.8	1.9	0.0	1.9	0.0	0.0	1.9	0.0	0.0	5.7	0.0	0.0	0.0	0.0	0.0	7.6	92.6	
Woodbourne	10.0	2.5	1.3	0.0	65.0	0.0	5.0	0.0	2.5	0.0	0.0	1.3	0.0	0.0	0.0	0.0	1.3	0.0	0.0	0.0	12.5	101.3	
Wyoming	7.6	76.4	5.1	0.6	175.2	0.6	10.2	0.0	0.0	0.6	0.0	0.6	0.0	0.0	0.0	0.0	0.6	0.0	0.6	0.6	8.9	287.3	
Sub-Total	13.1	20.8	7.4	0.2	94.1	1.1	7.2	0.2	2.1	0.0	0.0	0.3	0.0	0.0	1.3	0.2	0.9	1.8	0.0	0.5	12.8	164.0	
Regional Medical Unit																							
Fishkill RMU	133.3	0.0	0.0	0.0	0.0	166.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	33.3	333.3	
Total Medium Security	13.2	20.7	7.4	0.2	94.0	1.3	7.2	0.2	2.1	0.0	0.0	0.3	0.0	0.0	1.3	0.2	0.9	1.8	0.0	0.5	12.8	164.2	

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**Table 1-5. Rate of Unusual Incidents per 1,000 Inmates by Incident Type, Facility and Security Level:
January - December 2016**

Correctional Facility	Accident	Assault on Inmate	Assault on Staff	Assault on Other	Contraband	Death	Disruptive Behavior	Disruption of Facility	Employee Misconduct	Escape	Attempted Escape	Fire	Inmate Mass Demonstration	Property Destroyed	Property Lost or Stolen	Self-Inflicted Injury	Sexual Misconduct	Suicide Attempt	Temp Release Related	Staff Use of Weapons	Other Incidents	Total
Minimum Shock																						
Moriah Shock	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5.3
Lakeview Shock	7.9	0.0	2.0	0.0	5.9	2.0	0.0	2.0	2.0	0.0	0.0	0.0	0.0	0.0	2.0	0.0	0.0	0.0	0.0	0.0	2.0	25.7
Sub-Total	5.8	0.0	1.4	0.0	4.3	1.4	0.0	1.4	2.9	0.0	0.0	0.0	0.0	0.0	1.4	0.0	0.0	0.0	0.0	0.0	1.4	20.1
Minimum Security Other																						
Edgecombe	187.5	62.5	62.5	0.0	187.5	0.0	62.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	62.5	625.0
Hudson Industrial Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Lakeview Male Transit	18.9	0.0	9.4	0.0	0.0	0.0	9.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	37.7
Lincoln	0.0	0.0	0.0	0.0	73.0	0.0	0.0	0.0	21.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	87.6	0.0	0.0	182.5
Queensboro	3.8	0.0	18.9	0.0	37.9	0.0	11.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3.8	0.0	0.0	0.0	0.0	0.0	11.4	87.1
Rochester	14.7	0.0	0.0	14.7	58.8	14.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	73.5	0.0	0.0	176.5
Sub-Total	11.1	1.6	11.1	1.6	42.7	1.6	7.9	0.0	4.7	0.0	0.0	0.0	0.0	0.0	1.6	0.0	0.0	0.0	26.9	0.0	6.3	116.9
Minimum Work Release																						
Albion Work Release	0.0	0.0	0.0	0.0	30.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	30.3
Edgecombe Work Release	0.0	0.0	29.4	0.0	58.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	29.4	0.0	0.0	0.0	58.8	0.0	0.0	176.5
Fishkill Work Release	0.0	0.0	0.0	0.0	21.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	43.5	0.0	0.0	65.2
Hale Creek Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Hudson Work Release	0.0	0.0	0.0	0.0	95.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	47.6	0.0	0.0	142.9
Sub-Total	0.0	0.0	6.3	0.0	50.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	6.3	0.0	0.0	0.0	37.5	0.0	0.0	100.0
Total Minimum Security	7.4	0.7	6.0	0.7	25.5	1.3	3.4	0.7	3.4	0.0	0.0	0.0	0.0	0.0	2.0	0.0	0.0	0.0	15.5	0.0	3.4	69.9
Other																						
Central Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total	10.4	22.2	14.9	0.2	92.8	3.0	12.4	0.2	2.1	0.0	0.0	0.5	0.0	0.1	1.5	1.5	0.8	3.7	0.5	5.7	19.0	191.5

Table 1-5 takes the unusual incident information from Table 1-4 and presents it in terms of the rate of unusual incidents per 1,000 inmates. This allows the reader to compare incidents between facilities by accounting for variations in population sizes.

Section Two: Ten-Year Trends in Unusual Incidents, 2007 - 2016

This section of the report contains information on unusual incidents from the previous ten years (2007 – 2016). As can be seen in Table 2-1, the number of unusual incidents has risen over time for several incident types, such as inmate assaults, contraband, and disruptive behavior. However, it is not possible to determine how much of the increase is due to new incidents occurring and how much is due to improved incident reporting practices.

Table 2-1. Unusual Incident Type by Year: 2007 - 2016

Incident Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Accident	546	499	536	423	463	534	572	573	521	534
Assault on Inmate	700	657	603	675	666	652	767	860	915	1,135
Assault on Staff	557	580	567	577	563	524	645	747	895	759
Assault on Other	9	6	2	16	2	4	7	8	7	12
Contraband	2,431	2,540	2,351	2,492	2,452	2,546	2,959	3,611	4,748	4,744
Death	153	142	150	131	123	123	145	131	124	152
Disruptive Behavior	352	379	376	340	365	468	521	506	567	632
Disruption of Facility	17	28	26	17	13	7	4	10	7	11
Employee Misconduct	148	129	132	163	130	140	120	117	116	106
Escape	2	0	1	2	4	1	1	0	2	1
Attempted Escape	1	4	2	0	2	2	1	2	1	1
Fire	28	41	35	32	30	22	34	16	30	24
Inmate Disturbance	2	0	0	0	0	0	0	0	0	0
Inmate Mass Demonstration	0	0	0	1	1	0	0	1	0	2
Property Destroyed	6	8	10	11	14	14	3	3	4	3
Property Lost or Stolen	116	140	101	112	83	76	75	57	69	79
Self-Inflicted Injury	88	78	70	57	80	114	76	91	73	77
Sexual Misconduct	83	59	57	71	63	53	51	58	69	39
Suicide Attempt	58	92	150	117	132	145	159	182	157	187
Temp Release Related	157	129	123	129	73	36	29	28	17	23
Staff Use of Weapons	148	169	165	155	170	143	203	191	205	293
Employee Job Action	0	2	3	0	2	1	0	0	0	0
Other Incidents	404	381	394	496	549	487	532	540	603	971
Total	6,006	6,063	5,854	6,017	5,980	6,092	6,904	7,732	9,130	9,785

The four categories of assault on inmate, assault on staff, contraband, and disruptive behavior made up 74% of unusual incidents in 2016, but only 67% in 2007. These four categories comprised 86% of the increase in unusual incidents in the past ten years. Contraband was the largest contributor to this increase, accounting for 61% of the increase in unusual incidents from 2007 to 2016. As can be seen in Table 5-3, most of this increase was in drug contraband incidents, which increased from 884 in 2007 to 2,532 in 2016.

**Table 2-2. Number of Unusual Incidents by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	275	334	304	306	287	283	421	410	414	445
Auburn	346	332	350	345	393	364	347	419	550	539
Bedford Hills	108	64	71	77	90	83	117	121	97	95
Clinton	402	399	389	441	439	331	476	419	447	568
Coxsackie	110	65	117	92	109	88	91	120	136	158
Downstate	85	115	128	237	261	236	229	191	218	229
Eastern	103	93	87	93	67	50	71	52	104	87
Elmira	299	284	266	260	248	241	319	327	305	360
Five Points	207	225	230	221	241	258	270	221	234	286
Great Meadow	357	326	327	377	305	373	403	387	451	477
Green Haven	241	195	238	251	255	230	271	366	445	340
Shawangunk	50	37	50	68	94	83	129	171	151	201
Sing Sing	277	278	300	298	246	270	236	270	281	316
Southport	101	103	74	95	113	118	79	75	87	102
Sullivan	81	111	84	60	104	69	86	112	164	154
Upstate	257	208	164	171	151	182	163	133	163	184
Wende	174	179	198	194	193	222	185	137	173	175
Sub-Total	3,473	3,348	3,377	3,586	3,596	3,481	3,893	3,931	4,420	4,716
Special Housing 200 Bed										
Cayuga SHU200	23	20	24	22	16	11	17	2	7	1
Collins SHU200	15	14	9	24	22	25	21	29	39	49
Fishkill SHU200	14	22	42	27	16	48	26	34	25	48
Gouverneur SHU200	2	11	15	11	3	13	16	11	25	27
Greene SHU200	12	12	8	10	14	10	18	18	19	25
Lakeview SHU200	16	25	31	12	11	17	13	31	21	16
Marcy SHU200	13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	16	16	13	7	15	21	21	30	24	14
Orleans SHU200	8	17	14	11	19	12	12	17	10	27
Sub-Total	119	137	156	124	116	157	144	172	170	207
Residential Mental Health Treatment Unit										
Attica STP	8	3	6	1	1	N/A	N/A	N/A	N/A	N/A
Five Points STP	5	11	20	21	5	N/A	N/A	N/A	N/A	N/A
Green Haven STP	N/A	4	5	0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	2	5	5	11	9	10
Five Points RMHU	N/A	N/A	N/A	N/A	40	76	60	91	66	101
Marcy RMHU	N/A	N/A	0	108	96	58	85	79	88	97
Bedford Hills TBU	4	4	0	0	1	8	7	8	12	15
Great Meadow BHU	15	30	25	29	30	12	26	20	22	46
Sullivan BHU	29	27	11	11	22	12	11	0	0	0
Sub-Total	61	79	67	170	197	171	194	209	197	269
Regional Medical Unit										
Bedford Hills RMU	2	4	2	1	0	1	0	2	1	1
Coxsackie RMU	26	23	20	25	25	18	8	9	11	28
Walsh RMU	23	43	53	33	39	42	43	50	55	39
Wende RMU	39	111	32	29	22	25	35	28	15	22
Sub-Total	90	181	107	88	86	86	86	89	82	90
Total Maximum Security	3,743	3,745	3,707	3,968	3,995	3,895	4,317	4,401	4,869	5,282

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**Table 2-2. Number of Unusual Incidents by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	33	29	29	19	30	16	28	37	31	15
Albion	38	58	37	62	37	54	60	66	112	106
Altona	17	18	13	18	16	25	21	24	25	69
Arthur Kill	73	81	60	72	38	N/A	N/A	N/A	N/A	N/A
Bare Hill	94	137	163	154	136	138	191	193	219	188
Bayview	19	23	22	18	11	10	1	0	N/A	N/A
Butler	5	5	6	3	10	4	5	1	N/A	N/A
Cape Vincent	50	49	53	48	55	48	58	74	106	95
Cayuga	58	40	42	28	27	23	46	59	64	105
Chateaugay	5	2	6	10	5	13	4	1	N/A	N/A
Collins	62	42	43	45	61	70	62	73	153	159
Fishkill	136	113	132	136	134	138	147	185	255	313
Franklin	65	82	108	78	112	120	135	237	439	340
Gouverneur	33	44	35	26	37	38	47	55	81	108
Gowanda	66	57	59	90	75	98	111	153	193	200
Greene	139	190	151	101	96	117	121	193	288	280
Groveland	74	55	73	84	88	98	121	115	103	144
Hale Creek	11	8	8	8	13	7	2	10	20	13
Hudson	21	31	22	15	18	15	9	34	28	21
Lakeview Female Transit	8	1	0	0	0	1	0	1	0	2
Livingston	44	56	41	36	30	64	66	111	101	97
Marcy	80	76	92	63	90	109	207	259	295	278
Mid-Orange	39	30	16	13	7	N/A	N/A	N/A	N/A	N/A
Midstate	113	108	96	107	86	115	153	178	277	238
Mohawk	70	61	46	47	47	90	98	158	175	203
Mt. McGregor	38	31	28	14	24	29	30	3	N/A	N/A
Ogdensburg	10	15	29	6	10	22	33	21	54	56
Oneida	60	75	51	52	32	N/A	N/A	N/A	N/A	N/A
Orleans	66	64	60	78	70	88	89	110	110	151
Otisville	16	16	18	19	26	24	29	19	19	36
Riverview	49	48	45	23	32	31	45	83	158	138
Taconic	14	16	20	19	17	22	18	22	19	16
Ulster	33	43	28	37	51	46	67	78	83	142
Wallkill	24	32	24	20	12	26	31	44	50	38
Washington	107	105	66	57	78	85	95	174	291	245
Watertown	23	31	33	31	41	40	41	43	32	49
Woodbourne	22	24	28	24	15	32	47	30	49	81
Wyoming	115	107	89	104	125	183	210	295	298	451
Sub-Total	1,930	2,003	1,872	1,765	1,792	2,039	2,428	3,139	4,128	4,377
Regional Medical Unit										
Fishkill RMU	18	14	18	10	9	11	20	4	9	10
Total Medium Security	1,948	2,017	1,890	1,775	1,801	2,050	2,448	3,143	4,137	4,387

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**Table 2-2. Number of Unusual Incidents by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	9	8	3	3	6	8	3	0	N/A	N/A
Moriah Shock	5	5	8	5	3	1	3	5	5	1
Lakeview Shock	13	23	18	22	19	17	19	18	16	13
Summit Shock	8	5	4	5	2	N/A	N/A	N/A	N/A	N/A
Sub-Total	35	41	33	35	30	26	25	23	21	14
Minimum Security Other										
Beacon	6	8	2	3	3	6	5	N/A	N/A	N/A
Buffalo	24	17	21	19	9	0	0	0	N/A	N/A
Butler	9	7	5	2	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	N/A	0	3	2	0	0	4	10	5	10
Fulton	30	40	49	42	18	0	0	0	0	0
Hudson Industrial Training	0	2	1	5	6	2	0	0	0	0
Lakeview Male Transit	6	4	10	6	6	8	6	10	4	4
Lincoln	43	57	41	60	42	22	29	31	22	25
Lyon Mountain	6	9	3	2	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	2	2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	14	10	15	21	20	24	41	76	52	23
Rochester	12	13	18	22	22	24	13	10	11	12
Summit General	1	1	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	9	7	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	3	8	8	2	5	N/A	N/A	N/A	N/A	N/A
Camp McGregor	4	14	4	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	10	6	3	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	179	205	183	186	131	86	98	137	94	74
Minimum Work Release										
Albion Work Release	1	5	3	3	1	0	0	0	0	1
Edgecombe Work Release	50	19	4	12	4	8	6	5	3	6
Fishkill Work Release	15	11	8	15	6	6	3	2	4	3
Hale Creek Work Release	N/A	N/A	N/A	N/A	0	0	0	0	0	0
Hudson Work Release	13	11	13	15	8	3	2	8	2	6
Sub-Total	79	46	28	45	19	17	11	15	9	16
Total Minimum Security	293	292	244	266	180	129	134	175	124	104
Other										
Central Office	21	9	12	8	4	18	5	12	0	12
Grand Total	6,005	6,063	5,853	6,017	5,980	6,092	6,904	7,731	9,130	9,785

Table 2-2 displays the number of unusual incidents that have occurred in each DOCCS correctional facility over the past ten years. Overall, during the ten year period from 2007 to 2016, the number of unusual incidents increased at the majority of DOCCS facilities. However, there were 17 facilities that saw declines, including Upstate and Bedford Hills. Please note that this table includes several facilities that were closed during this time period. Appendix table A-1 provides dates for when facilities were closed, while table A-2 contains inmate population information on DOCCS facilities for the 2007-2016 period.

**Table 2-3. Rate of Unusual Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	126.5	155.2	142.4	143.1	133.9	132.7	201.2	195.4	201.9	223.4
Auburn	195.9	191.0	203.6	200.1	227.8	217.8	209.9	252.6	335.8	345.5
Bedford Hills	134.2	83.2	92.9	104.5	121.3	112.0	149.2	162.0	129.2	128.2
Clinton	140.6	139.0	137.0	158.7	157.3	118.5	171.3	154.4	170.4	214.9
Coxsackie	112.7	66.2	120.4	94.5	113.2	92.8	103.5	137.5	167.5	183.7
Downstate	69.2	97.0	106.3	203.8	219.1	202.1	191.2	161.6	188.7	198.1
Eastern	87.2	78.7	78.9	92.4	66.8	50.3	76.8	57.3	114.4	96.1
Elmira	163.6	159.6	146.9	144.3	138.7	137.2	182.9	195.7	190.7	225.8
Five Points	148.8	167.3	171.0	164.6	180.0	195.0	203.2	170.4	191.3	225.4
Great Meadow	219.8	201.2	202.6	234.3	191.0	233.0	254.3	258.5	302.7	328.5
Green Haven	112.0	94.9	118.7	122.3	123.6	114.4	139.5	187.9	227.4	173.9
Shawangunk	91.9	68.6	92.3	126.4	174.7	156.3	255.4	340.6	300.2	400.4
Sing Sing	158.1	157.3	170.7	172.9	142.0	165.2	151.5	167.4	175.0	200.0
Southport	114.3	115.9	84.2	109.4	128.6	133.6	105.2	109.8	128.1	165.3
Sullivan	165.6	227.0	173.6	123.7	215.8	144.4	187.8	235.3	330.6	324.2
Upstate	200.9	161.1	127.8	133.8	117.1	142.4	144.2	121.2	142.7	162.3
Wende	204.7	212.3	236.8	233.5	232.8	274.4	232.7	171.7	228.5	230.0
Sub-Total	146.0	142.5	145.0	155.6	155.7	153.0	176.1	180.6	206.6	222.5
Special Housing 200 Bed										
Cayuga SHU200	129.2	109.3	131.9	125.0	87.9	60.4	111.1	14.2	49.0	7.2
Collins SHU200	87.2	77.3	52.3	142.0	127.9	140.4	135.5	183.5	239.3	298.8
Fishkill SHU200	79.5	118.3	237.3	161.7	93.0	277.5	188.4	241.1	166.7	317.9
Gouverneur SHU200	11.4	59.1	81.1	64.0	16.6	69.1	93.6	60.8	135.9	161.7
Greene SHU200	66.7	65.2	44.4	58.8	81.4	54.6	101.7	110.4	117.3	170.1
Lakeview SHU200	87.9	130.9	167.6	67.4	59.5	91.9	87.8	206.7	134.6	103.9
Marcy SHU200	97.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	92.0	90.9	73.0	42.4	86.2	116.0	129.6	184.0	140.4	87.5
Orleans SHU200	56.3	114.1	87.5	70.1	116.6	73.6	89.6	120.6	65.8	180.0
Sub-Total	78.6	95.4	109.9	91.6	82.8	109.6	116.3	138.9	132.7	168.2
Residential Mental Health Treatment Unit										
Attica STP	N/A	N/A	222.2	52.6	76.9	N/A	N/A	N/A	N/A	N/A
Five Points STP	238.1	289.5	487.8	656.3	384.6	N/A	N/A	N/A	N/A	N/A
Green Haven STP	N/A	N/A	N/A	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	N/A	625.0	625.0	1,571.4	1,285.7	1,250.0
Five Points RMHU	N/A	N/A	N/A	N/A	N/A	1,490.2	1,176.5	1,750.0	1,269.2	1,836.4
Marcy RMHU	N/A	N/A	N/A	1,894.7	1,185.2	743.6	1,036.6	975.3	1,023.3	1,043.0
Bedford Hills TBU	N/A	307.7	0.0	0.0	76.9	666.7	875.0	1,142.9	1,500.0	1,666.7
Great Meadow BHU	441.2	882.4	781.3	966.7	1,000.0	444.4	1,083.3	740.7	709.7	1,437.5
Sullivan BHU	568.6	613.6	478.3	578.9	578.9	521.7	1,375.0	#DIV/0!	N/A	N/A
Sub-Total	448.5	467.5	432.3	918.9	920.6	859.3	955.7	1,201.1	1,070.7	1,365.5
Regional Medical Unit										
Bedford Hills RMU	95.2	200.0	105.3	71.4	0.0	71.4	0.0	133.3	62.5	62.5
Coxsackie RMU	448.3	396.6	350.9	438.6	431.0	310.3	137.9	157.9	189.7	474.6
Walsh RMU	207.2	390.9	481.8	302.8	351.4	378.4	390.9	454.5	474.1	336.2
Wende RMU	500.0	1,405.1	415.6	376.6	282.1	316.5	443.0	359.0	189.9	282.1
Sub-Total	335.8	677.9	406.8	342.4	329.5	328.2	328.2	342.3	304.8	334.6
Total Maximum Security	145.6	147.6	147.5	159.7	160.0	158.0	181.3	187.8	210.5	230.7

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**Table 2-3. Rate of Unusual Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	59.8	57.0	64.2	45.6	73.3	41.9	70.4	94.1	82.0	39.2
Albion	34.3	55.0	37.5	69.8	44.4	62.1	58.0	59.9	101.7	99.5
Altona	35.9	38.8	27.4	44.9	36.6	55.2	44.2	50.7	55.4	151.6
Arthur Kill	77.1	84.9	63.1	78.6	69.9	N/A	N/A	N/A	N/A	N/A
Bare Hill	55.0	81.0	96.4	96.9	83.4	83.8	117.0	116.1	135.9	126.9
Bayview	94.5	121.7	128.7	114.6	70.5	71.4	333.3	N/A	N/A	N/A
Butler	24.4	23.0	32.3	16.9	51.3	18.8	29.2	66.7	N/A	N/A
Cape Vincent	57.9	57.2	61.5	59.9	68.1	55.9	67.1	85.7	124.6	111.9
Cayuga	67.1	47.4	48.7	34.4	32.8	26.7	55.7	68.8	75.6	126.4
Chateaugay	23.7	9.8	29.9	45.2	22.6	59.4	20.3	90.9	N/A	N/A
Collins	66.0	46.5	53.2	57.3	76.5	79.1	69.3	81.6	174.3	182.1
Fishkill	94.9	79.4	91.7	94.0	93.8	96.0	102.9	130.0	181.8	223.6
Franklin	37.9	48.2	63.6	49.1	68.5	70.4	81.7	144.1	281.6	237.8
Gouverneur	38.1	51.8	40.7	33.9	48.4	47.6	58.2	64.3	95.2	127.1
Gowanda	37.9	33.3	36.3	57.3	49.1	65.8	74.7	99.7	125.2	130.6
Greene	86.1	118.9	94.5	63.1	61.1	73.2	75.6	122.5	189.3	185.9
Groveland	59.8	46.6	71.0	84.5	89.6	91.5	112.1	106.9	97.4	138.3
Hale Creek	23.9	17.4	17.5	20.3	46.3	21.0	6.5	35.3	56.8	37.8
Hudson	50.5	83.6	82.1	54.9	61.9	46.3	28.0	108.6	92.4	214.3
Lakeview Female Transit	38.6	15.2	0.0	0.0	0.0	166.7	0.0	166.7	0.0	400.0
Livingston	50.7	65.7	47.5	45.8	39.2	75.8	81.2	129.5	119.4	115.2
Marcy	72.5	68.8	83.9	59.9	90.8	99.8	189.0	239.8	280.7	267.6
Mid-Orange	53.9	42.4	24.7	20.4	21.3	N/A	N/A	N/A	N/A	N/A
Midstate	87.1	85.3	75.5	83.6	65.5	80.7	110.3	125.3	199.3	170.2
Mohawk	54.3	49.1	41.7	44.8	42.3	69.0	75.4	122.6	136.7	158.2
Mt. McGregor	71.2	63.3	57.0	33.0	57.7	66.2	78.7	157.9	N/A	N/A
Ogdensburg	16.9	27.8	62.2	15.5	27.5	59.8	87.3	52.5	135.7	136.3
Oneida	51.0	66.0	48.9	55.0	57.7	N/A	N/A	N/A	N/A	N/A
Orleans	77.0	75.3	71.1	98.2	89.1	115.9	120.3	139.2	141.2	196.9
Otisville	26.6	27.5	37.0	39.3	53.8	41.4	49.8	32.9	33.5	62.7
Riverview	56.5	56.2	52.0	28.9	39.2	36.0	55.6	97.3	186.5	162.0
Taconic	39.5	47.1	61.2	66.0	57.8	71.0	51.9	62.1	53.7	46.9
Ulster	40.7	68.6	38.7	52.6	65.1	62.7	82.6	97.7	117.9	183.0
Walkill	40.2	53.8	42.6	35.0	22.8	45.8	53.7	76.4	90.6	71.7
Washington	101.1	104.0	75.6	74.4	103.6	111.8	128.2	210.9	347.7	291.7
Watertown	36.0	50.9	55.3	60.0	76.8	71.8	75.2	80.8	60.6	92.6
Woodbourne	27.8	30.4	36.3	30.7	19.1	38.9	57.3	36.2	61.0	101.3
Wyoming	67.7	64.0	53.7	66.0	77.4	110.0	127.9	175.4	178.0	287.3
Sub-Total	57.4	61.6	59.8	59.5	62.8	71.8	86.2	112.7	151.1	164.0
Regional Medical Unit										
Fishkill RMU	620.7	482.8	666.7	357.1	321.4	379.3	714.3	137.9	310.3	333.3
Total Medium Security	57.9	62.0	60.3	59.8	63.0	72.2	86.9	112.7	151.3	164.2

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**Table 2-3. Rate of Unusual Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	42.7	52.6	22.9	21.3	28.2	39.4	20.7	0.0	N/A	N/A
Moriah Shock	27.5	29.1	46.8	33.8	15.7	5.5	15.7	20.2	24.8	5.3
Lakeview Shock	22.1	42.5	33.0	40.7	31.8	30.1	30.8	30.3	29.0	25.7
Summit Shock	65.6	43.5	31.7	41.3	26.0	N/A	N/A	N/A	N/A	N/A
Sub-Total	31.8	41.8	33.9	36.8	27.8	27.4	26.3	27.3	27.9	20.1
Minimum Security Other										
Beacon	25.0	36.5	10.0	18.2	22.2	54.5	172.4	N/A	N/A	N/A
Buffalo	187.5	128.8	168.0	157.0	152.5	N/A	N/A	N/A	N/A	N/A
Butler	38.8	33.7	49.5	36.4	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	N/A	0.0	176.5	111.1	0.0	0.0	235.3	625.0	333.3	625.0
Fulton	405.4	285.7	340.3	318.2	300.0	N/A	N/A	N/A	N/A	N/A
Hudson Industrial Training	0.0	52.6	30.3	166.7	171.4	71.4	0.0	0.0	0.0	0.0
Lakeview Male Transit	35.5	30.3	70.9	41.7	38.2	72.1	46.9	80.6	33.6	37.7
Lincoln	284.8	290.8	199.0	303.0	260.9	163.0	263.6	301.0	196.4	182.5
Lyon Mountain	38.7	61.6	22.1	27.4	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	11.9	14.6	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	34.0	24.5	37.2	51.6	50.8	82.5	118.8	213.5	175.1	87.1
Rochester	230.8	220.3	327.3	343.8	328.4	347.8	228.1	156.3	169.2	176.5
Summit General	22.2	25.6	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	46.4	41.2	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	16.0	47.3	59.3	11.5	64.1	N/A	N/A	N/A	N/A	N/A
Camp McGregor	25.5	112.9	181.8	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	62.1	40.8	88.2	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	69.8	82.9	100.4	117.2	112.5	113.0	137.8	198.6	148.3	116.9
Minimum Work Release										
Albion Work Release	19.2	104.2	73.2	78.9	55.6	0.0	0.0	0.0	0.0	30.3
Edgecombe Work Release	396.8	863.6	N/A	N/A	N/A	N/A	600.0	227.3	142.9	176.5
Fishkill Work Release	234.4	200.0	150.9	250.0	150.0	200.0	96.8	60.6	81.6	65.2
Hale Creek Work Release	N/A	N/A	N/A	N/A	0.0	0.0	0.0	0.0	0.0	0.0
Hudson Work Release	245.3	193.0	245.3	254.2	190.5	93.8	58.8	307.7	58.8	142.9
Sub-Total	267.8	252.7	190.5	286.6	186.3	204.8	114.6	141.5	67.7	100.0
Total Minimum Security	73.9	80.4	82.9	98.7	76.8	72.0	76.2	106.7	81.5	69.9
Other										
Central Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total	94.8	98.5	98.5	105.2	107.0	111.1	128.5	146.0	175.6	191.5

Table 2-3 takes the unusual incident information from table 2-2 and presents it in terms of the rate of unusual incidents per 1,000 inmates. For many facilities, this rate has fluctuated widely over the past ten years.

The rate of unusual incidents per 1,000 inmates increased at the majority of facilities over the ten year period from 2007 to 2016, but there were 14 facilities where the rate declined, including Upstate, Bedford Hills, and Adirondack.

Section 3. Inmate Assaults on Staff

There were 759 inmate assaults on staff in 2016, with a rate of 14.9 per 1,000 inmates. Most of these assaults (73%) occurred in maximum security facilities, with 552 reported incidents and a rate of 24.1 staff assaults per 1,000 inmates. Medium security facilities experienced 198 staff assaults (26% of the total) at a rate of 7.4 assaults per 1,000 inmates. Minimum security facilities reported 9 staff assaults at a rate of 6.0 assaults per 1,000 inmates.

Table 3-1 displays the number and rate of staff assaults for 2016. The table provides information on the average daily population of each facility during the year, the number of assaults, and the rate of inmate assault on staff incidents per 1,000 inmates. Note that, while Green Haven had the highest number of staff assaults (55), Sullivan had a higher staff assault rate (82.1) compared to Green Haven's rate of 28.1. The RMHUs had the highest rates of staff assaults per 1,000 inmates.

Table 3-2 displays number of staff assaults for the ten-year period from 2007 to 2016. Table 3-3 presents this information in rates per 1,000 inmates. There were 557 staff assaults in 2007, with a rate of 8.8 per 1,000 inmates; the year 2016 had 759 staff assaults, with a rate of 14.9 per 1,000 inmates. Staff assault rates can vary widely at the facility level. Looking once again at Sullivan, in 2007, it had a staff assault rate of 20.4, which dropped to 8.2 in 2010, before peaking at 104.8 in 2015.

According to Table 3-3, among the 17 maximum security facilities, six had staff assault rates that increased from 2015 to 2016 and 12 had decreases. It should be noted that Table 3-3 presents three maximum security facilities (Attica, Southport, and Upstate) where the rates of staff assault incidents were lower in 2016 as compared to 2007.

Table 3-1. Number and Rate of Staff Assault Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Staff Assaults	Rate per 1,000 Inmates
Maximum Security			
Attica	1,992	12	6.0
Auburn	1,560	32	20.5
Bedford Hills	741	24	32.4
Clinton	2,643	32	12.1
Coxsackie	860	26	30.2
Downstate	1,156	29	25.1
Eastern	905	3	3.3
Elmira	1,594	27	16.9
Five Points	1,269	18	14.2
Great Meadow	1,452	51	35.1
Green Haven	1,955	55	28.1
Shawangunk	502	3	6.0
Sing Sing	1,580	36	22.8
Southport	617	20	32.4
Sullivan	475	39	82.1
Upstate	1,134	13	11.5
Wende	761	19	25.0
Sub-Total	21,196	439	20.7
Special Housing SHU200			
Cayuga SHU200	138	0	0.0
Collins SHU200	164	7	42.7
Fishkill SHU200	151	9	59.6
Gouverneur SHU200	167	1	6.0
Greene SHU200	147	7	47.6
Lakeview SHU200	154	2	13.0
Mid-State SHU200	160	0	0.0
Orleans SHU200	150	6	40.0
Sub-Total	1,231	32	26.0
Residential Mental Health Treatment Unit			
Attica RMHU	8	1	125.0
Five Points RMHU	55	33	600.0
Marcy RMHU	93	21	225.8
Bedford Hills TBU	9	7	777.8
Great Meadow BHU	32	8	250.0
Sub-Total	197	70	355.3
Regional Medical Unit			
Bedford Hills RMU	16	0	0.0
Coxsackie RMU	59	6	101.7
Walsh RMU	116	3	25.9
Wende RMU	78	2	25.6
Sub-Total	269	11	40.9
Total Maximum Security	22,893	552	24.1

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Table 3-1. Number and Rate of Staff Assault Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Staff Assaults	Rate per 1,000 Inmates
Medium Security			
Adirondack	383	1	2.6
Albion	1,065	10	9.4
Altona	455	1	2.2
Bare Hill	1,481	12	8.1
Cape Vincent	849	4	4.7
Cayuga	831	3	3.6
Collins	873	6	6.9
Fishkill	1,400	23	16.4
Franklin	1,430	17	11.9
Gouverneur	850	7	8.2
Gowanda	1,531	10	6.5
Greene	1,506	7	4.6
Groveland	1,041	8	7.7
Hale Creek	344	1	2.9
Hudson	98	8	81.6
Lakeview Female Transit	5	0	0.0
Livingston	842	4	4.8
Marcy	1,039	11	10.6
Midstate	1,398	20	14.3
Mohawk	1,283	4	3.1
Ogdensburg	411	5	12.2
Orleans	767	3	3.9
Otisville	574	1	1.7
Riverview	852	3	3.5
Taconic	341	0	0.0
Ulster	776	7	9.0
Wallkill	530	2	3.8
Washington	840	10	11.9
Watertown	529	1	1.9
Woodbourne	800	1	1.3
Wyoming	1,570	8	5.1
Sub-Total	26,694	198	7.4
Regional Medical Unit			
Fishkill RMU	30	0	0.0
Total Medium Security	26,724	198	7.4

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Table 3-1. Number and Rate of Staff Assault Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Staff Assaults	Rate per 1,000 Inmates
Minimum Shock			
Moriah Shock	190	0	0.0
Lakeview Shock	505	1	2.0
Sub-Total	695	1	1.4
Minimum Security Other			
Edgecombe	16	1	62.5
Hudson Industrial Training	42	0	0.0
Lakeview Male Transit	106	1	9.4
Lincoln	137	0	0.0
Queensboro	264	5	18.9
Rochester	68	0	0.0
Sub-Total	633	7	11.1
Minimum Work Release			
Albion Work Release	33	0	0.0
Edgecombe Work Release	34	1	29.4
Fishkill Work Release	46	0	0.0
Hale Creek Work Release	5	0	0.0
Hudson Work Release	42	0	0.0
Sub-Total	160	1	6.3
Total Minimum Security	1,488	9	6.0
Other			
Central Office	0	0	N/A
Grand Total	51,105	759	14.9

Table 3-2. Number of Staff Assault Incidents by Facility and Security Level:

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	36	57	71	56	43	30	54	64	36	12
Auburn	19	25	24	23	24	26	31	24	63	32
Bedford Hills	20	6	11	6	15	16	16	23	16	24
Clinton	24	37	44	28	30	19	28	44	49	32
Coxsackie	29	14	28	18	29	19	31	23	20	26
Downstate	15	17	12	15	20	36	32	20	28	29
Eastern	3	0	6	2	1	2	3	2	5	3
Elmira	22	19	20	23	21	23	25	22	23	27
Five Points	18	13	15	14	23	12	19	15	18	18
Great Meadow	53	63	50	65	35	36	53	64	72	51
Green Haven	30	23	28	30	36	21	27	40	59	55
Shawangunk	1	2	1	7	5	2	8	4	4	3
Sing Sing	33	33	29	32	15	26	25	34	29	36
Southport	30	19	16	17	22	13	7	9	19	20
Sullivan	10	18	6	4	11	11	13	31	52	39
Upstate	40	18	10	28	22	18	15	13	23	13
Wende	18	26	23	20	29	36	31	30	26	19
Sub-Total	401	390	394	388	381	346	418	462	542	439
Special Housing SHU200										
Cayuga SHU200	1	4	1	4	0	4	4	0	1	0
Collins SHU200	3	3	2	0	2	4	3	3	6	7
Fishkill SHU200	2	2	17	4	1	9	6	6	8	9
Gouverneur SHU200	1	0	1	2	0	1	1	0	2	1
Greene SHU200	4	1	1	3	4	2	2	4	3	7
Lakeview SHU200	0	4	10	4	1	0	1	0	1	2
Marcy SHU200	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	3	3	2	0	4	0	2	9	2	0
Orleans SHU200	0	2	3	0	1	0	2	1	1	6
Sub-Total	15	19	37	17	13	20	21	23	24	32
Residential Mental Health Treatment Unit										
Attica STP	3	0	1	0	0	N/A	N/A	N/A	N/A	N/A
Five Points STP	0	2	3	6	1	0	0	N/A	N/A	N/A
Green Haven STP	N/A	2	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	N/A	0	2	0	1	1
Five Points RMHU	N/A	N/A	N/A	N/A	5	21	18	20	15	33
Marcy RMHU	N/A	N/A	0	22	20	8	21	14	25	21
Bedford Hills TBU	1	1	0	0	0	1	1	3	3	7
Great Meadow BHU	4	12	9	7	13	4	10	9	12	8
Sullivan BHU	7	9	4	1	5	4	2	0	N/A	N/A
Sub-Total	15	26	17	36	44	38	54	46	56	70
Regional Medical Unit										
Bedford Hills RMU	0	0	0	1	0	0	0	1	0	0
Coxsackie RMU	0	3	2	5	1	2	1	0	2	6
Walsh RMU	2	4	2	4	3	3	8	4	1	3
Wende RMU	4	3	3	3	3	0	1	1	1	2
Sub-Total	6	10	7	13	7	5	10	6	4	11
Total Maximum Security	437	445	455	454	445	409	503	537	626	552

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Table 3-2. Number of Staff Assault Incidents by Facility and Security Level:

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	4	4	6	1	2	0	4	6	5	1
Albion	3	9	3	10	2	5	5	6	15	10
Altona	1	3	0	0	0	0	1	0	1	1
Arthur Kill	6	13	6	6	3	N/A	N/A	N/A	N/A	N/A
Bare Hill	1	7	4	10	5	9	6	14	14	12
Bayview	0	1	0	1	2	2	0	0	N/A	N/A
Butler	0	1	0	0	1	0	1	0	N/A	N/A
Cape Vincent	2	2	2	2	1	1	1	1	5	4
Cayuga	2	1	1	2	1	0	1	1	4	3
Chateaugay	1	1	0	0	0	4	1	0	N/A	N/A
Collins	0	1	0	1	0	2	1	3	9	6
Fishkill	8	14	11	11	12	18	14	23	25	23
Franklin	5	7	6	6	11	8	15	12	18	17
Gouverneur	1	3	5	1	1	0	4	2	4	7
Gowanda	6	5	2	10	5	5	5	10	12	10
Greene	12	11	12	11	10	20	11	13	26	7
Groveland	1	2	1	3	4	3	6	2	7	8
Hale Creek	0	1	0	2	0	0	0	1	1	1
Hudson	1	0	1	0	1	0	0	1	0	8
Lakeview Female Transit	1	0	0	0	0	0	0	0	0	0
Livingston	2	4	2	1	1	3	0	4	5	4
Marcy	2	1	1	0	7	6	5	17	9	11
Mid-Orange	1	1	0	0	0	N/A	N/A	N/A	N/A	N/A
Midstate	10	6	6	11	10	4	12	13	21	20
Mohawk	1	0	0	2	2	0	6	9	11	4
Mt. McGregor	3	1	3	1	2	5	4	0	N/A	N/A
Ogdensburg	0	1	0	0	0	1	1	0	2	5
Oneida	1	2	2	2	2	N/A	N/A	N/A	N/A	N/A
Orleans	6	1	3	5	5	2	5	7	10	3
Otisville	0	0	0	0	0	1	2	0	1	1
Riverview	2	2	3	1	2	2	1	6	8	3
Taconic	4	2	1	2	2	1	0	4	1	0
Ulster	4	2	1	0	0	1	4	5	3	7
Walkkill	3	1	1	1	2	0	1	2	4	2
Washington	7	3	3	3	10	6	4	8	19	10
Watertown	0	2	2	4	2	0	0	2	1	1
Woodbourne	0	0	0	0	1	0	1	3	3	1
Wyoming	1	5	4	3	1	5	7	13	9	8
Sub-Total	102	120	92	113	110	114	129	188	253	198
Regional Medical Unit										
Fishkill RMU	2	2	3	0	0	0	1	1	0	0
Total Medium Security	104	122	95	113	110	114	130	189	253	198

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Table 3-2. Number of Staff Assault Incidents by Facility and Security Level:

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	2	1	0	0	0	0	1	0	N/A	N/A
Moriah Shock	1	1	0	0	0	0	1	0	1	0
Lakeview Shock	4	2	3	4	2	0	1	0	1	1
Summit Shock	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A
Sub-Total	7	4	3	4	2	0	3	0	2	1
Minimum Security Other										
Beacon	1	1	0	0	1	0	0	N/A	N/A	N/A
Buffalo	0	0	0	0	0	0	0	0	N/A	N/A
Butler	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	0	0	0	0	0	0	0	2	0	1
Fulton	0	0	0	0	0	0	0	0	0	0
Hudson Industrial Training	0	0	0	0	0	0	0	0	0	0
Lakeview Male Transit	4	2	7	1	1	0	3	1	3	1
Lincoln	1	0	0	0	1	0	0	0	0	0
Lyon Mountain	1	0	1	0	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	1	3	3	5	2	1	6	17	9	5
Rochester	0	0	0	0	0	0	0	0	1	0
Summit General	0	1	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	1	0	0	0	1	N/A	N/A	N/A	N/A	N/A
Camp McGregor	0	1	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	0	0	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	9	8	13	6	6	1	9	20	13	7
Minimum Work Release										
Albion Work Release	0	0	0	0	0	0	0	0	0	0
Edgecombe Work Release	0	1	1	0	0	0	0	0	0	1
Fishkill Work Release	0	0	0	0	0	0	0	0	1	0
Hale Creek Work Release	N/A	N/A	N/A	N/A	0	0	0	0	0	0
Hudson Work Release	0	0	0	0	0	0	0	0	0	0
Sub-Total	0	1	1	0	0	0	0	0	1	1
Total Minimum Security	16	13	17	10	8	1	12	20	16	9
Other										
Central Office	0	0	0	0	0	0	0	1	0	0
Grand Total	557	580	567	577	563	524	645	747	895	759

Table 3-3. Rate of Staff Assault Incidents per 1,000 Inmates by Facility and Security Level:

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	16.6	26.5	33.3	26.2	20.1	14.1	25.8	30.5	17.6	6.0
Auburn	10.8	14.4	14.0	13.3	13.9	15.6	18.8	14.5	38.5	20.5
Bedford Hills	24.8	7.8	14.4	8.1	20.2	21.6	20.4	30.8	21.3	32.4
Clinton	8.4	12.9	15.5	10.1	10.8	6.8	10.1	16.2	18.7	12.1
Coxsackie	29.7	14.3	28.8	18.5	30.1	20.0	35.3	26.3	24.6	30.2
Downstate	12.2	14.3	10.0	12.9	16.8	30.8	26.7	16.9	24.2	25.1
Eastern	2.5	0.0	5.4	2.0	1.0	2.0	3.2	2.2	5.5	3.3
Elmira	12.0	10.7	11.0	12.8	11.7	13.1	14.3	13.2	14.4	16.9
Five Points	12.9	9.7	11.2	10.4	17.2	9.1	14.3	11.6	14.7	14.2
Great Meadow	32.6	38.9	31.0	40.4	21.9	22.5	33.4	42.8	48.3	35.1
Green Haven	13.9	11.2	14.0	14.6	17.5	10.4	13.9	20.5	30.1	28.1
Shawangunk	1.8	3.7	1.8	13.0	9.3	3.8	15.8	8.0	8.0	6.0
Sing Sing	18.8	18.7	16.5	18.6	8.7	15.9	16.0	21.1	18.1	22.8
Southport	33.9	21.4	18.2	19.6	25.0	14.7	9.3	13.2	28.0	32.4
Sullivan	20.4	36.8	12.4	8.2	22.8	23.0	28.4	65.1	104.8	82.1
Upstate	31.3	13.9	7.8	21.9	17.1	14.1	13.3	11.9	20.1	11.5
Wende	21.2	30.8	27.5	24.1	35.0	44.5	39.0	37.6	34.3	25.0
Sub-Total	16.9	16.6	16.9	16.8	16.5	15.2	18.9	21.2	25.3	20.7
Special Housing 200 Bed										
Cayuga SHU200	5.6	21.9	5.5	22.7	0.0	22.0	26.1	0.0	7.0	0.0
Collins SHU200	17.4	16.6	11.6	0.0	11.6	22.5	19.4	19.0	36.8	42.7
Fishkill SHU200	11.4	10.8	96.0	24.0	5.8	52.0	43.5	42.6	53.3	59.6
Gouverneur SHU200	5.7	0.0	5.4	11.6	0.0	5.3	5.8	0.0	10.9	6.0
Greene SHU200	22.2	5.4	5.6	17.6	23.3	10.9	11.3	24.5	18.5	47.6
Lakeview SHU200	0.0	20.9	54.1	22.5	5.4	0.0	6.8	0.0	6.4	13.0
Marcy SHU200	7.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	17.2	17.0	11.2	0.0	23.0	0.0	12.3	55.2	11.7	0.0
Orleans SHU200	0.0	13.4	18.8	0.0	6.1	0.0	14.9	7.1	6.6	40.0
Sub-Total	9.9	13.2	26.1	12.6	9.3	14.0	17.0	18.6	18.7	26.0
Residential Mental Health Treatment Unit										
Attica STP	N/A	N/A	37.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Five Points STP	0.0	52.6	73.2	187.5	76.9	N/A	N/A	N/A	N/A	N/A
Green Haven STP	N/A	N/A	N/A	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	N/A	N/A	250.0	0.0	142.9	125.0
Five Points RMHU	N/A	N/A	N/A	N/A	N/A	N/A	352.9	384.6	288.5	600.0
Marcy RMHU	N/A	N/A	N/A	N/A	246.9	102.6	256.1	172.8	290.7	225.8
Bedford Hills TBU	N/A	N/A	0.0	0.0	0.0	83.3	125.0	428.6	375.0	777.8
Great Meadow BHU	117.6	352.9	281.3	233.3	433.3	148.1	416.7	333.3	387.1	250.0
Sullivan BHU	137.3	204.5	173.9	52.6	131.6	173.9	250.0	N/A	N/A	N/A
Sub-Total	110.3	153.8	109.7	194.6	205.6	191.0	266.0	264.4	304.3	355.3
Regional Medical Unit										
Bedford Hills RMU	0.0	0.0	0.0	71.4	0.0	0.0	0.0	66.7	0.0	0.0
Coxsackie RMU	0.0	51.7	35.1	87.7	17.2	34.5	17.2	0.0	34.5	101.7
Walsh RMU	18.0	36.4	18.2	36.7	27.0	27.0	72.7	36.4	8.6	25.9
Wende RMU	51.3	38.0	39.0	39.0	38.5	0.0	12.7	12.8	12.7	25.6
Sub-Total	22.4	37.5	26.6	50.6	26.8	19.1	38.2	23.1	14.9	40.9
Total Maximum Security	17.0	17.5	18.1	18.3	17.8	16.6	21.1	22.9	27.1	24.1

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Table 3-3. Rate of Staff Assault Incidents per 1,000 Inmates by Facility and Security Level:

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	7.2	7.9	13.3	2.4	4.9	0.0	10.1	15.3	13.2	2.6
Albion	2.7	8.5	3.0	11.3	2.4	5.7	4.8	5.4	13.6	9.4
Altona	2.1	6.5	0.0	0.0	0.0	0.0	2.1	0.0	2.2	2.2
Arthur Kill	6.3	13.6	6.3	6.6	5.5	N/A	N/A	N/A	N/A	N/A
Bare Hill	0.6	4.1	2.4	6.3	3.1	5.5	3.7	8.4	8.7	8.1
Bayview	0.0	5.3	0.0	6.4	12.8	14.3	0.0	N/A	N/A	N/A
Butler	0.0	4.6	0.0	0.0	5.1	0.0	5.8	0.0	N/A	N/A
Cape Vincent	2.3	2.3	2.3	2.5	1.2	1.2	1.2	1.2	5.9	4.7
Cayuga	2.3	1.2	1.2	2.5	1.2	0.0	1.2	1.2	4.7	3.6
Chateaugay	4.7	4.9	0.0	0.0	0.0	18.3	5.1	0.0	N/A	N/A
Collins	0.0	1.1	0.0	1.3	0.0	2.3	1.1	3.4	10.3	6.9
Fishkill	5.6	9.8	7.6	7.6	8.4	12.5	9.8	16.2	17.8	16.4
Franklin	2.9	4.1	3.5	3.8	6.7	4.7	9.1	7.3	11.5	11.9
Gouverneur	1.2	3.5	5.8	1.3	1.3	0.0	5.0	2.3	4.7	8.2
Gowanda	3.4	2.9	1.2	6.4	3.3	3.4	3.4	6.5	7.8	6.5
Greene	7.4	6.9	7.5	6.9	6.4	12.5	6.9	8.3	17.1	4.6
Groveland	0.8	1.7	1.0	3.0	4.1	2.8	5.6	1.9	6.6	7.7
Hale Creek	0.0	2.2	0.0	5.1	0.0	0.0	0.0	3.5	2.8	2.9
Hudson	2.4	0.0	3.7	0.0	3.4	0.0	0.0	3.2	0.0	81.6
Lakeview Female Transit	4.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Livingston	2.3	4.7	2.3	1.3	1.3	3.6	0.0	4.7	5.9	4.8
Marcy	1.8	0.9	0.9	0.0	7.1	5.5	4.6	15.7	8.6	10.6
Mid-Orange	1.4	1.4	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Midstate	7.7	4.7	4.7	8.6	7.6	2.8	8.7	9.1	15.1	14.3
Mohawk	0.8	0.0	0.0	1.9	1.8	0.0	4.6	7.0	8.6	3.1
Mt. McGregor	5.6	2.0	6.1	2.4	4.8	11.4	10.5	0.0	N/A	N/A
Ogdensburg	0.0	1.9	0.0	0.0	0.0	2.7	2.6	0.0	5.0	12.2
Oneida	0.8	1.8	1.9	2.1	3.6	N/A	N/A	N/A	N/A	N/A
Orleans	7.0	1.2	3.6	6.3	6.4	2.6	6.8	8.9	12.8	3.9
Otisville	0.0	0.0	0.0	0.0	0.0	1.7	3.4	0.0	1.8	1.7
Riverview	2.3	2.3	3.5	1.3	2.4	2.3	1.2	7.0	9.4	3.5
Taconic	11.3	5.9	3.1	6.9	6.8	3.2	0.0	11.3	2.8	0.0
Ulster	4.9	3.2	1.4	0.0	0.0	1.4	4.9	6.3	4.3	9.0
Walkkill	5.0	1.7	1.8	1.7	3.8	0.0	1.7	3.5	7.2	3.8
Washington	6.6	3.0	3.4	3.9	13.3	7.9	5.4	9.7	22.7	11.9
Watertown	0.0	3.3	3.4	7.7	3.7	0.0	0.0	3.8	1.9	1.9
Woodbourne	0.0	0.0	0.0	0.0	1.3	0.0	1.2	3.6	3.7	1.3
Wyoming	0.6	3.0	2.4	1.9	0.6	3.0	4.3	7.7	5.4	5.1
Sub-Total	3.0	3.7	2.9	3.8	3.9	4.0	4.6	6.7	9.3	7.4
Regional Medical Unit										
Fishkill RMU	69.0	69.0	111.1	0.0	0.0	0.0	35.7	34.5	0.0	0.0
Total Medium Security	3.1	3.7	3.0	3.8	3.8	4.0	4.6	6.8	9.3	7.4

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Table 3-3. Rate of Staff Assault Incidents per 1,000 Inmates by Facility and Security Level:

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Shock										
Monterey Shock	9.5	6.6	0.0	0.0	0.0	0.0	6.9	0.0	N/A	N/A
Moriah Shock	5.5	5.8	0.0	0.0	0.0	0.0	5.2	0.0	5.0	0.0
Lakeview Shock	6.8	3.7	5.5	7.4	3.3	0.0	1.6	0.0	1.8	2.0
Summit Shock	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Sub-Total	6.4	4.1	3.1	4.2	1.9	0.0	3.2	0.0	2.7	1.4
Minimum Security										
Beacon	4.2	4.6	0.0	0.0	7.4	0.0	0.0	N/A	N/A	N/A
Buffalo	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Butler	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	N/A	0.0	0.0	0.0	0.0	0.0	0.0	125.0	0.0	62.5
Fulton	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Hudson Industrial Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Lakeview Male Transit	23.7	15.2	49.6	6.9	6.4	0.0	23.4	8.1	25.2	9.4
Lincoln	6.6	0.0	0.0	0.0	6.2	0.0	0.0	0.0	0.0	0.0
Lyon Mountain	6.5	0.0	7.4	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	2.4	7.4	7.4	12.3	5.1	3.4	17.4	47.8	30.3	18.9
Rochester	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	15.4	0.0
Summit General	0.0	25.6	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	5.3	0.0	0.0	0.0	12.8	N/A	N/A	N/A	N/A	N/A
Camp McGregor	0.0	8.1	45.5	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	0.0	0.0	29.4	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	3.5	3.2	7.1	3.8	5.2	1.3	12.7	29.0	20.5	11.1
Minimum Work Release										
Albion Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Edgecombe Work Release	0.0	45.5	N/A	N/A	N/A	N/A	0.0	0.0	0.0	29.4
Fishkill Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	20.4	0.0
Hale Creek Work Release	N/A	N/A	N/A	N/A	0.0	0.0	0.0	0.0	0.0	0.0
Hudson Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Sub-Total										
Total Minimum Security	4.0	3.6	5.8	3.7	3.4	0.6	6.8	12.2	10.5	6.0
Other										
Central Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total	8.8	9.4	9.5	10.1	10.1	9.6	12.0	14.1	17.2	14.9

Section 4. Inmate Assaults on Inmates

There were 1,135 inmate assaults on inmates in 2016, with a rate of 22.2 per 1,000 inmates. Most of these assaults (51%) occurred in maximum security facilities, with 580 reported incidents and a rate of 25.3 inmate assaults per 1,000 inmates. Medium security facilities experienced 554 inmate assaults (49% of the total) at a rate of 20.7 assaults per 1,000 inmates. Minimum security facilities reported one inmate assault at a rate of 0.7 assaults per 1,000 inmates.

Table 4-1 displays the number and rate of inmate assaults for 2016. The table provides information on the average daily population of each facility during the year, the number of assaults, and the rate of inmate assault on inmate incidents per 1,000 inmates.

Table 4-2 displays number of inmate assaults for the ten-year period from 2007 to 2016. Table 4-3 presents this information in rates per 1,000 inmates. There were 699 inmate assaults in 2007, with a rate of 11.0 per 1,000 inmates; the year 2016 had 1,135 inmate assaults, with a rate of 22.2 per 1,000 inmates. Maximum security facilities reported 448 inmate assaults in 2007, at a rate of 17.4 per 1,000 inmates; in 2016, there were 580 inmate assaults in maximum security facilities, at a rate of 25.3 inmate assaults per 1,000 inmates. Medium security facilities reported 244 inmate assaults in 2007, at a rate of 7.2 per 1,000 inmates; in 2016, there were 554 inmate assaults in medium security facilities, at a rate of 20.7 inmate assaults per 1,000 inmates. Minimum security facilities reported 7 inmate assaults in 2007, at a rate of 1.8 per 1,000 inmates; in 2016, there was one inmate assault in minimum security facilities, at a rate of 0.7 inmate assaults per 1,000 inmates.

Between 2015 and 2016, of the 17 maximum security facilities, there were three (Coxsackie, Green Haven, and Sing Sing) where the rate of inmate assaults declined. Additionally, there were two maximum security facilities (Southport and Wende) where the rate of inmate assaults declined between 2007 and 2016.

Table 4-1. Number and Rate of Inmate Assault Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Inmate Assaults	Rate per 1,000 Inmates
Maximum Security			
Attica	1,992	67	33.6
Auburn	1,560	87	55.8
Bedford Hills	741	9	12.1
Clinton	2,643	89	33.7
Coxsackie	860	8	9.3
Downstate	1,156	21	18.2
Eastern	905	4	4.4
Elmira	1,594	75	47.1
Five Points	1,269	39	30.7
Great Meadow	1,452	76	52.3
Green Haven	1,955	24	12.3
Shawangunk	502	5	10.0
Sing Sing	1,580	25	15.8
Southport	617	1	1.6
Sullivan	475	15	31.6
Upstate	1,134	5	4.4
Wende	761	14	18.4
Sub-Total	21,196	564	26.6
Special Housing SHU200			
Cayuga SHU200	138	0	0.0
Collins SHU200	164	0	0.0
Fishkill SHU200	151	2	13.2
Gouverneur SHU200	167	0	0.0
Greene SHU200	147	0	0.0
Lakeview SHU200	154	0	0.0
Mid-State SHU200	160	1	6.3
Orleans SHU200	150	0	0.0
Sub-Total	1,231	3	2.4
Residential Mental Health Treatment Unit			
Attica RMHU	8	0	0.0
Five Points RMHU	55	8	145.5
Marcy RMHU	93	3	32.3
Bedford Hills TBU	9	2	222.2
Great Meadow BHU	32	0	0.0
Sub-Total	197	13	66.0
Regional Medical Unit			
Bedford Hills RMU	16	0	0.0
Coxsackie RMU	59	0	0.0
Walsh RMU	116	0	0.0
Wende RMU	78	0	0.0
Sub-Total	269	0	0.0
Total Maximum Security	22,893	580	25.3

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Table 4-1. Number and Rate of Inmate Assault Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Inmate Assaults	Rate per 1,000 Inmates
Medium Security			
Adirondack	383	2	5.2
Albion	1,065	3	2.8
Altona	455	6	13.2
Bare Hill	1,481	31	20.9
Cape Vincent	849	2	2.4
Cayuga	831	3	3.6
Collins	873	12	13.7
Fishkill	1,400	17	12.1
Franklin	1,430	74	51.7
Gouverneur	850	5	5.9
Gowanda	1,531	23	15.0
Greene	1,506	46	30.5
Groveland	1,041	12	11.5
Hale Creek	344	0	0.0
Hudson	98	2	20.4
Lakeview Female Transit	5	0	0.0
Livingston	842	11	13.1
Marcy	1,039	42	40.4
Midstate	1,398	39	27.9
Mohawk	1,283	16	12.5
Ogdensburg	411	2	4.9
Orleans	767	11	14.3
Otisville	574	1	1.7
Riverview	852	20	23.5
Taconic	341	1	2.9
Ulster	776	6	7.7
Wallkill	530	1	1.9
Washington	840	42	50.0
Watertown	529	2	3.8
Woodbourne	800	2	2.5
Wyoming	1,570	120	76.4
Sub-Total	26,694	554	20.8
Regional Medical Unit			
Fishkill RMU	30	0	0.0
Total Medium Security	26,724	554	20.7

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Table 4-1. Number and Rate of Inmate Assault Incidents by Facility and Security Level: January - December 2016

Correctional Facility	Average Inmate Population 2016	Inmate Assaults	Rate per 1,000 Inmates
Minimum Shock			
Moriah Shock	190	0	0.0
Lakeview Shock	505	0	0.0
Sub-Total	695	0	0.0
Minimum Security Other			
Edgecombe	16	1	62.5
Hudson Industrial Training	42	0	0.0
Lakeview Male Transit	106	0	0.0
Lincoln	137	0	0.0
Queensboro	264	0	0.0
Rochester	68	0	0.0
Sub-Total	633	1	1.6
Minimum Work Release			
Albion Work Release	33	0	0.0
Edgecombe Work Release	34	0	0.0
Fishkill Work Release	46	0	0.0
Hale Creek Work Release	5	0	0.0
Hudson Work Release	42	0	0.0
Sub-Total	160	0	0.0
Total Minimum Security	1,488	1	0.7
Other			
Central Office	0	0	N/A
Grand Total	51,105	1,135	22.2

**Table 4-2. Number of Inmate Assault Incidents by Facility
and Security Level: 2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	51	32	26	27	42	32	43	45	66	67
Auburn	59	43	45	69	56	62	58	70	58	87
Bedford Hills	5	5	5	11	7	6	12	5	5	9
Clinton	77	65	53	87	83	59	97	71	65	89
Coxsackie	7	3	5	9	14	3	3	7	12	8
Downstate	6	6	8	8	9	8	12	20	18	21
Eastern	4	5	1	1	2	1	3	0	2	4
Elmira	82	67	60	69	57	39	49	57	55	75
Five Points	18	28	15	34	19	33	31	26	26	39
Great Meadow	39	27	38	66	48	66	65	52	66	76
Green Haven	23	21	13	23	20	28	40	54	38	24
Shawangunk	1	9	4	3	5	2	2	6	3	5
Sing Sing	20	47	57	31	28	26	18	24	31	25
Southport	6	1	0	4	1	3	0	0	0	1
Sullivan	4	5	11	12	6	7	8	11	11	15
Upstate	5	7	7	5	3	4	1	4	4	5
Wende	22	23	21	20	22	29	21	12	7	14
Sub-Total	429	394	369	479	422	408	463	464	467	564
Special Housing SHU200										
Cayuga SHU200	1	3	0	0	1	1	0	0	0	0
Collins SHU200	3	1	1	1	0	1	0	0	0	0
Fishkill SHU200	0	0	1	0	2	1	2	2	1	2
Gouverneur SHU200	0	2	0	0	1	0	1	0	0	0
Greene SHU200	1	2	0	0	0	0	1	0	0	0
Lakeview SHU200	5	3	0	0	0	0	1	0	1	0
Marcy SHU200	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	1	1	0	2	0	5	1	2	2	1
Orleans SHU200	0	4	0	0	2	1	0	0	1	0
Sub-Total	11	16	2	3	6	9	6	4	5	3
Residential Mental Health Treatment Unit										
Attica STP	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A
Five Points STP	0	0	0	0	0	0	0	N/A	N/A	N/A
Green Haven STP	N/A	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	0	0	0	0	1	0
Five Points RMHU	N/A	N/A	N/A	N/A	0	4	1	3	3	8
Marcy RMHU	N/A	N/A	0	3	4	1	3	2	1	3
Bedford Hills TBU	0	1	0	0	0	0	0	0	0	2
Great Meadow BHU	0	0	0	0	0	0	0	1	0	0
Sullivan BHU	2	3	1	1	8	1	2	0	N/A	N/A
Sub-Total	2	4	1	4	12	6	6	6	5	13
Regional Medical Unit										
Bedford Hills RMU	0	0	0	0	0	0	0	0	0	0
Coxsackie RMU	2	1	0	0	3	0	0	0	0	0
Walsh RMU	4	3	4	3	2	1	0	1	2	0
Wende RMU	0	3	0	2	0	1	0	0	1	0
Sub-Total	6	7	4	5	5	2	0	1	3	0
Total Maximum Security	448	421	376	491	445	425	475	475	480	580

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**Table 4-2. Number of Inmate Assault Incidents by Facility
and Security Level: 2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	1	1	0	0	2	1	0	2	0	2
Albion	5	4	2	3	1	1	4	5	6	3
Altona	1	1	1	2	3	2	2	6	2	6
Arthur Kill	5	2	2	3	3	N/A	N/A	N/A	N/A	N/A
Bare Hill	16	25	27	37	43	33	51	31	40	31
Bayview	0	0	0	0	0	1	0	0	N/A	N/A
Butler	0	0	0	0	0	0	1	0	N/A	N/A
Cape Vincent	8	7	3	3	4	0	5	5	1	2
Cayuga	3	5	3	5	4	4	4	9	4	3
Chateaugay	1	0	0	0	0	0	0	0	N/A	N/A
Collins	11	4	3	5	10	11	11	11	6	12
Fishkill	6	4	7	5	9	12	5	12	13	17
Franklin	7	7	16	11	13	8	5	29	70	74
Gouverneur	1	4	3	3	3	1	4	0	3	5
Gowanda	7	10	5	9	6	10	10	20	7	23
Greene	21	33	27	6	8	15	7	15	42	46
Groveland	7	5	8	8	14	11	13	16	8	12
Hale Creek	0	0	1	0	1	0	0	1	2	0
Hudson	4	2	2	1	0	0	0	0	0	2
Lakeview Female Transit	1	0	0	0	0	0	0	0	0	0
Livingston	11	9	7	5	6	9	10	7	16	11
Marcy	13	5	20	12	17	18	41	33	41	42
Mid-Orange	2	2	2	0	0	N/A	N/A	N/A	N/A	N/A
Midstate	10	14	12	11	14	17	20	22	25	39
Mohawk	11	8	7	10	6	17	11	18	11	16
Mt. McGregor	3	3	2	1	2	0	1	0	N/A	N/A
Ogdensburg	2	2	3	0	2	1	1	2	4	2
Oneida	0	4	4	2	1	N/A	N/A	N/A	N/A	N/A
Orleans	13	13	11	12	13	8	8	16	10	11
Otisville	1	1	1	2	4	1	1	1	1	1
Riverview	4	7	4	2	5	3	5	8	8	20
Taconic	2	0	4	4	1	1	1	1	1	1
Ulster	2	3	0	3	4	1	6	4	2	6
Walkill	3	4	1	1	2	3	3	2	3	1
Washington	20	14	9	6	8	8	8	15	30	42
Watertown	1	4	5	1	1	6	3	4	1	2
Woodbourne	1	3	2	4	2	1	2	1	0	2
Wyoming	40	16	20	6	8	21	44	83	75	120
Sub-Total	244	226	224	183	220	225	287	379	432	554
Regional Medical Unit										
Fishkill RMU	0	1	0	0	0	0	1	0	0	0
Total Medium Security	244	227	224	183	220	225	288	379	432	554

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**Table 4-2. Number of Inmate Assault Incidents by Facility
and Security Level: 2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	1	0	0	0	0	1	0	0	N/A	N/A
Moriah Shock	0	1	0	0	0	0	0	0	0	0
Lakeview Shock	1	1	2	0	0	0	1	0	1	0
Summit Shock	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A
Sub-Total	2	2	2	0	0	1	1	0	1	0
Minimum Security Other										
Beacon	0	1	0	0	0	0	0	N/A	N/A	N/A
Buffalo	0	0	0	0	0	0	0	0	N/A	N/A
Butler	0	1	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	0	0	0	0	0	0	1	0	0	1
Fulton	0	0	0	0	0	0	0	0	N/A	N/A
Hudson Industrial Training	0	0	0	0	0	0	0	0	0	0
Lakeview Male Transit	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	1	0
Lyon Mountain	1	1	0	1	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	1	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	1	2	0	0	1	0	2	3	1	0
Rochester	0	0	0	0	0	0	0	0	0	0
Summit General	1	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	1	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	0	1	0	0	0	N/A	N/A	N/A	N/A	N/A
Camp McGregor	0	1	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	5	7	0	1	1	0	3	3	2	1
Minimum Work Release										
Albion Work Release	0	0	0	0	0	0	0	0	0	0
Edgecombe Work Release	0	0	0	0	0	0	0	1	0	0
Fishkill Work Release	0	0	0	0	0	0	0	1	0	0
Hale Creek Work Release	N/A	N/A	N/A	N/A	0	0	0	0	0	0
Hudson Work Release	0	0	0	0	0	0	0	0	0	0
Sub-Total	0	0	0	0	0	0	0	2	0	0
Total Minimum Security	7	9	2	1	1	1	4	5	3	1
Other										
Central Office	0	0	0	0	0	0	0	0	0	0
Grand Total	699	657	602	675	666	651	767	859	915	1,135

**Table 4-3 Rate of Inmate Assault Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	23.5	14.9	12.2	12.6	19.6	15.0	20.6	21.4	32.2	33.6
Auburn	33.4	24.7	26.2	40.0	32.5	37.1	35.1	42.2	35.4	55.8
Bedford Hills	6.2	6.5	6.5	14.9	9.4	8.1	15.3	6.7	6.7	12.1
Clinton	26.9	22.6	18.7	31.3	29.7	21.1	34.9	26.2	24.8	33.7
Coxsackie	7.2	3.1	5.1	9.2	14.5	3.2	3.4	8.0	14.8	9.3
Downstate	4.9	5.1	6.6	6.9	7.6	6.8	10.0	16.9	15.6	18.2
Eastern	3.4	4.2	0.9	1.0	2.0	1.0	3.2	0.0	2.2	4.4
Elmira	44.9	37.6	33.1	38.3	31.9	22.2	28.1	34.1	34.4	47.1
Five Points	12.9	20.8	11.2	25.3	14.2	24.9	23.3	20.0	21.3	30.7
Great Meadow	24.0	16.7	23.5	41.0	30.1	41.2	41.0	34.7	44.3	52.3
Green Haven	10.7	10.2	6.5	11.2	9.7	13.9	20.6	27.7	19.4	12.3
Shawangunk	1.8	16.7	7.4	5.6	9.3	3.8	4.0	12.0	6.0	10.0
Sing Sing	11.4	26.6	32.4	18.0	16.2	15.9	11.6	14.9	19.3	15.8
Southport	6.8	1.1	0.0	4.6	1.1	3.4	0.0	0.0	0.0	1.6
Sullivan	8.2	10.2	22.7	24.7	12.4	14.6	17.5	23.1	22.2	31.6
Upstate	3.9	5.4	5.5	3.9	2.3	3.1	0.9	3.6	3.5	4.4
Wende	25.9	27.3	25.1	24.1	26.5	35.8	26.4	15.0	9.2	18.4
Sub-Total	18.0	16.8	15.8	20.8	18.3	17.9	20.9	21.3	21.8	26.6
Special Housing 200 Bed										
Cayuga SHU200	5.6	16.4	0.0	0.0	5.5	5.5	0.0	0.0	0.0	0.0
Collins SHU200	17.4	5.5	5.8	5.9	0.0	5.6	0.0	0.0	0.0	0.0
Fishkill SHU200	0.0	0.0	5.6	0.0	11.6	5.8	14.5	14.2	6.7	13.2
Gouverneur SHU200	0.0	10.8	0.0	0.0	5.5	0.0	5.8	0.0	0.0	0.0
Greene SHU200	5.6	10.9	0.0	0.0	0.0	0.0	5.6	0.0	0.0	0.0
Lakeview SHU200	27.5	15.7	0.0	0.0	0.0	0.0	6.8	0.0	6.4	0.0
Marcy SHU200	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	5.7	5.7	0.0	12.1	0.0	27.6	6.2	12.3	11.7	6.3
Orleans SHU200	0.0	26.8	0.0	0.0	12.3	6.1	0.0	0.0	6.6	0.0
Sub-Total	7.3	11.1	1.4	2.2	4.3	6.3	4.8	3.2	3.9	2.4
Residential Mental Health Treatment Unit										
Attica STP	N/A	N/A	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Five Points STP	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Green Haven STP	N/A	N/A	N/A	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	N/A	N/A	0.0	0.0	142.9	0.0
Five Points RMHU	N/A	N/A	N/A	N/A	N/A	N/A	19.6	57.7	57.7	145.5
Marcy RMHU	N/A	N/A	N/A	N/A	49.4	12.8	36.6	24.7	11.6	32.3
Bedford Hills TBU	N/A	N/A	0.0	0.0	0.0	0.0	0.0	0.0	0.0	222.2
Great Meadow BHU	0.0	0.0	0.0	0.0	0.0	0.0	0.0	37.0	0.0	0.0
Sullivan BHU	39.2	68.2	43.5	52.6	210.5	43.5	250.0	N/A	N/A	N/A
Sub-Total	14.7	23.7	6.5	21.6	56.1	30.2	29.6	34.5	27.2	66.0
Regional Medical Unit										
Bedford Hills RMU	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Coxsackie RMU	34.5	17.2	0.0	0.0	51.7	0.0	0.0	0.0	0.0	0.0
Walsh RMU	36.0	27.3	36.4	27.5	18.0	9.0	0.0	9.1	17.2	0.0
Wende RMU	0.0	38.0	0.0	26.0	0.0	12.7	0.0	0.0	12.7	0.0
Sub-Total	22.4	26.2	15.2	19.5	19.2	7.6	0.0	3.8	11.2	0.0
Total Maximum Security	17.4	16.6	15.0	19.8	17.8	17.2	20.0	20.3	20.8	25.3

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**Table 4-3 Rate of Inmate Assault Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	1.8	2.0	0.0	0.0	4.9	2.6	0.0	5.1	0.0	5.2
Albion	4.5	3.8	2.0	3.4	1.2	1.1	3.9	4.5	5.4	2.8
Altona	2.1	2.2	2.1	5.0	6.9	4.4	4.2	12.7	4.4	13.2
Arthur Kill	5.3	2.1	2.1	3.3	5.5	N/A	N/A	N/A	N/A	N/A
Bare Hill	9.4	14.8	16.0	23.3	26.4	20.0	31.2	18.6	24.8	20.9
Bayview	0.0	0.0	0.0	0.0	0.0	7.1	0.0	N/A	N/A	N/A
Butler	0.0	0.0	0.0	0.0	0.0	0.0	5.8	0.0	N/A	N/A
Cape Vincent	9.3	8.2	3.5	3.7	5.0	0.0	5.8	5.8	1.2	2.4
Cayuga	3.5	5.9	3.5	6.1	4.9	4.6	4.8	10.5	4.7	3.6
Chateaugay	4.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	N/A	N/A
Collins	11.7	4.4	3.7	6.4	12.5	12.4	12.3	12.3	6.8	13.7
Fishkill	4.2	2.8	4.9	3.5	6.3	8.3	3.5	8.4	9.3	12.1
Franklin	4.1	4.1	9.4	6.9	8.0	4.7	3.0	17.6	44.9	51.7
Gouverneur	1.2	4.7	3.5	3.9	3.9	1.3	5.0	0.0	3.5	5.9
Gowanda	4.0	5.8	3.1	5.7	3.9	6.7	6.7	13.0	4.5	15.0
Greene	13.0	20.7	16.9	3.7	5.1	9.4	4.4	9.5	27.6	30.5
Groveland	5.7	4.2	7.8	8.0	14.3	10.3	12.0	14.9	7.6	11.5
Hale Creek	0.0	0.0	2.2	0.0	3.6	0.0	0.0	3.5	5.7	0.0
Hudson	9.6	5.4	7.5	3.7	0.0	0.0	0.0	0.0	0.0	20.4
Lakeview Female Transit	4.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Livingston	12.7	10.6	8.1	6.4	7.8	10.7	12.3	8.2	18.9	13.1
Marcy	11.8	4.5	18.2	11.4	17.2	16.5	37.4	30.6	39.0	40.4
Mid-Orange	2.8	2.8	3.1	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Midstate	7.7	11.1	9.4	8.6	10.7	11.9	14.4	15.5	18.0	27.9
Mohawk	8.5	6.4	6.3	9.5	5.4	13.0	8.5	14.0	8.6	12.5
Mt. McGregor	5.6	6.1	4.1	2.4	4.8	0.0	2.6	0.0	N/A	N/A
Ogdensburg	3.4	3.7	6.4	0.0	5.5	2.7	2.6	5.0	10.1	4.9
Oneida	0.0	3.5	3.8	2.1	1.8	N/A	N/A	N/A	N/A	N/A
Orleans	15.2	15.3	13.0	15.1	16.5	10.5	10.8	20.3	12.8	14.3
Otisville	1.7	1.7	2.1	4.1	8.3	1.7	1.7	1.7	1.8	1.7
Riverview	4.6	8.2	4.6	2.5	6.1	3.5	6.2	9.4	9.4	23.5
Taconic	5.6	0.0	12.2	13.9	3.4	3.2	2.9	2.8	2.8	2.9
Ulster	2.5	4.8	0.0	4.3	5.1	1.4	7.4	5.0	2.8	7.7
Walkill	5.0	6.7	1.8	1.7	3.8	5.3	5.2	3.5	5.4	1.9
Washington	18.9	13.9	10.3	7.8	10.6	10.5	10.8	18.2	35.8	50.0
Watertown	1.6	6.6	8.4	1.9	1.9	10.8	5.5	7.5	1.9	3.8
Woodbourne	1.3	3.8	2.6	5.1	2.5	1.2	2.4	1.2	0.0	2.5
Wyoming	23.5	9.6	12.1	3.8	5.0	12.6	26.8	49.3	44.8	76.4
Sub-Total	7.3	7.0	7.2	6.2	7.7	7.9	10.2	13.6	15.8	20.8
Regional Medical Unit										
Fishkill RMU	0.0	34.5	0.0	0.0	0.0	0.0	35.7	0.0	0.0	0.0
Total Medium Security	7.2	7.0	7.1	6.2	7.7	7.9	10.2	13.6	15.8	20.7

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**Table 4-3 Rate of Inmate Assault Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	4.7	0.0	0.0	0.0	0.0	4.9	0.0	0.0	N/A	N/A
Moriah Shock	0.0	5.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Lakeview Shock	1.7	1.8	3.7	0.0	0.0	0.0	1.6	0.0	1.8	0.0
Summit Shock	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Sub-Total	1.8	2.0	2.1	0.0	0.0	1.1	1.1	0.0	1.3	0.0
Minimum Security Other										
Beacon	0.0	4.6	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A
Buffalo	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Butler	0.0	4.8	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	N/A	0.0	0.0	0.0	0.0	0.0	58.8	0.0	0.0	62.5
Fulton	0.0	0.0	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Hudson Industrial Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Lakeview Male Transit	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Lincoln	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	8.9	0.0
Lyon Mountain	6.5	6.8	0.0	13.7	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	6.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	2.4	4.9	0.0	0.0	2.5	0.0	5.8	8.4	3.4	0.0
Rochester	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Summit General	22.2	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	5.2	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	0.0	5.9	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Camp McGregor	0.0	8.1	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	1.9	2.8	0.0	0.6	0.9	0.0	4.2	4.3	3.2	1.6
Minimum Work Release										
Albion Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Edgecombe Work Release	0.0	0.0	N/A	N/A	N/A	N/A	0.0	45.5	0.0	0.0
Fishkill Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	30.3	0.0	0.0
Hale Creek Work Release	N/A	N/A	N/A	N/A	0.0	0.0	0.0	0.0	0.0	0.0
Hudson Work Release	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Sub-Total	0.0	0.0	0.0	0.0	0.0	0.0	0.0	18.9	0.0	0.0
Total Minimum Security	1.8	2.5	0.7	0.4	0.4	0.6	2.3	3.0	2.0	0.7
Other										
Central Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total	11.0	10.7	10.1	11.8	11.9	11.9	14.3	16.2	17.6	22.2

Section 5. Contraband Incidents

There were 4,744 contraband incidents in DOCCS correctional facilities in 2016 at a rate of 92.8 incidents per 1,000 inmates. The largest categories were drugs (53%) and cutting/stabbing weapons (39%).

Table 5-1 displays the various types of contraband incidents in 2016 by the security level of the facility in which they occurred. Maximum security facilities had 2,189 total contraband incidents (46%), medium security facilities had 2,511 (53%) contraband incidents, and minimum security facilities had 38 (1%). Of the 2,532 total drug incidents, 1,553 (61%) occurred at medium security facilities, with 956 (38%) at maximum security facilities, and 21 (1%) at minimum security facilities. Medium security prisons had 668 synthetic drug incidents (80% of the total 838 synthetic drug incidents), while maximum security facilities had a majority of the marijuana incidents (64%). Of the 71 cell phone incidents in 2016, the majority were cell phones found on staff, visitors, or inside packages; only two of these incidents involved inmates found with phones inside a facility.

Table 5-2 displays the types of contraband incidents that occurred at each facility during 2016. For example, we can see that Auburn had a total of 289 contraband incidents, with most of these (97%) being either cutting/stabbing weapons (63%) or drugs (35%).

The types of contraband incidents found in DOCCS facilities in the ten-year period from 2007 to 2016 can be found in Table 5-3. Aside from a few incidents, synthetic drugs were not reported until 2013. In 2016, synthetic drugs accounted for 33% of the drug contraband incidents, a decrease from 2015 where synthetic drugs were 45% of the drug contraband incidents.

Table 5-4 displays the number of contraband incidents by facility and security level from 2007 to 2016, while Table 5-5 displays the same information in rates per 1,000 inmates. There were 2,431 contraband incidents in 2007, with a rate of 38.4 per 1,000 inmates; the year 2016 had 4,744 contraband incidents, with a rate of 92.8 per 1,000 inmates. Maximum security facilities reported 1,627 contraband incidents in 2007, with a rate of 63.3; in 2016, there were 2,189 contraband incidents in maximum security facilities, with a rate of 95.6 per 1,000 inmates. Medium security facilities reported 760 contraband incidents in 2007, with a rate of 22.6; in 2016, there were 2,511 contraband incidents in medium security facilities, with a rate of 94.0 per 1,000 inmates. Minimum security facilities reported 44 contraband incidents in 2007, with a rate of 11.1; in 2016, there were 38 contraband incidents in minimum security facilities, with a rate of 25.5 per 1,000 inmates.

According to Table 5-5, the rate of contraband incidents decreased between 2015 and 2016 in nine maximum security facilities. Between 2007 and 2016, there were two maximum security facilities (Bedford Hills and Sullivan) where the contraband rate declined.

**Table 5-1. Contraband Incidents by Security Level:
January - December 2016**

Contraband Type	Maximum	Medium	Minimum	Other	Total
Alcohol					
Commercial Alcohol	2	2	1	0	5
Homemade Alcohol	3	0	0	0	3
Sub-total	5	2	1	0	8
Drug					
Hypodermic Needle/Syringe	4	12	1	0	17
Cocaine	23	25	0	0	48
Heroin	55	22	1	0	78
Marijuana	433	230	9	0	672
Prescription Drug	234	557	4	1	796
Other Drug	42	39	2	0	83
Synthetic Drug	165	668	4	1	838
Sub-total	956	1553	21	2	2,532
Cutting/Stabbing Weapon					
Cutting Instrument	203	253	0	0	456
Shank	152	51	0	1	204
Manufactured Knife	19	13	0	0	32
Hardback Razorblade	4	7	0	0	11
Utility Razorblade	10	9	0	0	19
Razor Blade	222	131	1	0	354
Can Lid	101	115	0	0	216
Ice Pick	144	134	0	1	279
Toothbrush	75	25	0	0	100
Scalpel/Exacto Bld	143	50	0	0	193
Sub-total	1073	788	1	2	1,864
Other Weapons					
Ammunition	2	7	0	0	9
Explosive	1	0	0	0	1
Weighted Cloth	13	11	0	0	24
Other Weapons	17	39	0	0	56
Sub-total	33	57	0	0	90
Electronic Device					
Cell Phone	27	36	8	0	71
Media Storage: CD/DVD/USB	13	8	0	1	22
Other Electronic Device	14	22	5	0	41
Sub-total	54	66	13	1	134
Other Contraband					
Cash	42	37	2	0	81
Other	26	8	0	1	35
Sub-total	68	45	2	1	116
Grand Total	2,189	2,511	38	6	4,744

**Table 5-2. Contraband Incident Type by Facility and Security Level:
January - December 2016**

Correctional Facility	Alcohol	Drug	Cut/Stab Weapon	Other Weapon	Electronic Device	Other	Total
Maximum Security							
Attica	1	75	120	2	10	3	211
Auburn	0	100	181	4	1	3	289
Bedford Hills	0	14	5	0	0	1	20
Clinton	0	85	126	4	5	8	228
Coxsackie	0	28	40	3	3	2	76
Downstate	0	46	29	1	1	5	82
Eastern	0	24	10	0	1	3	38
Elmira	0	49	123	2	1	5	180
Five Points	0	88	67	1	2	2	160
Great Meadow	0	100	105	5	3	2	215
Green Haven	2	53	86	3	6	9	159
Shawangunk	0	10	10	0	2	1	23
Sing Sing	0	75	54	1	13	9	152
Southport	0	14	11	0	1	5	31
Sullivan	1	15	10	2	1	0	29
Upstate	0	58	17	0	2	3	80
Wende	1	39	36	1	1	5	83
Sub-Total	5	873	1,030	29	53	66	2,056
Special Housing SHU200							
Cayuga SHU200	0	0	0	0	0	0	0
Collins SHU200	0	13	9	0	0	0	22
Fishkill SHU200	0	11	7	0	0	0	18
Gouverneur SHU200	0	17	1	0	0	0	18
Greene SHU200	0	2	6	1	0	0	9
Lakeview SHU200	0	5	1	0	0	0	6
Mid-State SHU200	0	3	1	0	0	0	4
Orleans SHU200	0	12	0	0	0	0	12
Sub-Total	0	63	25	1	0	0	89
Residential Mental Health Treatment Unit							
Attica RMHU	0	0	0	0	0	0	0
Five Points RMHU	0	7	2	0	0	2	11
Marcy RMHU	0	6	7	0	1	0	14
Bedford Hills TBU	0	0	0	1	0	0	1
Great Meadow BHU	0	4	5	1	0	0	10
Sub-Total	0	17	14	2	1	2	36
Regional Medical Unit							
Bedford Hills RMU	0	0	0	0	0	0	0
Coxsackie RMU	0	1	1	0	0	0	2
Walsh RMU	0	2	3	1	0	0	6
Wende RMU	0	0	0	0	0	0	0
Sub-Total	0	3	4	1	0	0	8
Total Maximum Security	5	956	1,073	33	54	68	2,189

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**Table 5-2. Number of Contraband Incidents by Facility and Security Level:
January - December 2016**

Correctional Facility	Alcohol	Drug	Cut/Stab Weapon	Other Weapon	Electronic Device	Other	Total
Medium Security							
Adirondack	0	1	3	2	0	0	6
Albion	0	31	5	2	3	3	44
Altona	0	43	5	0	0	0	48
Bare Hill	0	58	30	4	3	3	98
Cape Vincent	0	39	10	0	0	2	51
Cayuga	1	49	13	1	1	0	65
Collins	0	75	26	2	1	1	105
Fishkill	0	119	35	1	8	3	166
Franklin	0	88	81	1	1	3	174
Gouverneur	0	47	15	2	0	0	64
Gowanda	0	73	32	4	3	0	112
Greene	0	99	64	4	1	3	171
Groveland	0	75	9	0	1	3	88
Hale Creek	0	4	0	0	2	0	6
Hudson	1	2	5	0	0	0	8
Lakeview Female Transit	0	1	0	0	0	0	1
Livingston	0	36	14	1	0	0	51
Marcy	0	91	66	2	2	5	166
Midstate	0	60	61	6	2	4	133
Mohawk	0	97	39	1	0	0	137
Ogdensburg	0	13	1	0	0	1	15
Orleans	0	58	26	4	2	1	91
Otisville	0	9	2	1	0	0	12
Riverview	0	51	27	3	2	0	83
Taconic	0	10	0	0	0	1	11
Ulster	0	63	30	1	2	1	97
Wallkill	0	16	3	1	0	1	21
Washington	0	66	56	4	2	3	131
Watertown	0	20	8	1	0	0	29
Woodbourne	0	10	11	0	30	1	52
Wyoming	0	149	111	9	0	6	275
Total Medium Security	2	1,553	788	57	66	45	2,511

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**Table 5-2. Number of Contraband Incidents by Facility and Security Level:
January - December 2016**

Correctional Facility	Alcohol	Drug	Cut/Stab Weapon	Other Weapon	Electronic Device	Other	Total
Minimum Shock							
Moriah Shock	0	0	0	0	0	0	0
Lakeview Shock	0	3	0	0	0	0	3
Sub-Total	0	3	0	0	0	0	3
Minimum Security Other							
Edgecombe	0	3	0	0	0	0	3
Hudson Industrial Training	0	0	0	0	0	0	0
Lakeview Male Transit	0	0	0	0	0	0	0
Lincoln	1	0	0	0	9	0	10
Queensboro	0	7	1	0	0	2	10
Rochester	0	4	0	0	0	0	4
Sub-Total	1	14	1	0	9	2	27
Minimum Work Release							
Albion Work Release	0	0	0	0	1	0	1
Edgecombe Work Release	0	2	0	0	0	0	2
Fishkill Work Release	0	1	0	0	0	0	1
Hale Creek Work Release	0	0	0	0	0	0	0
Hudson Work Release	0	1	0	0	3	0	4
Sub-Total	0	4	0	0	4	0	8
Total Minimum Security	1	21	1	0	13	2	38
Other							
Central Office	0	2	2	0	1	1	6
Grand Total	8	2,532	1,864	90	134	116	4,744

Table 5-3. Contraband Incidents by Type: 2007 - 2016

Contraband Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Alcohol										
Commercial Alcohol	12	7	8	9	7	6	14	13	9	5
Homemade Alcohol	2	0	2	1	1	0	4	5	0	3
Sub-total	14	7	10	10	8	6	18	18	9	8
Drug										
Illegal Drug	0	0	0	0	0	2	4	0	0	0
Hypodermic Needle/Syringe	12	7	9	6	9	6	8	12	11	17
Cocaine	17	18	19	24	26	15	27	25	42	48
Heroin	96	95	73	52	51	47	55	66	82	78
Marijuana	537	552	515	592	574	547	524	475	523	672
Prescription Drug	193	172	148	154	199	238	343	416	606	796
Other Drug	29	45	36	49	59	147	71	61	98	83
Synthetic Drug	0	0	0	1	0	2	248	673	1,104	838
Sub-total	884	889	800	878	918	1,004	1,280	1,728	2,466	2,532
Cutting/Stabbing Weapon										
Cutting Instrument	166	153	186	175	212	188	206	334	476	456
Shank	263	230	220	209	204	204	227	244	210	204
Manufactured Knife	33	24	24	16	14	21	20	17	32	32
Hardback Razorblade	9	10	8	5	8	9	8	7	6	11
Utility Razorblade	39	30	38	22	18	9	25	19	20	19
Razor Blade	292	301	275	276	224	256	256	269	321	354
Can Lid	170	216	190	198	199	176	230	237	267	216
Ice Pick	117	201	158	193	156	180	242	261	323	279
Toothbrush	55	63	70	72	76	77	96	90	97	100
Scalpel/Exacto Blde	0	0	0	63	80	97	68	106	140	193
Sub-total	1,144	1,228	1,169	1,229	1,191	1,217	1,378	1,584	1,892	1,864
Other Weapons										
Ammunition	17	13	11	12	6	13	6	6	9	9
Explosive	1	1	2	0	0	0	0	0	0	1
Manufactured Gun	3	1	2	1	1	1	0	0	1	0
Zip Gun	0	0	0	1	0	0	0	0	0	0
Weighted Cloth	79	68	54	54	55	41	54	59	68	24
Other Weapons	45	76	54	44	26	32	30	20	38	56
Sub-total	145	159	123	112	88	87	90	85	116	90
Electronic Device										
Cell Phone	55	75	93	85	66	71	58	59	71	71
Media Storage: CD/DVD/USB	0	0	0	0	0	26	26	16	27	22
Other Electronic Device	14	18	29	44	42	33	15	29	53	41
Sub-total	69	93	122	129	108	130	99	104	151	134
Other Contraband										
Cash	121	114	71	76	86	70	67	59	79	81
Other	54	50	56	58	53	32	27	33	35	35
Sub-total	175	164	127	134	139	102	94	92	114	116
Grand Total	2,431	2,540	2,351	2,492	2,452	2,546	2,959	3,611	4,748	4,744

**Table 5-4. Contraband Incidents by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	126	184	136	149	135	134	177	193	221	211
Auburn	214	206	190	191	229	198	173	251	320	289
Bedford Hills	30	15	21	14	11	7	29	24	23	20
Clinton	197	169	189	213	213	162	228	196	218	228
Coxsackie	36	30	48	38	37	40	37	64	58	76
Downstate	15	45	26	40	44	35	49	51	86	82
Eastern	45	60	48	60	37	24	40	21	62	38
Elmira	116	112	101	105	96	105	168	168	152	180
Five Points	114	132	117	125	135	137	122	118	121	160
Great Meadow	166	131	167	167	132	175	175	167	230	215
Green Haven	124	96	105	125	121	100	121	174	244	159
Shawangunk	14	18	15	27	17	23	24	37	16	23
Sing Sing	147	115	120	139	124	128	78	109	153	152
Southport	33	28	20	39	42	49	38	32	29	31
Sullivan	31	38	20	16	36	18	30	33	47	29
Upstate	78	61	39	48	64	85	67	53	59	80
Wende	67	74	84	89	76	84	75	58	80	83
Sub-total	1,553	1,514	1,446	1,585	1,549	1,504	1,631	1,749	2,119	2,056
Special Housing SHU200										
Cayuga SHU200	10	11	19	12	11	4	7	0	5	0
Collins SHU200	6	5	1	12	8	10	12	17	22	22
Fishkill SHU200	9	10	8	9	8	12	6	14	5	18
Gouverneur SHU200	0	5	1	4	1	5	7	5	15	18
Greene SHU200	4	6	6	5	9	4	9	6	9	9
Lakeview SHU200	3	11	13	2	4	7	4	24	12	6
Marcy SHU200	5	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	5	5	4	1	5	8	7	4	10	4
Orleans SHU200	1	4	4	3	9	5	6	11	4	12
Sub-total	43	57	56	48	55	55	58	81	82	89
Residential Mental Health Treatment Unit										
Attica STP	2	1	2	0	0	N/A	N/A	N/A	N/A	N/A
Five Points STP	2	2	1	5	2	0	0	N/A	N/A	N/A
Green Haven STP	N/A	2	3	0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	0	0	1	4	3	0
Five Points RMHU	N/A	N/A	N/A	N/A	16	15	13	24	16	11
Marcy RMHU	N/A	N/A	0	16	17	6	13	13	12	14
Bedford Hills TBU	3	2	0	0	0	0	0	0	4	1
Great Meadow BHU	4	8	2	2	3	1	1	3	3	10
Sullivan BHU	14	11	3	6	5	3	3	0	N/A	N/A
Sub-total	25	26	11	29	43	25	31	44	38	36
Regional Medical Unit										
Bedford Hills RMU	1	0	0	0	0	0	0	0	1	0
Coxsackie RMU	2	3	2	1	5	3	0	1	2	2
Walsh RMU	2	5	6	2	3	5	11	7	13	6
Wende RMU	1	5	3	4	1	2	3	0	0	0
Sub-total	6	13	11	7	9	10	14	8	16	8
Total Maximum Security	1,627	1,610	1,524	1,669	1,656	1,594	1,734	1,882	2,255	2,189

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**Table 5-4. Contraband Incidents by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	15	8	5	6	12	4	10	10	13	6
Albion	7	17	15	16	12	20	23	18	31	44
Altona	6	4	2	6	9	13	8	7	12	48
Arthur Kill	19	27	18	28	11	N/A	N/A	N/A	N/A	N/A
Bare Hill	42	77	85	71	60	65	95	105	120	98
Bayview	6	4	5	9	0	2	0	0	N/A	N/A
Butler	1	2	1	1	6	0	1	1	N/A	N/A
Cape Vincent	21	20	30	26	27	31	36	49	74	51
Cayuga	26	20	20	12	12	10	26	39	36	65
Chateaugay	0	0	1	5	2	0	1	0	N/A	N/A
Collins	23	23	17	19	29	36	29	35	102	105
Fishkill	65	52	53	67	43	39	47	68	136	166
Franklin	20	33	49	42	53	44	62	114	269	174
Gouverneur	12	14	11	12	15	21	30	37	50	64
Gowanda	22	20	18	33	36	45	58	78	127	112
Greene	74	95	79	59	49	53	73	110	172	171
Groveland	21	20	26	39	31	39	61	63	39	88
Hale Creek	3	1	2	0	5	3	0	5	9	6
Hudson	6	16	13	8	5	10	5	24	24	8
Lakeview Female Transit	5	0	0	0	0	0	0	0	0	1
Livingston	19	32	20	13	14	36	40	68	52	51
Marcy	33	39	45	28	40	50	101	149	189	166
Mid-Orange	13	11	4	3	3	N/A	N/A	N/A	N/A	N/A
Midstate	43	46	37	36	28	53	68	90	182	133
Mohawk	33	20	18	10	20	37	49	96	116	137
Mt. McGregor	10	12	14	4	11	8	14	2	N/A	N/A
Ogdensburg	2	5	16	3	6	8	16	5	33	15
Oneida	31	40	18	25	16	N/A	N/A	N/A	N/A	N/A
Orleans	28	35	22	36	28	47	53	67	64	91
Otisville	7	7	4	6	6	13	5	8	7	12
Riverview	16	20	18	11	15	15	24	57	102	83
Taconic	1	4	5	4	3	6	7	5	8	11
Ulster	16	16	9	17	26	21	30	38	49	97
Wallkill	5	14	9	11	4	10	16	24	31	21
Washington	40	44	34	34	31	38	53	97	182	131
Watertown	6	11	11	13	13	16	15	23	13	29
Woodbourne	16	12	14	9	3	6	15	14	32	52
Wyoming	45	51	32	48	69	106	113	150	161	275
Sub-total	758	872	780	770	753	905	1,184	1,656	2,435	2,511
Regional Medical Unit										
Fishkill RMU	2	0	1	1	0	2	0	0	0	0
Total Medium Security	760	872	781	771	753	907	1,184	1,656	2,435	2,511

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**Table 5-4. Contraband Incidents by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	0	0	0	0	1	1	1	0	N/A	N/A
Moriah Shock	0	1	2	0	1	1	0	1	1	0
Lakeview Shock	2	4	5	2	6	5	2	5	3	3
Summit Shock	0	1	0	0	0	N/A	N/A	N/A	N/A	N/A
Sub-total	2	6	7	2	8	7	3	6	4	3
Minimum Security										
Beacon	0	2	0	0	0	2	1	N/A	N/A	N/A
Buffalo	3	1	3	3	0	0	0	0	N/A	N/A
Butler	5	3	3	1	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	0	0	1	0	0	0	0	7	4	3
Fulton	1	5	7	2	3	0	0	0	N/A	N/A
Hudson Industrial Training	0	0	0	3	2	2	0	0	0	0
Lakeview Male Transit	0	0	1	0	3	2	1	2	1	0
Lincoln	5	6	5	4	9	4	7	12	8	10
Lyon Mountain	1	4	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	0	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	0	0	3	4	1	4	16	38	34	10
Rochester	2	5	2	8	8	12	7	2	4	4
Summit General	1	0	1	0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	3	1	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	1	4	2	1	2	N/A	N/A	N/A	N/A	N/A
Camp McGregor	3	7	2	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	2	1	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-total	27	40	31	26	28	26	32	61	51	27
Minimum Work Release										
Albion Work Release	0	2	0	0	0	0	0	0	0	1
Edgecombe Work Release	8	5	1	6	4	5	1	2	1	2
Fishkill Work Release	2	2	0	7	0	2	2	0	1	1
Hale Creek Work Release	N/A	N/A	N/A	N/A	0	0	0	0	0	0
Hudson Work Release	5	3	3	10	3	1	2	4	1	4
Sub-Total	15	12	4	23	7	8	5	6	3	8
Total Minimum Security	44	58	42	51	43	41	40	73	58	38
Other										
Central Office	0	0	4	1	0	4	1	0	0	6
Grand Total	2,431	2,540	2,351	2,492	2,452	2,546	2,959	3,611	4,748	4,744

**Table 5-5. Rate of Contraband Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Attica	58.0	85.5	63.7	69.7	63.0	62.9	84.6	92.0	107.8	105.9
Auburn	121.2	118.5	110.5	110.8	132.8	118.5	104.7	151.3	195.4	185.3
Bedford Hills	37.3	19.5	27.5	19.0	14.8	9.4	37.0	32.1	30.6	27.0
Clinton	68.9	58.9	66.5	76.6	76.3	58.0	82.1	72.2	83.1	86.3
Coxsackie	36.9	30.5	49.4	39.0	38.4	42.2	42.1	73.3	71.4	88.4
Downstate	12.2	38.0	21.6	34.4	36.9	30.0	40.9	43.1	74.5	70.9
Eastern	38.1	50.8	43.6	59.6	36.9	24.1	43.3	23.2	68.2	42.0
Elmira	63.5	62.9	55.8	58.3	53.7	59.8	96.3	100.5	95.1	112.9
Five Points	82.0	98.1	87.0	93.1	100.8	103.6	91.8	91.0	98.9	126.1
Great Meadow	102.2	80.9	103.5	103.8	82.7	109.3	110.4	111.6	154.4	148.1
Green Haven	57.6	46.7	52.4	60.9	58.7	49.8	62.3	89.3	124.7	81.3
Shawangunk	25.7	33.4	27.7	50.2	31.6	43.3	47.5	73.7	31.8	45.8
Sing Sing	83.9	65.1	68.3	80.6	71.6	78.3	50.1	67.6	95.3	96.2
Southport	37.3	31.5	22.8	44.9	47.8	55.5	50.6	46.9	42.7	50.2
Sullivan	63.4	77.7	41.3	33.0	74.7	37.7	65.5	69.3	94.8	61.1
Upstate	61.0	47.3	30.4	37.6	49.6	66.5	59.3	48.3	51.7	70.5
Wende	78.8	87.8	100.5	107.1	91.7	103.8	94.3	72.7	105.7	109.1
Sub-Total	65.3	64.4	62.1	68.8	67.1	66.1	73.8	80.4	99.1	97.0
Special Housing 200 Bed										
Cayuga SHU200	56.2	60.1	104.4	68.2	60.4	22.0	45.8	0.0	35.0	0.0
Collins SHU200	34.9	27.6	5.8	71.0	46.5	56.2	77.4	107.6	135.0	134.1
Fishkill SHU200	51.1	53.8	45.2	53.9	46.5	69.4	43.5	99.3	33.3	119.2
Gouverneur SHU200	0.0	26.9	5.4	23.3	5.5	26.6	40.9	27.6	81.5	107.8
Greene SHU200	22.2	32.6	33.3	29.4	52.3	21.9	50.8	36.8	55.6	61.2
Lakeview SHU200	16.5	57.6	70.3	11.2	21.6	37.8	27.0	160.0	76.9	39.0
Marcy SHU200	37.3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	28.7	28.4	22.5	6.1	28.7	44.2	43.2	24.5	58.5	25.0
Orleans SHU200	7.0	26.8	25.0	19.1	55.2	30.7	44.8	78.0	26.3	80.0
Sub-Total	28.4	39.7	39.5	35.5	39.3	38.4	46.8	65.4	64.0	72.3
Residential Mental Health Treatment Unit										
Attica STP	N/A	N/A	74.1	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Five Points STP	95.2	52.6	24.4	156.3	153.8	N/A	N/A	N/A	N/A	N/A
Green Haven STP	N/A	N/A	N/A	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	N/A	N/A	125.0	571.4	428.6	0.0
Five Points RMHU	N/A	N/A	N/A	N/A	N/A	N/A	254.9	461.5	307.7	200.0
Marcy RMHU	N/A	N/A	N/A	N/A	209.9	76.9	158.5	160.5	139.5	150.5
Bedford Hills TBU	N/A	N/A	0.0	0.0	0.0	0.0	0.0	0.0	500.0	111.1
Great Meadow BHU	117.6	235.3	62.5	66.7	100.0	37.0	41.7	111.1	96.8	312.5
Sullivan BHU	274.5	250.0	130.4	315.8	131.6	130.4	375.0	N/A	N/A	N/A
Sub-Total	183.8	153.8	71.0	156.8	200.9	125.6	152.7	252.9	206.5	182.7
Regional Medical Unit										
Bedford Hills RMU	47.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	62.5	0.0
Coxsackie RMU	34.5	51.7	35.1	17.5	86.2	51.7	0.0	17.5	34.5	33.9
Walsh RMU	18.0	45.5	54.5	18.3	27.0	45.0	100.0	63.6	112.1	51.7
Wende RMU	12.8	63.3	39.0	51.9	12.8	25.3	38.0	0.0	0.0	0.0
Sub-Total	22.4	48.7	41.8	27.2	34.5	38.2	53.4	30.8	59.5	29.7
Total Maximum Security	63.3	63.5	60.6	67.2	66.3	64.7	72.8	80.3	97.5	95.6

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**Table 5-5. Rate of Contraband Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium Security										
Adirondack	27.2	15.7	11.1	14.4	29.3	10.5	25.1	25.4	34.4	15.7
Albion	6.3	16.1	15.2	18.0	14.4	23.0	22.2	16.3	28.2	41.3
Altona	12.7	8.6	4.2	15.0	20.6	28.7	16.8	14.8	26.6	105.5
Arthur Kill	20.1	28.3	18.9	30.6	20.2	N/A	N/A	N/A	N/A	N/A
Bare Hill	24.6	45.5	50.3	44.7	36.8	39.5	58.2	63.1	74.4	66.2
Bayview	29.9	21.2	29.2	57.3	0.0	14.3	0.0	N/A	N/A	N/A
Butler	4.9	9.2	5.4	5.6	30.8	0.0	5.8	66.7	N/A	N/A
Cape Vincent	24.3	23.4	34.8	32.5	33.4	36.1	41.7	56.8	87.0	60.1
Cayuga	30.1	23.7	23.2	14.7	14.6	11.6	31.5	45.5	42.5	78.2
Chateaugay	0.0	0.0	5.0	22.6	9.0	0.0	5.1	0.0	N/A	N/A
Collins	24.5	25.5	21.0	24.2	36.4	40.7	32.4	39.1	116.2	120.3
Fishkill	45.4	36.5	36.8	46.3	30.1	27.1	32.9	47.8	96.9	118.6
Franklin	11.6	19.4	28.9	26.5	32.4	25.8	37.5	69.3	172.5	121.7
Gouverneur	13.9	16.5	12.8	15.7	19.6	26.3	37.1	43.2	58.8	75.3
Gowanda	12.6	11.7	11.1	21.0	23.6	30.2	39.0	50.8	82.4	73.2
Greene	45.8	59.4	49.4	36.9	31.2	33.1	45.6	69.8	113.1	113.5
Groveland	17.0	16.9	25.3	39.2	31.6	36.4	56.5	58.6	36.9	84.5
Hale Creek	6.5	2.2	4.4	0.0	17.8	9.0	0.0	17.7	25.6	17.4
Hudson	14.4	43.1	48.5	29.3	17.2	30.9	15.5	76.7	79.2	81.6
Lakeview Female Transit	24.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	200.0
Livingston	21.9	37.5	23.2	16.5	18.3	42.7	49.2	79.3	61.5	60.6
Marcy	29.9	35.3	41.1	26.6	40.4	45.8	92.2	138.0	179.8	159.8
Mid-Orange	18.0	15.6	6.2	4.7	9.1	N/A	N/A	N/A	N/A	N/A
Midstate	33.1	36.3	29.1	28.1	21.3	37.2	49.0	63.3	130.9	95.1
Mohawk	25.6	16.1	16.3	9.5	18.0	28.4	37.7	74.5	90.6	106.8
Mt. McGregor	18.7	24.5	28.5	9.4	26.4	18.3	36.7	105.3	N/A	N/A
Ogdensburg	3.4	9.3	34.3	7.7	16.5	21.7	42.3	12.5	82.9	36.5
Oneida	26.3	35.2	17.2	26.5	28.8	N/A	N/A	N/A	N/A	N/A
Orleans	32.7	41.2	26.1	45.3	35.6	61.9	71.6	84.8	82.2	118.6
Otisville	11.6	12.0	8.2	12.4	12.4	22.4	8.6	13.9	12.3	20.9
Riverview	18.4	23.4	20.8	13.8	18.4	17.4	29.7	66.8	120.4	97.4
Taconic	2.8	11.8	15.3	13.9	10.2	19.4	20.2	14.1	22.6	32.3
Ulster	19.7	25.5	12.4	24.2	33.2	28.6	37.0	47.6	69.6	125.0
Walkill	8.4	23.5	16.0	19.2	7.6	17.6	27.7	41.7	56.2	39.6
Washington	37.8	43.6	38.9	44.4	41.2	50.0	71.5	117.6	217.4	156.0
Watertown	9.4	18.1	18.4	25.1	24.3	28.7	27.5	43.2	24.6	54.8
Woodbourne	20.2	15.2	18.2	11.5	3.8	7.3	18.3	16.9	39.9	65.0
Wyoming	26.5	30.5	19.3	30.5	42.8	63.7	68.8	89.2	96.2	175.2
Sub-Total	22.5	26.8	24.9	26.0	26.4	31.9	42.1	59.4	89.1	94.1
Regional Medical Unit										
Fishkill RMU	69.0	0.0	37.0	35.7	0.0	69.0	0.0	0.0	0.0	0.0
Total Medium Security	22.6	26.8	24.9	26.0	26.3	31.9	42.0	59.4	89.0	94.0

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**Table 5-5. Rate of Contraband Incidents per 1,000 Inmates by Facility and Security Level:
2007 - 2016**

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	0.0	0.0	0.0	0.0	4.7	4.9	6.9	0.0	N/A	N/A
Moriah Shock	0.0	5.8	11.7	0.0	5.2	5.5	0.0	4.0	5.0	0.0
Lakeview Shock	3.4	7.4	9.2	3.7	10.0	8.9	3.2	8.4	5.4	5.9
Summit Shock	0.0	8.7	0.0	0.0	0.0	N/A	N/A	N/A	N/A	N/A
Sub-Total	1.8	6.1	7.2	2.1	7.4	7.4	3.2	7.1	5.3	4.3
Minimum Security										
Beacon	0.0	9.1	0.0	0.0	0.0	18.2	34.5	N/A	N/A	N/A
Buffalo	23.4	7.6	24.0	24.8	0.0	N/A	N/A	N/A	N/A	N/A
Butler	21.6	14.4	29.7	18.2	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	N/A	0.0	58.8	0.0	0.0	0.0	0.0	437.5	266.7	187.5
Fulton	13.5	35.7	48.6	15.2	50.0	N/A	N/A	N/A	N/A	N/A
Hudson Industrial Training	0.0	0.0	0.0	100.0	57.1	71.4	0.0	0.0	0.0	0.0
Lakeview Male Transit	0.0	0.0	7.1	0.0	19.1	18.0	7.8	16.1	8.4	0.0
Lincoln	33.1	30.6	24.3	20.2	55.9	29.6	63.6	116.5	71.4	73.0
Lyon Mountain	6.5	27.4	0.0	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	0.0	7.3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	0.0	0.0	7.4	9.8	2.5	13.7	46.4	106.7	114.5	37.9
Rochester	38.5	84.7	36.4	125.0	119.4	173.9	122.8	31.3	61.5	58.8
Summit General	22.2	0.0	30.3	0.0	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	15.5	5.9	0.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	5.3	23.7	14.8	5.7	25.6	N/A	N/A	N/A	N/A	N/A
Camp McGregor	19.1	56.5	90.9	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	12.4	6.8	29.4	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	10.5	16.2	17.0	16.4	24.1	34.2	45.0	88.4	80.4	42.7
Minimum Work Release										
Albion Work Release	0.0	41.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	30.3
Edgecombe Work Release	63.5	227.3	N/A	N/A	N/A	N/A	100.0	90.9	47.6	58.8
Fishkill Work Release	31.3	36.4	0.0	116.7	0.0	66.7	64.5	0.0	20.4	21.7
Hale Creek Work Release	N/A	N/A	N/A	N/A	0.0	0.0	0.0	0.0	0.0	0.0
Hudson Work Release	94.3	52.6	56.6	169.5	71.4	31.3	58.8	153.8	29.4	95.2
Sub-Total	50.8	65.9	27.2	146.5	68.6	96.4	52.1	56.6	22.6	50.0
Total Minimum Security	11.1	16.0	14.3	18.9	18.3	22.9	22.7	44.5	38.1	25.5
Other										
Central Office	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total	38.4	41.3	39.6	43.6	43.9	46.4	55.1	68.2	91.3	92.8

Section Six: Unusual Incident Locations

This section details the locations in DOCCS facilities where unusual incidents occurred. Table 6-1 displays incident type by location. For most incident types, the most frequent incident location was housing units. This was the case for inmate assaults, staff assaults, contraband, disruptive behavior, and several other incident types. The exception to this is attempted suicides, where the largest number occurred in Special Housing Units (SHU).

Table 6-2 displays incident location information for the ten-year period from 2007 to 2016. Housing units were also the most frequent incident location over the past ten years.

Table 6-1. Unusual Incident Type by Location: January - December 2016

Incident Type	Housing Unit	SHU	Mental Health Units	Hospital	Mess Hall	Yard	Shop or Class	Assembly or Gym	Walkway or Corridor	Visit/ Process	Admin Areas	Outside Facility	Other	Total
Accident	125	10	2	31	25	135	25	79	40	4	3	38	17	534
Assault on Inmate	465	11	17	10	28	322	22	17	216	1	2	0	24	1,135
Assault on Staff	246	141	119	51	17	12	27	11	88	10	6	8	23	759
Assault on Other	0	0	0	0	0	0	0	0	0	9	1	2	0	12
Contraband	1,943	361	65	202	56	335	70	53	371	321	399	228	340	4,744
Death	31	6	3	61	1	0	0	0	0	0	0	50	0	152
Disruptive Behavior	196	117	111	29	20	90	9	4	30	0	1	9	16	632
Disruption of Facility	0	0	0	0	0	0	0	0	0	0	3	3	5	11
Employee Misconduct	1	0	0	1	0	0	1	0	0	1	5	90	7	106
Escape	0	0	0	0	0	0	0	0	0	0	0	1	0	1
Attempted Escape	0	0	0	0	0	0	0	0	0	0	0	1	0	1
Fire	14	2	3	0	1	0	1	0	0	0	0	1	2	24
Inmate Mass Demonstration	0	0	0	0	0	2	0	0	0	0	0	0	0	2
Property Destroyed	0	0	0	2	0	0	0	0	0	0	0	1	0	3
Property Lost or Stolen	2	0	0	10	0	1	8	1	2	1	12	25	17	79
Self-Inflicted Injury	24	18	26	9	0	0	0	0	0	0	0	0	0	77
Sexual Misconduct	12	0	0	0	0	3	0	0	2	21	1	0	0	39
Suicide Attempt	67	88	26	3	0	0	2	0	0	0	0	1	0	187
Temp Release Related	0	0	0	0	0	0	0	0	0	0	0	23	0	23
Staff Use of Weapons	53	47	60	5	15	80	4	0	18	1	3	1	6	293
Other Incidents	85	53	31	82	3	13	5	1	9	318	143	197	31	971
Total	3,264	854	463	496	166	993	174	166	776	687	579	679	488	9,785

* Mental Health Units include: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Therapeutic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units).

Table 6-2. Location of Unusual Incidents: 2007 - 2016

Incident Location	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Housing Unit										
Cell	615	761	642	684	705	669	759	821	933	849
Dormitory	549	581	559	457	522	476	659	904	1,286	1,301
Gallery	264	265	229	205	236	223	245	218	243	282
Shower	60	50	47	49	71	79	68	76	79	46
Toilet Area	80	124	88	69	85	138	125	137	165	232
TV Room Day Area	92	102	109	102	88	124	133	178	166	194
Cell Block	276	239	248	302	228	164	233	282	352	258
Double-Cell	71	66	96	78	45	68	78	68	40	46
Porter's Sink	9	14	9	7	15	7	9	5	21	15
Long Term Keeplock Cell	0	0	0	0	0	0	47	38	39	41
Non-SHU Protective Custody	0	0	0	0	0	0	1	5	0	0
Sub-Total	2,016	2,202	2,027	1,953	1,995	1,948	2,357	2,732	3,324	3,264
	33.6%	36.3%	34.6%	32.5%	33.4%	32.0%	34.1%	35.3%	36.4%	33.4%
Special Housing Unit (SHU)										
Cell	0	0	1	0	0	0	0	0	0	0
Gallery	1	0	0	0	1	3	0	1	0	0
Shower	0	0	0	0	0	0	1	0	0	0
SHU-Discipline	105	64	26	40	26	26	26	18	32	29
SHU-IPC	3	1	0	0	1	0	3	4	0	1
SHU-PC	9	5	3	1	5	6	3	5	0	2
Cell Block	0	0	2	1	0	0	1	1	0	0
SHU-Yard	7	8	1	4	1	5	5	8	4	5
Double-Cell	1	1	0	0	0	0	0	1	0	0
SHU Frisk Room	0	8	29	44	34	57	59	86	117	94
SHU Other	0	7	45	55	50	74	54	86	67	105
SHU200	0	0	0	0	0	1	0	0	0	0
SHU	491	500	476	405	381	483	452	485	536	618
Long Term Keeplock Cell	0	0	0	0	0	0	0	3	3	0
SHU Shower	0	0	0	0	0	3	0	2	0	0
Sub-Total	617	594	583	550	499	658	604	700	759	854
	10.3%	9.8%	10.0%	9.1%	8.3%	10.8%	8.7%	9.1%	8.3%	8.7%
Mental Health Units										
Cell	0	0	0	0	0	0	0	0	1	0
Dormitory	0	0	0	1	1	0	0	2	5	3
Gallery	15	16	12	10	21	20	24	19	12	12
Mental Health Unit	120	117	143	170	211	197	210	260	264	376
Shower	0	0	2	0	1	0	9	1	2	0
Toilet Area	0	0	0	0	0	0	1	3	1	0
TV Room Day Area	1	1	3	0	3	0	2	1	1	0
Cell Block	9	9	2	1	8	0	6	14	18	5
Double-Cell	0	0	0	0	1	0	0	0	0	0
MHU-OBS Cell	16	16	31	51	53	70	73	55	43	64
MHU-OBS Dorm	0	2	2	7	1	5	1	2	4	3
ICP Unit	0	0	0	0	0	0	0	1	0	0
BHU/RMHU	0	0	0	0	0	0	1	1	0	0
RCTP	0	0	0	0	0	0	0	0	1	0
Sub-Total	161	161	195	240	300	292	327	359	352	463
	2.7%	2.7%	3.3%	4.0%	5.0%	4.8%	4.7%	4.6%	3.8%	4.7%
Hospital										
Infirmiry-Hospital	274	400	317	287	319	276	352	377	469	496
	4.6%	6.6%	5.4%	4.8%	5.3%	4.5%	5.1%	4.9%	5.1%	5.1%
Mess Hall										
Kitchen	39	29	37	40	44	33	37	41	49	44
Mess Hall/In Line	65	57	95	82	82	69	98	94	149	122
Sub-Total	104	86	132	122	126	102	135	135	198	166
	1.7%	1.4%	2.3%	2.0%	2.1%	1.7%	2.0%	1.7%	2.2%	1.7%
Yard										
Yard	536	514	519	623	576	681	769	770	968	988
Keeplock Yard	1	4	3	1	2	2	1	2	5	5
Sub-Total	537	518	522	624	578	683	770	772	973	993
	8.9%	8.5%	8.9%	10.4%	9.7%	11.2%	11.2%	10.0%	10.7%	10.1%

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Table 6-2. Location of Unusual Incidents: 2007 - 2016

Incident Location	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Shop or Classroom										
Classroom/School	48	45	44	56	62	59	55	86	91	89
Shop-Industries	16	29	26	22	11	26	16	14	34	35
Shop-Maintenance	9	13	13	11	16	12	6	5	12	6
Shop-Vocation Ed	16	19	19	34	17	21	19	29	27	44
Sub-Total	89	106	102	123	106	118	96	134	164	174
	1.5%	1.7%	1.7%	2.0%	1.8%	1.9%	1.4%	1.7%	1.8%	1.8%
Assembly or Gym										
Auditorium	6	9	7	4	4	1	6	3	1	3
Gymnasium	129	95	113	109	108	137	166	147	179	163
Sub-Total	135	104	120	113	112	138	172	150	180	166
	2.2%	1.7%	2.0%	1.9%	1.9%	2.3%	2.5%	1.9%	2.0%	1.7%
Walkway or Corridor										
Corridor	269	225	230	276	248	252	278	327	357	310
Stairway	64	50	52	63	57	83	81	84	83	98
Walkway	158	165	144	130	145	126	224	296	425	368
Sub-Total	491	440	426	469	450	461	583	707	865	776
	8.2%	7.3%	7.3%	7.8%	7.5%	7.6%	8.4%	9.1%	9.5%	7.9%
Visit Room/Process										
Package Room	62	56	71	69	73	99	107	122	125	116
Visiting Room	125	110	80	119	113	110	133	148	188	152
Visitor Process	102	87	81	150	170	213	244	243	234	419
Sub-Total	289	253	232	338	356	422	484	513	547	687
	4.8%	4.2%	4.0%	5.6%	6.0%	6.9%	7.0%	6.6%	6.0%	7.0%
Administration Areas										
Admin Building	276	262	208	188	201	199	226	277	283	469
Front Gate	52	32	78	123	121	52	35	19	35	31
Lobby	23	32	32	48	57	68	43	40	55	79
Sub-Total	351	326	318	359	379	319	304	336	373	579
	5.8%	5.4%	5.4%	6.0%	6.3%	5.2%	4.4%	4.3%	4.1%	5.9%
Outside Facility										
Farm	14	13	5	2	1	2	3	3	0	1
In Transit	13	10	10	11	10	3	12	13	13	9
Outside-Court	2	9	5	2	3	8	4	2	2	4
Outside-Hospital	103	85	99	83	85	71	117	101	87	92
Outside-Temp Rel	155	130	125	136	75	37	30	28	23	28
Outside Unspecify	194	179	176	203	153	174	171	164	159	166
Parking Lot/Perimeter	72	65	67	35	46	51	39	43	136	379
Sub-Total	553	491	487	472	373	346	376	354	420	679
	9.2%	8.1%	8.3%	7.8%	6.2%	5.7%	5.4%	4.6%	4.6%	6.9%
Other										
Cell	0	0	0	0	0	0	0	4	3	0
Disciplinary Off.	28	19	18	11	17	18	13	16	21	31
Inmate Draft Areas	61	60	34	59	38	57	59	98	106	110
Rear Gate	9	12	10	6	8	6	8	13	5	7
Shop-Industries	0	0	0	0	0	0	0	0	1	0
Shop-Vocation Ed	0	0	0	0	0	0	0	1	0	0
Interview Room	0	0	17	30	35	31	31	58	48	42
Not Specified	33	39	26	23	28	10	1	2	1	0
Other - Inside Facility	258	252	288	238	261	207	232	270	321	298
Sub-Total	389	382	393	367	387	329	344	462	506	488
	6.5%	6.3%	6.7%	6.1%	6.5%	5.4%	5.0%	6.0%	5.5%	5.0%
Grand Total	6,006	6,063	5,854	6,017	5,980	6,092	6,904	7,731	9,130	9,785
	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Seven: Inmate Weapon Use

This section details the weapons used by inmates in unusual incidents. For DOCCS reporting purposes, use of body, such as fists or kicks, is considered as a type of weapon in classifying incidents. Previous sections of this report were incident-based. This section of the report focuses on individuals inmates. There were 12,078 instances where inmates were associated with unusual incidents in 2016.

Table 7-1 displays the most serious type of inmate weapon use for the unusual incidents that occurred in 2016. No weapons were used by 8,804 (73%) of the inmates linked to incidents. Thus, there were 3,274 inmates who did use weapons. Of those who used a weapon, 1,627 (50%) used a fist, kick or other body attack; most of the inmates using body attacks were linked to disruptive behaviors (786 or 48%) and staff assaults (533 or 33%). Of those using weapons, 1,118 inmates (34%) used cutting/stabbing weapons. The majority of cutting/stabbing weapon use (88%) occurred in inmate assault incidents.

Table 7-2 displays inmate weapon use by facility and security level for 2016. For maximum security facilities, a total of 6,733 inmates were linked to incidents. Of these inmates, 4,778 (71%) did not use any weapons. Thus, there were 1,955 inmates who did use a weapon. Of those who did use a weapon, 988 (51%) used body attacks, and 623 (32%) used cutting or stabbing weapons. For medium security facilities, there were 5,249 inmates linked to incidents. Of these inmates, 3,945 (75%) did not use any weapons. Thus, there were 1,304 medium security inmates who did use a weapon. Of those who did use a weapon, 626 (48%) used body attacks, and 495 (38%) used cutting or stabbing weapons. For minimum security facilities, a total of 96 inmates were linked to incidents. Of these inmates, 82 (85%) did not use any weapons. Thus, there were 14 inmates who did use a weapon. Of those who did use a weapon, 13 (93%) used body attacks, and 1 (7%) used an unknown weapon.

Table 7-3 displays inmate weapon use in the ten-year period from 2007 to 2016. During this time, the total number of inmates using weapons has increased from 2,061 in 2007 to 3,274 in 2016. However, the percent of inmates using a weapon has decreased slightly; in 2007, 28% of inmates used some type weapon, while in 2016, 27% of inmates used weapons in unusual incidents.

Table 7-4 displays inmate weapon use by security level for the ten-year period from 2007 to 2016. In 2007 at maximum security facilities, 14% of inmates used body attacks in incidents, while 10% used cutting or stabbing weapons. Ten years later in 2016, 15% of maximum security inmates used body attacks in incidents, while use of cutting or stabbing weapons had declined to 9% of inmates. In 2007 at medium security facilities, 17% of inmates used body attacks in incidents, while 6% used cutting or stabbing weapons. Ten years later in 2016, 12% of medium security inmates used body attacks in incidents, while 9% of inmates used cutting or stabbing weapons. In 2007 at minimum security facilities, 8% of inmates used body attacks in incidents, while 0.3% used cutting or stabbing weapons. Ten years later in 2016, 14% of minimum security inmates used body attacks in incidents, while no inmates used cutting or stabbing weapons.

Table 7-5 displays the rate of inmate weapon use per 1,000 inmates by security level for the ten-year period from 2007 to 2016. The rate of weapon use has increased at all security levels. As a note, the sub-totals and grand total indicate the number of inmates per 1,000 who were linked to unusual incidents.

The types of inmate weapons used in unusual incidents in the ten-year period from 2007 to 2016 are found in Table 7-6. Here we can see that the use of cutting/stabbing weapons in inmate assault incidents has increased from 493 in 2007 to 982 in 2016. Use of body use increased in staff assault incidents, from 433 in 2007 to 533 in 2016; however, this is a decrease from the 749 instances of body attacks used against staff members in 2015.

Table 7-1. Unusual Incident Type by Inmate Weapon Use: January - December 2016

Incident Type	No Weapon	Fist or Kick	Cut/Stab Weapon	Clubs	Metal Objects	Human Waste/Fluid	Garrotte	Other	Unknown	Total
Accident	509	0	0	0	0	0	0	0	2	511
Assault on Inmate	883	273	982	50	24	3	0	48	42	2,305
Assault on Staff	63	533	8	21	4	81	0	110	1	821
Assault on Other	1	11	0	0	0	0	0	0	0	12
Contraband	4,859	8	25	5	0	0	0	3	0	4,900
Death	154	0	0	0	0	0	16	0	0	170
Disruptive Behavior	584	786	1	0	0	0	0	2	1	1,374
Disruption of Facility	3	0	0	0	0	0	0	0	0	3
Employee Misconduct	4	0	0	0	0	0	0	0	0	4
Escape	1	0	0	0	0	0	0	0	0	1
Attempted Escape	1	0	0	0	0	0	0	0	0	1
Fire	67	0	0	0	0	0	0	0	0	67
Inmate Mass Demonstration	18	0	0	0	0	0	0	0	0	18
Property Destroyed	1	0	0	0	0	0	0	0	0	1
Property Lost or Stolen	30	0	0	0	0	0	0	0	0	30
Self-Inflicted Injury	16	7	49	0	0	0	0	8	1	81
Sexual Misconduct	53	0	0	0	0	0	0	0	0	53
Suicide Attempt	58	0	53	0	0	0	95	6	0	212
Temp Release Related	22	0	0	0	0	0	0	0	0	22
Staff Use of Weapons	490	9	0	0	0	0	0	0	0	499
Other Incidents	987	0	0	0	1	5	0	0	0	993
Total	8,804	1,627	1,118	76	29	89	111	177	47	12,078

Table 7-2. Inmate Weapon Use by Facility and Security Level: January - December 2016

Correctional Facility	No Weapon	Fist or Kick	Cut/Stab Weapon	Clubs	Metal Objects	Human Waste/Fluids	Garrotte	Other	Unknown	Total
Maximum Security										
Attica	453	61	77	0	0	3	7	8	2	611
Auburn	530	59	100	3	0	4	7	8	0	711
Bedford Hills	60	26	2	2	0	1	3	7	0	101
Clinton	587	253	103	2	0	1	3	8	3	960
Coxsackie	119	31	5	1	0	3	4	4	0	167
Downstate	176	36	19	1	0	3	4	3	3	245
Eastern	105	6	4	0	0	1	0	1	0	117
Elmira	315	63	82	2	0	5	6	4	0	477
Five Points	283	54	43	4	0	1	2	5	1	393
Great Meadow	464	129	82	0	0	2	2	0	6	685
Green Haven	295	42	27	2	0	7	1	14	0	388
Shawangunk	196	5	2	1	0	0	0	1	0	205
Sing Sing	224	61	19	3	0	2	1	4	0	314
Southport	73	13	4	0	0	1	2	8	0	101
Sullivan	112	27	11	3	1	3	2	23	0	182
Upstate	159	24	3	3	0	2	4	1	0	196
Wende	135	20	14	2	1	4	8	1	0	185
Sub-total	4,286	910	597	29	2	43	56	100	15	6,038
Special Housing SHU200										
Cayuga SHU200	1	0	0	0	0	0	0	0	0	1
Collins SHU200	52	2	5	1	0	1	6	4	0	71
Fishkill SHU200	38	17	3	0	0	0	4	2	0	64
Gouverneur SHU200	26	4	0	0	0	1	1	0	0	32
Greene SHU200	18	5	1	3	0	0	3	1	0	31
Lakeview SHU200	16	1	0	0	0	1	3	0	0	21
Mid-State SHU200	14	1	0	0	0	0	2	1	0	18
Orleans SHU200	24	3	0	1	0	2	1	2	0	33
Sub-total	189	33	9	5	0	5	20	10	0	271
Residential Mental Health Treatment Unit										
Attica RMHU	9	1	0	0	0	0	0	0	0	10
Five Points RMHU	100	20	5	1	0	14	2	6	0	148
Marcy RMHU	61	4	10	0	0	17	0	10	0	102
Bedford Hills TBU	8	6	1	0	0	1	0	1	0	17
Great Meadow BHU	36	4	1	0	0	5	1	0	0	47
Sub-total	214	35	17	1	0	37	3	17	0	324
Regional Medical Unit										
Bedford Hills RMU	1	0	0	0	0	0	0	0	0	1
Coxsackie RMU	24	5	0	0	0	0	0	1	0	30
Walsh RMU	39	3	0	0	0	0	0	0	0	42
Wende RMU	25	2	0	0	0	0	0	0	0	27
Sub-total	89	10	0	0	0	0	0	1	0	100
Total Maximum Security	4,778	988	623	35	2	85	79	128	15	6,733

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Table 7-2. Inmate Weapon Use by Facility and Security Level: January - December 2016

Correctional Facility	No Weapon	Fist or Kick	Cut/Stab Weapon	Clubs	Metal Objects	Human Waste/Fluids	Garrotte	Other	Unknown	Total
Medium Security										
Adirondack	18	1	0	0	0	0	0	0	1	20
Albion	87	13	2	1	0	2	4	5	0	114
Altona	55	14	3	0	0	0	0	1	0	73
Bare Hill	148	48	26	5	2	0	0	0	0	229
Cape Vincent	93	18	2	1	0	0	1	0	0	115
Cayuga	102	8	1	2	0	0	2	0	0	115
Collins	159	19	14	2	0	0	0	1	0	195
Fishkill	266	44	10	0	0	1	12	8	0	341
Franklin	284	60	73	3	3	0	2	4	2	431
Gouverneur	107	13	3	2	1	0	0	0	0	126
Gowanda	163	45	25	1	0	0	1	1	6	242
Greene	278	42	44	2	1	0	1	3	0	371
Groveland	142	23	7	1	2	0	0	2	0	177
Hale Creek	14	1	0	0	0	0	0	0	0	15
Hudson	13	9	2	0	0	0	0	0	0	24
Lakeview Female Transit	2	0	0	0	0	0	0	0	0	2
Livingston	89	10	10	1	0	1	0	1	1	113
Marcy	240	36	41	2	2	0	1	4	1	327
Midstate	212	35	34	4	2	0	1	1	2	291
Mohawk	186	12	14	1	1	0	1	1	2	218
Ogdensburg	53	4	0	0	0	0	0	3	0	60
Orleans	119	27	8	5	0	0	0	0	1	160
Otisville	25	4	1	0	0	0	0	0	0	30
Riverview	132	4	11	2	4	0	0	0	8	161
Taconic	16	1	0	0	0	0	0	0	0	17
Ulster	128	13	3	3	0	0	3	2	0	152
Wallkill	28	3	2	0	0	0	0	0	0	33
Washington	217	58	29	2	3	0	1	3	7	320
Watertown	44	2	1	0	1	0	1	1	0	50
Woodbourne	69	6	1	0	0	0	0	0	0	76
Wyoming	444	53	128	1	5	0	1	7	0	639
Sub-total	3,933	626	495	41	27	4	32	48	31	5,237
Regional Medical Unit										
Fishkill RMU	12	0	0	0	0	0	0	0	0	12
Total Medium Security	3,945	626	495	41	27	4	32	48	31	5,249

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Table 7-2. Inmate Weapon Use by Facility and Security Level: January - December 2016

Correctional Facility	No Weapon	Fist or Kick	Cut/Stab Weapon	Clubs	Metal Objects	Human Waste/Fluids	Garrotte	Other	Unknown	Total
Minimum Shock										
Moriah Shock	0	0	0	0	0	0	0	0	0	0
Lakeview Shock	12	0	0	0	0	0	0	1	0	13
Sub-total	12	0	0	0	0	0	0	1	0	13
Minimum Security Other										
Edgecombe	7	0	0	0	0	0	0	0	1	8
Hudson Industrial Training	0	0	0	0	0	0	0	0	0	0
Lakeview Male Transit	2	3	0	0	0	0	0	0	0	5
Lincoln	21	0	0	0	0	0	0	0	0	21
Queensboro	15	8	0	0	0	0	0	0	0	23
Rochester	12	1	0	0	0	0	0	0	0	13
Sub-total	57	12	0	0	0	0	0	0	1	70
Minimum Work Release										
Albion Work Release	1	0	0	0	0	0	0	0	0	1
Edgecombe Work Release	2	1	0	0	0	0	0	0	0	3
Fishkill Work Release	3	0	0	0	0	0	0	0	0	3
Hale Creek Work Release	0	0	0	0	0	0	0	0	0	0
Hudson Work Release	6	0	0	0	0	0	0	0	0	6
Sub-Total	12	1	0	0	0	0	0	0	0	13
Total Minimum Security	81	13	0	0	0	0	0	1	1	96
Other										
Central Office	0	0	0	0	0	0	0	0	0	0
Grand Total	8,804	1,627	1,118	76	29	89	111	177	47	12,078

Table 7-3. Inmate Weapon Use in Unusual Incidents: 2007 - 2016

Type of Weapon	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
No Weapon Used	5,316	5,679	5,315	5,403	5,397	5,546	6,239	6,707	8,090	8,804
	72.1%	75.3%	73.9%	73.2%	72.0%	72.1%	71.2%	71.1%	71.4%	72.9%
Fist Kick Bite Etc	1,099	896	973	995	1,158	1,197	1,415	1,542	1,937	1,627
	14.9%	11.9%	13.5%	13.5%	15.4%	15.6%	16.1%	16.4%	17.1%	13.5%
Cut or Stab Instrument										
Broken Glass	6	7	8	6	2	6	1	1	1	4
Eating Utensil	2	1	2	2	1	3	3	3	2	4
Knife-Manufactured	1	0	0	1	0	1	1	0	0	2
Pen Or Pencil	13	22	17	10	16	16	17	15	25	17
Razor Blade	78	80	93	95	71	98	81	96	79	107
Ice Pick Type	17	12	21	21	21	9	38	26	23	39
Shank	34	58	38	49	46	48	37	52	76	58
Can Lid	27	48	31	40	44	31	59	45	56	34
Toothbrush	3	4	5	5	6	3	4	4	5	9
Scalpel	0	0	0	0	6	19	11	6	27	23
Cut Instr. Other	0	0	0	0	8	7	14	21	34	30
Unrecog. Cut. Weapon	338	330	265	318	304	310	385	487	521	751
Unrecog. Stab Weapon	58	52	34	40	39	37	39	25	40	40
Sub-Total	577	614	514	587	564	588	690	781	889	1118
	7.8%	8.1%	7.1%	8.0%	7.5%	7.6%	7.9%	8.3%	7.9%	9.3%
Clubs										
Weighted Container	20	29	21	15	24	23	21	28	29	24
Other Club	8	5	5	4	5	6	5	4	5	5
Wooden Club	22	25	19	32	26	21	28	26	22	33
Kitchen Tray	14	6	8	5	7	3	6	8	12	14
Sub-Total	64	65	53	56	62	53	60	66	68	76
	0.9%	0.9%	0.7%	0.8%	0.8%	0.7%	0.7%	0.7%	0.6%	0.6%
Metal Objects										
Chair	13	22	26	18	16	13	17	22	19	29
Metal Pipe	1	1	0	0	1	0	0	0	0	0
Sub-Total	14	23	26	18	17	13	17	22	19	29
	0.2%	0.3%	0.4%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%
Human Waste/Body Fluids										
Human Waste	16	15	12	21	20	20	20	17	14	18
Body Fluids	23	21	17	23	11	12	31	20	45	71
Sub-Total	39	36	29	44	31	32	51	37	59	89
	0.5%	0.5%	0.4%	0.6%	0.4%	0.4%	0.6%	0.4%	0.5%	0.7%
Garrotte	58	66	99	109	108	115	101	98	102	111
	0.8%	0.9%	1.4%	1.5%	1.4%	1.5%	1.2%	1.0%	0.9%	0.9%
Other										
Gun- Manufactured	0	0	0	0	0	0	0	0	1	0
Combust Material	3	2	0	2	1	0	4	1	1	0
Water or Other Fluids	57	39	36	30	39	28	39	51	59	79
Chem,Caustic Agent	0	1	0	3	0	1	0	0	0	1
Other	62	74	105	73	73	72	78	63	67	97
Sub-Total	122	116	141	108	113	101	121	115	128	177
	1.7%	1.5%	2.0%	1.5%	1.5%	1.3%	1.4%	1.2%	1.1%	1.5%
Unknown	88	48	46	61	48	50	74	63	41	47
	1.2%	0.6%	0.6%	0.8%	0.6%	0.6%	0.8%	0.7%	0.4%	0.4%
Total Inmates	7,377	7,543	7,196	7,381	7,498	7,695	8,768	9,431	11,333	12,078
	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Table 7-4. Inmate Weapon Use by Security Level: 2007 - 2016

Type of Weapon	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
No Weapon Used	3,369	3,437	3,272	3,511	3,544	3,502	3,874	3,729	4,246	4,778
Fist or Kick Etc	686	587	615	679	763	755	938	830	1,127	988
Cut or Stab Instrument	429	459	382	473	439	453	477	490	512	623
Clubs	35	27	27	30	27	21	31	25	33	35
Metal Objects	1	7	4	6	4	2	1	3	6	2
Human Waste/Fluids	37	33	27	41	31	29	47	36	50	85
Garrotte	39	52	90	92	88	96	78	73	77	79
Other	95	88	99	75	72	67	86	83	89	128
Unknown	46	23	22	32	30	22	44	27	12	15
Sub-Total	4,737	4,713	4,538	4,939	4,998	4,947	5,576	5,296	6,152	6,733
Medium Security										
No Weapon Used	1,672	1,979	1,836	1,640	1,691	1,894	2,258	2,845	3,759	3,945
Fist or Kick Etc	388	286	337	300	383	433	454	678	790	626
Cut or Stab Instrument	147	153	131	114	125	133	212	288	376	495
Clubs	28	38	26	26	35	32	28	41	35	41
Metal Objects	13	15	22	11	13	11	16	19	13	27
Human Waste/Fluids	2	3	2	3	0	3	4	0	9	4
Garrotte	19	14	9	16	18	18	23	25	25	32
Other	25	25	42	33	41	34	35	31	39	48
Unknown	41	24	24	29	16	28	29	35	29	31
Sub-Total	2,335	2,537	2,429	2,172	2,322	2,586	3,059	3,962	5,075	5,249
Minimum Security										
No Weapon Used	275	263	207	252	162	137	104	133	85	81
Fist or Kick Etc	25	23	21	16	12	9	23	33	20	13
Cut or Stab Instrument	1	2	1	0	0	2	1	3	1	0
Clubs	1	0	0	0	0	0	1	0	0	0
Metal Objects	0	1	0	1	0	0	0	0	0	0
Human Waste/Fluids	0	0	0	0	0	0	0	1	0	0
Garrotte	0	0	0	1	2	1	0	0	0	0
Other	2	3	0	0	0	0	0	1	0	1
Unknown	1	1	0	0	2	0	1	1	0	1
Sub-Total	305	293	229	270	178	149	130	172	106	96
Central Office										
No Weapon Used	0	0	0	0	0	13	3	0	0	0
Fist or Kick Etc	0	0	0	0	0	0	0	1	0	0
Sub-Total	0	0	0	0	0	13	3	1	0	0
Grand Total	7,377	7,543	7,196	7,381	7,498	7,695	8,768	9,431	11,333	12,078

Table 7-5. Rate of Inmate Weapon Use per 1,000 Inmates by Security Level: 2007 - 2016

Type of Weapon	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
No Weapon Used	131.1	135.5	130.2	141.3	141.9	142.1	162.7	159.1	183.6	208.7
Fist or Kick Etc	26.7	23.1	24.5	27.3	30.6	30.6	39.4	35.4	48.7	43.2
Cut or Stab Instrument	16.7	18.1	15.2	19.0	17.6	18.4	20.0	20.9	22.1	27.2
Clubs	1.4	1.1	1.1	1.2	1.1	0.9	1.3	1.1	1.4	1.5
Metal Objects	0.0	0.3	0.2	0.2	0.2	0.1	0.0	0.1	0.3	0.1
Human Waste/Fluids	1.4	1.3	1.1	1.7	1.2	1.2	2.0	1.5	2.2	3.7
Garrotte	1.5	2.0	3.6	3.7	3.5	3.9	3.3	3.1	3.3	3.5
Other	3.7	3.5	3.9	3.0	2.9	2.7	3.6	3.5	3.8	5.6
Unknown	1.8	0.9	0.9	1.3	1.2	0.9	1.8	1.2	0.5	0.7
Sub-Total	184.3	185.8	180.6	198.8	200.2	200.7	234.2	226.0	266.0	294.1
Medium Security										
No Weapon Used	49.7	60.8	58.6	55.3	59.2	66.7	80.1	102.0	137.4	147.6
Fist or Kick Etc	11.5	8.8	10.8	10.1	13.4	15.2	16.1	24.3	28.9	23.4
Cut or Stab Instrument	4.4	4.7	4.2	3.8	4.4	4.7	7.5	10.3	13.7	18.5
Clubs	0.8	1.2	0.8	0.9	1.2	1.1	1.0	1.5	1.3	1.5
Metal Objects	0.4	0.5	0.7	0.4	0.5	0.4	0.6	0.7	0.5	1.0
Human Waste/Fluids	0.1	0.1	0.1	0.1	0.0	0.1	0.1	0.0	0.3	0.1
Garrotte	0.6	0.4	0.3	0.5	0.6	0.6	0.8	0.9	0.9	1.2
Other	0.7	0.8	1.3	1.1	1.4	1.2	1.2	1.1	1.4	1.8
Unknown	1.2	0.7	0.8	1.0	0.6	1.0	1.0	1.3	1.1	1.2
Sub-Total	69.4	78.0	77.5	73.2	81.2	91.0	108.5	142.0	185.6	196.4
Minimum Security										
No Weapon Used	69.4	72.4	70.3	93.5	69.1	76.5	59.1	81.1	55.9	54.4
Fist or Kick Etc	6.3	6.3	7.1	5.9	5.1	5.0	13.1	20.1	13.1	8.7
Cut or Stab Instrument	0.3	0.6	0.3	0.0	0.0	1.1	0.6	1.8	0.7	0.0
Clubs	0.3	0.0	0.0	0.0	0.0	0.0	0.6	0.0	0.0	0.0
Metal Objects	0.0	0.3	0.0	0.4	0.0	0.0	0.0	0.0	0.0	0.0
Human Waste/Fluids	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.6	0.0	0.0
Garrotte	0.0	0.0	0.0	0.4	0.9	0.6	0.0	0.0	0.0	0.0
Other	0.5	0.8	0.0	0.0	0.0	0.0	0.0	0.6	0.0	0.7
Unknown	0.3	0.3	0.0	0.0	0.9	0.0	0.6	0.6	0.0	0.7
Sub-Total	77.0	80.6	77.8	100.2	75.9	83.1	73.9	104.9	69.7	64.5
Central Office										
No Weapon Used	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Fist or Kick Etc	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total	116.5	122.6	121.1	129.0	134.1	140.3	163.1	178.1	218.0	236.3

Table 7-6. Unusual Incident Type by Inmate Weapon Use: 2007 - 2016

Incident Type & Weapon Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Accident										
No Weapon Used	481	493	512	398	437	510	532	530	476	509
Fist or Kick Etc	0	0	0	0	0	0	2	1	1	0
Other	0	0	0	0	0	0	1	0	0	0
Unknown	0	0	0	0	0	0	0	0	0	2
Sub-total	481	493	512	398	437	510	535	531	477	511
Assault on Inmate										
No Weapon Used	533	583	535	514	585	565	608	651	640	883
Fist or Kick Etc	165	148	154	170	193	172	190	251	280	273
Cut or Stab Instrument	493	497	399	495	467	456	555	641	774	982
Clubs	51	45	41	43	49	39	38	52	50	50
Metal Objects	13	19	15	12	16	13	14	17	16	24
Human Waste/Fluids	5	2	1	3	1	0	2	0	1	3
Garrotte	0	0	0	0	0	0	0	0	2	0
Other	42	40	61	42	43	42	46	40	40	48
Unknown	80	40	43	58	43	38	71	59	31	42
Sub-total	1,382	1,374	1,249	1,337	1,397	1,325	1,524	1,711	1,834	2,305
Assault on Staff										
No Weapon Used	61	57	60	51	41	57	65	78	97	63
Fist or Kick Etc	433	460	469	481	460	461	533	652	749	533
Cut or Stab Instrument	2	5	2	4	5	5	5	10	5	8
Clubs	11	9	8	8	10	6	10	12	15	21
Metal Objects	1	2	0	4	1	0	1	2	2	4
Human Waste/Fluids	30	27	24	34	26	28	32	29	50	81
Garrotte	0	0	0	0	0	0	0	0	1	0
Other	69	61	60	52	59	43	50	57	72	110
Unknown	0	0	0	0	0	0	0	1	0	1
Sub-total	607	621	623	634	602	600	696	841	991	821
Assault on Other										
No Weapon Used	0	1	4	1	0	0	1	2	0	1
Fist or Kick Etc	8	5	1	14	2	3	5	7	6	11
Cut or Stab Instrument	0	0	0	0	0	0	1	0	0	0
Metal Objects	0	0	0	1	0	0	0	0	0	0
Other	1	0	0	1	0	1	0	0	0	0
Sub-total	9	6	5	17	2	4	7	9	6	12
Contraband										
No Weapon Used	2,647	2,722	2,479	2,707	2,725	2,873	3,210	3,878	4,905	4,859
Fist or Kick Etc	1	3	2	2	2	3	11	4	7	8
Cut or Stab Instrument	9	36	28	23	12	13	27	21	21	25
Clubs	0	8	1	2	3	3	4	1	2	5
Metal Objects	0	1	0	1	0	0	0	2	1	0
Garrotte	0	0	1	0	0	0	0	0	0	0
Other	0	3	3	0	0	0	0	0	2	3
Sub-total	2,657	2,773	2,514	2,735	2,742	2,892	3,252	3,906	4,938	4,900
Death										
No Weapon Used	151	165	167	133	139	142	166	135	126	154
Fist or Kick Etc	0	0	0	0	1	0	1	0	1	0
Cut or Stab Instrument	1	0	1	1	0	0	0	0	3	0
Garrotte	17	8	7	16	9	12	12	11	10	16
Other	0	1	0	0	2	2	0	1	1	0
Sub-total	169	174	175	150	151	156	179	147	141	170

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Table 7-6. Unusual Incident Type by Inmate Weapon Use: 2007 - 2016

Incident Type & Weapon Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Disruptive Behavior										
No Weapon Used	329	455	443	386	331	422	483	423	519	584
Fist or Kick Etc	467	267	313	312	493	551	650	602	879	786
Cut or Stab Instrument	2	12	8	4	1	6	7	2	9	1
Clubs	2	3	3	3	0	5	8	0	1	0
Metal Objects	0	1	11	0	0	0	2	1	0	0
Human Waste/Fluids	0	0	0	0	1	1	1	0	1	0
Garrotte	0	1	1	0	1	0	0	1	0	0
Other	2	1	1	6	1	1	3	1	0	2
Unknown	5	4	2	2	1	0	1	1	5	1
Sub-total	807	744	782	713	829	986	1,155	1,031	1,414	1,374
Disruption of Facility										
No Weapon Used	0	22	19	4	9	0	0	1	3	3
Fist or Kick Etc	0	0	15	0	0	0	0	2	0	0
Cut or Stab Instrument	0	1	1	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	1	0	0	0
Sub-total	0	23	35	4	9	0	1	3	3	3
Employee Misconduct										
No Weapon Used	6	11	9	7	8	5	3	8	7	4
Escape										
No Weapon Used	3	0	1	3	6	1	1	0	3	1
Attempted Escape										
No Weapon Used	1	5	2	0	3	1	1	3	2	1
Other	0	0	0	0	0	1	0	0	0	0
Sub-total	1	5	2	0	3	2	1	3	2	1
Fire										
No Weapon Used	24	110	61	61	35	55	128	20	36	67
Other	2	2	0	1	0	0	3	0	1	0
Sub-total	26	112	61	62	35	55	131	20	37	67
Inmate Disturbance										
No Weapon Used	47	0	0	0	0	0	0	0	0	0
Mass Demonstration										
No Weapon Used	0	0	0	12	37	0	0	7	0	18
Property Destroyed										
No Weapon Used	6	4	6	5	12	12	0	3	0	1
Fist or Kick Etc	0	0	0	0	0	1	0	1	0	0
Other	0	0	1	0	1	0	0	0	0	0
Sub-total	6	4	7	5	13	13	0	4	0	1
Property Lost or Stolen										
No Weapon Used	79	200	122	167	49	67	57	32	34	30

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Table 7-6. Unusual Incident Type by Inmate Weapon Use: 2007 - 2016

Incident Type & Weapon Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Self-Inflicted Injury										
No Weapon Used	24	21	16	6	10	20	14	22	18	16
Fist or Kick Etc	5	0	6	7	1	2	4	4	6	7
Cut or Stab Instrument	64	58	47	47	67	88	57	55	45	49
Clubs	0	0	0	0	0	0	0	1	0	0
Garrotte	0	0	0	0	0	0	0	1	2	0
Other	3	4	5	1	3	3	6	6	5	8
Unknown	3	2	1	1	3	8	0	2	2	1
Sub-total	99	85	75	62	84	121	81	91	78	81
Sexual Misconduct										
No Weapon Used	122	98	83	111	98	81	87	89	98	53
Fist or Kick Etc	8	1	1	1	0	1	1	5	1	0
Unknown	0	0	0	0	0	0	1	0	0	0
Sub-total	130	99	84	112	98	82	89	94	99	53
Suicide Attempt										
No Weapon Used	20	35	48	19	39	28	37	54	54	58
Fist or Kick Etc	0	1	2	0	0	0	0	2	0	0
Cut or Stab Instrument	6	4	28	13	11	20	36	51	31	53
Garrotte	41	57	89	92	98	103	89	84	85	95
Other	0	2	8	4	1	6	10	6	3	6
Unknown	0	2	0	0	0	4	1	0	3	0
Sub-total	67	101	175	128	149	161	173	197	176	212
Temp Release Related										
No Weapon Used	157	126	118	127	71	36	28	25	17	22
Unknown	0	0	0	0	1	0	0	0	0	0
Sub-total	157	126	118	127	72	36	28	25	17	22
Staff Use of Weapons										
No Weapon Used	218	190	189	187	216	185	305	222	351	490
Fist or Kick Etc	12	8	8	7	3	2	14	5	6	9
Cut or Stab Instrument	0	1	0	0	1	0	0	1	1	0
Other	1	0	0	0	0	0	0	0	0	0
Sub-total	231	199	197	194	220	187	319	228	358	499
Other Incidents										
No Weapon Used	407	381	441	504	546	486	513	524	704	987
Fist or Kick Etc	0	3	2	1	3	1	4	6	1	0
Cut or Stab Instrument	0	0	0	0	0	0	2	0	0	0
Metal Objects	0	0	0	0	0	0	0	0	0	1
Human Waste/Fluids	4	7	4	7	3	3	16	8	7	5
Garrotte	0	0	1	1	0	0	0	1	2	0
Other	2	2	2	1	3	2	1	4	4	0
Sub-total	413	393	450	514	555	492	536	543	718	993
Grand Total	7,377	7,543	7,196	7,381	7,498	7,695	8,768	9,431	11,333	12,078

Section Eight: Staff Use of Force

This section details the degree of staff force that was used in unusual incidents. If more than one type of staff force was used in an incident, the most serious type was selected. Staff force was used in 1,106 (11%) incidents in 2016.

Table 8-1 displays the most serious type of staff force used in each of the incident types that occurred in 2016. No staff force was used in 8,679 (89%) incidents. Of those 1,106 incidents where force was used, 556 (50%) involved the use of body holds, most of which were used during staff assaults (289 or 51%) or disruptive behavior incidents (105 or 19%). Inmate assault on staff incidents had the highest usage of staff force, with force being used in 63% of staff incidents. Of the most commonly occurring incident types, contraband incidents had the lowest usage of staff force, with force only being used in 2% of contraband incidents.

Table 8-2 displays staff use of force by facility and security level for 2016. Maximum security facilities had a total of 4,716 incidents and staff force was used in 858 incidents (18%). Of these 858 incidents, 314 (36%) involved the use of body holds and 146 (17%) involved the use of chemical agents. Medium security facilities had 4,387 incidents and staff force was used in 240 (5%). Of these 240 incidents, 180 (75%) involved the use of body holds and 24 (10%) involved the use of mechanical restraints. There were 104 minimum security incidents and staff force was used in 8 incidents (8%). Of those eight incidents, five involved the use of body holds, two were strikes, and one involved the use of mechanical restraints.

Table 8-3 displays staff use of force for the ten-year period from 2007 to 2016. In 2007, there were 6,006 unusual incidents, with staff force used in 756 (13%). In 2016, the number of incidents where staff force was used increased to 1,106, but the percentage of incidents where staff force was used decreased to 11% of the total. Instances of staff use of force decline from 1,261 in 2015 to 1,106 in 2016. Additionally, body hold use decreased 15% from 652 in 2015 to 556 in 2016, mechanical restraint use decreased 37% from 128 to 81, baton use decreased 40% from 142 to 85, and strike use decreased 54% from 192 to 89. Chemical agent use increased 247% from 55 in 2015 to 191 in 2016.

The types of staff force used in unusual incidents in the ten-year period from 2007 to 2016 are found in Table 8-4. Staff assaults, disruptive behavior, and inmate assaults consistently have the highest levels of staff force used. In Inmate assault incidents, the number of body holds increased 23% from 57 in 2015 to 70 in 2016, while the instances of baton use decreased 53% from 17 to 8, and the instances of chemical agent use increased from 0 to 16. In staff assault incidents, the number of body holds decreased 24% from 378 in 2015 to 289 in 2016, mechanical restraints decreased 37% from 86 to 54, baton usage decreased 35% from 55 to 36, and chemical agent use increased from 0 to 13. In disruptive behavior incidents, the number of body holds increased 18% from 89 in 2015 to 105 in 2016, while shield use increased 17% from 53 to 62, baton use decreased 35% from 49 to 32, and chemical agent use increased 198% from 50 to 149.

**Table 8-1. Unusual Incident Type by Staff Use of Force:
January - December 2016**

Incident Type	No Force	Body Hold	Mechanical Restraint	Shield	Baton	Chemical Agents	Firearm	Strike	Other	Total
Accident	534	0	0	0	0	0	0	0	0	534
Assault On Inmate	1,032	70	6	0	8	16	0	3	0	1,135
Assault On Staff	278	289	54	6	36	13	0	81	2	759
Assault On Other	11	1	0	0	0	0	0	0	0	12
Contraband	4,672	62	8	0	0	0	0	2	0	4,744
Death	152	0	0	0	0	0	0	0	0	152
Disruptive Behavior	267	105	7	62	32	149	1	3	6	632
Disruption of Facility	11	0	0	0	0	0	0	0	0	11
Employee Misconduct	106	0	0	0	0	0	0	0	0	106
Escape	1	0	0	0	0	0	0	0	0	1
Attempted Escape	1	0	0	0	0	0	0	0	0	1
Fire	24	0	0	0	0	0	0	0	0	24
Mass Demonstration	2	0	0	0	0	0	0	0	0	2
Property Destruction	3	0	0	0	0	0	0	0	0	3
Property Lost Stolen	79	0	0	0	0	0	0	0	0	79
Self-Injury	75	2	0	0	0	0	0	0	0	77
Sexual Misconduct	39	0	0	0	0	0	0	0	0	39
Suicide Attempt	175	6	2	4	0	0	0	0	0	187
Temporary Release	23	0	0	0	0	0	0	0	0	23
Employee Weapon Use	269	0	0	0	9	13	1	0	1	293
Other Incidents	925	21	4	3	0	0	0	0	18	971
Total	8,679	556	81	75	85	191	2	89	27	9,785

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

**Table 8-2. Staff Use of Force in Unusual Incidents by Facility and Security Level:
January - December 2016**

Correctional Facility	No Force	Body Hold	Mechanical Restraint	Shield	Baton	Chemical Agents	Firearm	Strike	Other	Total
Maximum Security										
Attica	393	11	2	4	7	25	0	0	3	445
Auburn	471	29	4	4	3	21	0	6	1	539
Bedford Hills	67	15	7	2	0	0	0	4	0	95
Clinton	470	23	1	2	16	37	2	16	1	568
Coxsackie	122	25	1	3	1	0	0	4	2	158
Downstate	190	23	5	3	5	0	0	3	0	229
Eastern	83	2	0	1	1	0	0	0	0	87
Elmira	314	26	6	3	3	0	0	7	1	360
Five Points	258	17	3	5	1	0	0	2	0	286
Great Meadow	370	46	2	4	12	32	0	9	2	477
Green Haven	290	26	5	2	9	2	0	5	1	340
Shawangunk	199	1	0	0	0	0	0	1	0	201
Sing Sing	281	20	2	4	6	0	0	3	0	316
Southport	78	10	2	3	0	6	0	1	2	102
Sullivan	116	20	3	6	1	6	0	0	2	154
Upstate	149	11	1	4	4	14	0	0	1	184
Wende	154	9	2	0	5	3	0	2	0	175
Sub-total	4,005	314	46	50	74	146	2	63	16	4,716
Special Housing SHU200										
Cayuga SHU200	1	0	0	0	0	0	0	0	0	1
Collins SHU200	39	6	2	1	0	0	0	1	0	49
Fishkill SHU200	33	12	1	1	0	0	0	1	0	48
Gouverneur SHU200	24	1	0	0	0	1	0	0	1	27
Greene SHU200	21	4	0	0	0	0	0	0	0	25
Lakeview SHU200	12	4	0	0	0	0	0	0	0	16
Mid-State SHU200	12	0	0	0	0	2	0	0	0	14
Orleans SHU200	21	2	0	1	2	0	0	0	1	27
Sub-total	163	29	3	3	2	3	0	2	2	207
Residential Mental Health Treatment Unit										
Attica RMHU	6	1	0	1	0	2	0	0	0	10
Five Points RMHU	63	12	3	6	3	10	0	2	2	101
Marcy RMHU	68	6	2	5	0	14	0	0	2	97
Bedford Hills TBU	7	3	2	2	0	0	0	0	1	15
Great Meadow BHU	27	4	0	5	0	9	0	0	1	46
Sub-total	171	26	7	19	3	35	0	2	6	269
Regional Medical Unit										
Bedford Hills RMU	1	0	0	0	0	0	0	0	0	1
Coxsackie RMU	26	0	0	0	0	0	0	2	0	28
Walsh RMU	37	2	0	0	0	0	0	0	0	39
Wende RMU	21	0	0	0	0	0	0	1	0	22
Sub-total	85	2	0	0	0	0	0	3	0	90
Total Maximum Security	4,424	371	56	72	79	184	2	70	24	5,282

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**Table 8-2. Staff Use of Force in Unusual Incidents by Facility and Security Level:
January - December 2016**

Correctional Facility	No Force	Body Hold	Mechanical Restraint	Shield	Baton	Chemical Agents	Firearm	Strike	Other	Total
Medium Security										
Adirondack	15	0	0	0	0	0	0	0	0	15
Albion	94	10	1	0	0	0	0	0	1	106
Altona	67	2	0	0	0	0	0	0	0	69
Bare Hill	176	10	1	0	0	0	0	1	0	188
Cape Vincent	86	5	0	1	0	2	0	0	1	95
Cayuga	101	1	0	1	0	1	0	1	0	105
Collins	154	3	2	0	0	0	0	0	0	159
Fishkill	283	25	1	1	0	0	0	2	1	313
Franklin	323	16	0	0	1	0	0	0	0	340
Gouverneur	102	6	0	0	0	0	0	0	0	108
Gowanda	187	5	4	0	1	0	0	3	0	200
Greene	273	3	1	0	0	0	0	3	0	280
Groveland	134	9	1	0	0	0	0	0	0	144
Hale Creek	12	0	1	0	0	0	0	0	0	13
Hudson	16	4	1	0	0	0	0	0	0	21
Lakeview Female Transit	2	0	0	0	0	0	0	0	0	2
Livingston	93	3	1	0	0	0	0	0	0	97
Marcy	259	13	3	0	0	2	0	1	0	278
Midstate	218	12	5	0	0	0	0	3	0	238
Mohawk	196	6	0	0	0	0	0	1	0	203
Ogdensburg	53	3	0	0	0	0	0	0	0	56
Orleans	142	9	0	0	0	0	0	0	0	151
Otisville	36	0	0	0	0	0	0	0	0	36
Riverview	133	4	1	0	0	0	0	0	0	138
Taconic	16	0	0	0	0	0	0	0	0	16
Ulster	138	4	0	0	0	0	0	0	0	142
Wallkill	37	1	0	0	0	0	0	0	0	38
Washington	226	12	0	0	3	2	0	2	0	245
Watertown	49	0	0	0	0	0	0	0	0	49
Woodbourne	78	2	1	0	0	0	0	0	0	81
Wyoming	438	12	0	0	1	0	0	0	0	451
Sub-total	4,137	180	24	3	6	7	0	17	3	4,377
Regional Medical Unit										
Fishkill RMU	10	0	0	0	0	0	0	0	0	10
Total Medium Security	4,147	180	24	3	6	7	0	17	3	4,387

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**Table 8-2. Staff Use of Force in Unusual Incidents by Facility and Security Level:
January - December 2016**

Correctional Facility	No Force	Body Hold	Mechanical Restraint	Shield	Baton	Chemical Agents	Firearm	Strike	Other	Total
Minimum Shock										
Moriah Shock	1	0	0	0	0	0	0	0	0	1
Lakeview Shock	13	0	0	0	0	0	0	0	0	13
Sub-Total	14	0	0	0	0	0	0	0	0	14
Minimum Security Other										
Edgecombe	9	1	0	0	0	0	0	0	0	10
Hudson Industrial Training	0	0	0	0	0	0	0	0	0	0
Lakeview Male Transit	3	1	0	0	0	0	0	0	0	4
Lincoln	25	0	0	0	0	0	0	0	0	25
Queensboro	17	3	1	0	0	0	0	2	0	23
Rochester	12	0	0	0	0	0	0	0	0	12
Sub-Total	66	5	1	0	0	0	0	2	0	74
Minimum Work Release										
Albion Work Release	1	0	0	0	0	0	0	0	0	1
Edgecombe Work Release	6	0	0	0	0	0	0	0	0	6
Fishkill Work Release	3	0	0	0	0	0	0	0	0	3
Hale Creek Work Release	0	0	0	0	0	0	0	0	0	0
Hudson Work Release	6	0	0	0	0	0	0	0	0	6
Sub-Total	16	0	0	0	0	0	0	0	0	16
Total Minimum Security	96	5	1	0	0	0	0	2	0	104
Other										
Central Office	12	0	0	0	0	0	0	0	0	12
Grand Total	8,679	556	81	75	85	191	2	89	27	9,785

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Table 8.3 Staff Use of Force in Unusual Incidents by Security Level: 2007 - 2016

Type of Force	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
No Force Used	3,125	3,020	3,027	3,288	3,292	3,193	3,507	3,554	3,939	4,424
Body Hold	333	357	365	396	375	345	367	378	415	371
Mechanic Restraint	103	162	130	106	75	77	70	69	88	56
Shield	33	33	27	33	35	48	54	56	64	72
Baton	71	93	92	86	107	84	122	117	128	79
Chemical Agents	71	64	54	45	51	54	64	54	54	184
Firearm	1	2	0	0	1	0	1	2	6	2
Strike	0	0	0	1	49	87	116	152	160	70
Other	6	14	12	13	10	7	17	19	15	24
Sub-total	3,743	3,745	3,707	3,968	3,995	3,895	4,318	4,401	4,869	5,282
Medium Security										
No Force Used	1,824	1,871	1,781	1,635	1,679	1,906	2,261	2,899	3,821	4,147
Body Hold	79	98	77	96	94	110	128	167	225	180
Mechanic Restraint	41	36	21	30	18	18	24	37	39	24
Shield	0	2	3	3	2	4	3	4	2	3
Baton	2	4	1	2	3	1	3	12	14	6
Chemical Agents	0	2	4	7	0	2	1	1	1	7
Strike	0	0	0	0	4	9	21	20	30	17
Other	2	4	3	2	1	0	7	3	5	3
Sub-total	1,948	2,017	1,890	1,775	1,801	2,050	2,448	3,143	4,137	4,387
Minimum Security										
No Force Used	280	279	229	256	170	128	121	156	109	96
Body Hold	11	9	11	8	8	1	10	16	12	5
Mechanic Restraint	3	4	5	2	2	0	2	1	1	1
Strike	0	0	0	0	0	0	1	2	2	2
Sub-total	294	292	245	266	180	129	134	175	124	104
Other										
No Force Used	21	9	12	8	4	18	5	11	0	12
Strike	0	0	0	0	0	0	0	1	0	0
Sub-total	21	9	12	8	4	18	5	12	0	12
All Security Levels										
No Force Used	5,250	5,179	5,049	5,187	5,145	5,245	5,894	6,620	7,869	8,679
Body Hold	423	464	453	500	477	456	505	561	652	556
Mechanic Restraint	147	202	156	138	95	95	96	107	128	81
Shield	33	35	30	36	37	52	57	60	66	75
Baton	73	97	93	88	110	85	125	129	142	85
Chemical Agents	71	66	58	52	51	56	65	55	55	191
Firearm	1	2	0	0	1	0	1	2	6	2
Strike	0	0	0	1	53	96	138	175	192	89
Other	8	18	15	15	11	7	24	22	20	27
Grand Total	6,006	6,063	5,854	6,017	5,980	6,092	6,905	7,731	9,130	9,785

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Table 8-4. Unusual Incident Type by Staff Use of Force: 2007 - 2016

Incident Type & Staff Force	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Accident										
No Force Used	545	499	535	423	463	534	572	573	520	534
Body Hold	1	0	1	0	0	0	0	0	1	0
Sub-Total	546	499	536	423	463	534	572	573	521	534
Assault On Inmate										
No Force Used	645	594	539	605	585	590	691	769	828	1,032
Body Hold	38	43	48	50	59	42	50	62	57	70
Mechanic Restraint	6	9	4	9	9	9	6	6	7	6
Shield	0	1	0	0	0	0	0	0	1	0
Baton	11	10	11	11	12	10	16	17	17	8
Chemical Agents	0	0	0	0	0	0	1	1	0	16
Firearm	0	0	0	0	0	0	0	1	2	0
Strike	0	0	0	0	0	1	2	3	3	3
Other	0	0	1	0	1	0	1	0	0	0
Sub-Total	700	657	603	675	666	652	767	859	915	1,135
Assault On Staff										
No Force Used	165	146	132	127	127	101	127	137	190	278
Body Hold	251	288	275	311	278	244	279	304	378	289
Mechanic Restraint	104	104	106	92	64	62	67	80	86	54
Shield	0	3	1	3	0	7	7	12	8	6
Baton	35	33	43	34	46	29	43	58	55	36
Chemical Agents	0	0	0	0	0	0	0	0	0	13
Strike	0	0	0	1	44	80	122	155	177	81
Other	2	6	10	9	4	1	0	1	1	2
Sub-Total	557	580	567	577	563	524	645	747	895	759
Assault On Other										
No Force Used	7	5	2	10	2	4	5	5	6	11
Body Hold	2	0	0	6	0	0	1	3	1	1
Mechanic Restraint	0	1	0	0	0	0	0	0	0	0
Strike	0	0	0	0	0	0	1	0	0	0
Sub-Total	9	6	2	16	2	4	7	8	7	12
Contraband										
No Force Used	2,372	2,461	2,302	2,441	2,386	2,470	2,885	3,523	4,632	4,672
Body Hold	39	58	40	40	52	69	63	69	93	62
Mechanic Restraint	17	19	8	9	8	3	6	6	17	8
Shield	1	1	0	0	0	0	1	0	0	0
Baton	2	1	1	1	3	0	0	2	1	0
Strike	0	0	0	0	3	4	4	11	5	2
Other	0	0	0	1	0	0	0	0	0	0
Sub-Total	2,431	2,540	2,351	2,492	2,452	2,546	2,959	3,611	4,748	4,744
Death										
No Force Used	153	142	150	131	123	123	145	131	124	152
Disruptive Behavior										
No Force Used	159	155	165	135	162	240	265	273	306	267
Body Hold	74	56	68	69	56	70	84	86	89	105
Mechanic Restraint	15	58	28	18	8	12	11	13	9	7
Shield	31	30	28	32	35	44	42	38	53	62
Baton	9	26	31	33	45	39	51	44	49	32
Chemical Agents	62	51	55	52	51	53	58	45	50	149
Firearm	0	1	0	0	1	0	1	0	3	1
Strike	0	0	0	0	5	9	6	4	4	3
Other	2	2	1	1	2	1	3	3	4	6
Sub-Total	352	379	376	340	365	468	521	506	567	632

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Table 8-4. Unusual Incident Type by Staff Use of Force: 2007 - 2016

Incident Type & Staff Force	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Disruption of Facility										
No Force Used	17	28	26	17	13	7	4	10	7	11
Employee Misconduct										
No Force Used	148	128	132	162	130	140	119	117	115	106
Body Hold	0	0	0	1	0	0	0	0	0	0
Strike	0	0	0	0	0	0	1	0	1	0
Other	0	1	0	0	0	0	0	0	0	0
Sub-Total	148	129	132	163	130	140	120	117	116	106
Escape										
No Force Used	2	0	1	2	2	0	1	0	1	1
Body Hold	0	0	0	0	1	1	0	0	1	0
Mechanic Restraint	0	0	0	0	1	0	0	0	0	0
Sub-Total	2	0	1	2	4	1	1	0	2	1
Attempted Escape										
No Force Used	1	3	2	0	2	1	0	2	1	1
Body Hold	0	0	0	0	0	0	1	0	0	0
Mechanic Restraint	0	1	0	0	0	0	0	0	0	0
Strike	0	0	0	0	0	1	0	0	0	0
Sub-Total	1	4	2	0	2	2	1	2	1	1
Fire										
No Force Used	28	40	34	31	30	21	32	15	30	24
Body Hold	0	1	0	0	0	0	1	1	0	0
Mechanic Restraint	0	0	1	1	0	0	0	0	0	0
Shield	0	0	0	0	0	0	1	0	0	0
Baton	0	0	0	0	0	1	0	0	0	0
Sub-Total	28	41	35	32	30	22	34	16	30	24
Inmate Disturbance										
No Force Used	1	0	0	0	0	0	0	0	0	0
Chemical Agents	1	0	0	0	0	0	0	0	0	0
Sub-Total	2	0	0	0	0	0	0	0	0	0
Mass Demonstration										
No Force Used	0	0	0	1	1	0	0	1	0	2
Property Destruction										
No Force Used	6	8	10	10	14	14	3	2	4	3
Mechanic Restraint	0	0	0	1	0	0	0	0	0	0
Shield	0	0	0	0	0	0	0	1	0	0
Sub-Total	6	8	10	11	14	14	3	3	4	3
Property Lost Stolen										
No Force Used	116	140	101	112	83	76	75	57	69	79

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Table 8-4. Unusual Incident Type by Staff Use of Force: 2007 - 2016

Incident Type & Staff Force	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Self-Injury										
No Force Used	85	74	69	55	78	112	74	88	72	75
Body Hold	1	2	0	2	1	1	1	2	0	2
Mechanic Restraint	1	1	0	0	1	0	0	0	1	0
Shield	1	0	1	0	0	0	1	1	0	0
Baton	0	1	0	0	0	1	0	0	0	0
Sub-Total	88	78	70	57	80	114	76	91	73	77
Sexual Misconduct										
No Force Used	83	57	56	71	63	53	51	57	69	39
Body Hold	0	1	1	0	0	0	0	1	0	0
Mechanic Restraint	0	1	0	0	0	0	0	0	0	0
Sub-Total	83	59	57	71	63	53	51	58	69	39
Suicide Attempt										
No Force Used	53	88	140	111	125	137	148	171	147	175
Body Hold	4	2	8	3	6	3	5	6	3	6
Mechanic Restraint	1	2	2	3	0	4	2	0	3	2
Shield	0	0	0	0	1	0	5	4	4	4
Baton	0	0	0	0	0	0	0	1	0	0
Strike	0	0	0	0	0	1	0	0	0	0
Sub-Total	58	92	150	117	132	145	160	182	157	187
Temporary Release										
No Force Used	157	129	122	128	73	36	29	28	17	23
Body Hold	0	0	1	0	0	0	0	0	0	0
Mechanic Restraint	0	0	0	1	0	0	0	0	0	0
Sub-Total	157	129	123	129	73	36	29	28	17	23
Employee Weapon Use										
No Force Used	124	127	153	146	166	135	182	172	180	269
Body Hold	0	0	0	0	0	0	0	2	0	0
Mechanic Restraint	0	1	1	0	0	0	0	0	0	0
Baton	16	26	7	9	4	5	15	7	19	9
Chemical Agents	8	14	3	0	0	3	6	9	5	13
Firearm	0	1	0	0	0	0	0	1	1	1
Other	0	0	1	0	0	0	0	0	0	1
Sub-Total	148	169	165	155	170	143	203	191	205	293
Employee Job Action										
No Force Used	0	2	3	0	2	1	0	0	0	0
Other Incidents										
No Force Used	383	353	375	469	515	450	486	489	551	925
Body Hold	13	13	11	18	24	26	20	25	29	21
Mechanic Restraint	3	5	6	4	4	5	4	2	5	4
Shield	0	0	0	1	1	1	0	4	0	3
Baton	0	0	0	0	0	0	0	0	1	0
Chemical Agents	0	1	0	0	0	0	0	0	0	0
Firearm	1	0	0	0	0	0	0	0	0	0
Strike	0	0	0	0	1	0	2	2	2	0
Other	4	9	2	4	4	5	20	18	15	18
Sub-Total	404	381	394	496	549	487	532	540	603	971

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Section Nine: Staff Injury

This section details the injuries to DOCCS staff members, including both the number of staff members injured and the extent of their injuries. The previous tables in this report have been incident-based; this section and the one that follows are individual-based. This means that instead of the total column summing the total number of incidents, it now sums the total number of staff members who were involved in an incident or incident category.

Table 9-1 displays the number of DOCCS staff that were injured and the type of unusual incident in which the injury occurred. The majority of staff involved in incidents (96%) were not injured. Assaults on staff had the highest number of staff injuries (997). Of those 997 injuries, 92% (917) resulted in only minor injuries. Accidents had the highest number of serious and severe injuries (35) of any incident type.

Table 9-2 displays the number of staff injured, the degree of injury received, and the facility where the injury occurred. Great Meadow had the highest number of staff injuries (116), while Fishkill had the highest number of injuries that were serious or severe (6). The medium security prison with the most staff injuries was Fishkill (52), and the minimum security prison with the most staff injuries was Queensboro (13).

Table 9-3 displays the degree and type of staff injuries for the ten-year period from 2007 to 2016. Minor injuries were typically bruises, abrasions, pain, lacerations or sprains. Moderate injuries were usually bone injuries, lacerations, or bruises. Injuries that were serious or severe were usually bone injuries. The injury category of severe was added as an injury degree in October 2014; the injury type pain was added at the same time. Among the 1,336 minor injuries reported in 2016, 46% (610) were for pain.

Table 9-4 displays the degree of staff injury by security level from 2007 to 2016. In 2007, there were a total of 689 staff injuries, while in 2016 there were 1,520. There were 19,386 staff listed in incidents in 2007, with 4% of these staff sustaining injuries. In 2016, there were 42,735 staff listed in incidents, with 4% of them sustaining injuries. The injury type categories of abrasion and pain were added in 2007 and 2014, respectively.

The degree of staff injury that occurred in each type of unusual incident during the ten-year period from 2007 to 2016 is presented in Table 9-5. In 2007, among staff assault incidents, 79% (1,739) of staff were not injured, and of the 453 staff who were injured, 428 (94%) received minor injuries. In 2016, among staff assault incidents, 76% (3,125) of staff were not injured, and of the 997 staff who were injured, 917 (92%) received minor injuries.

Table 9-1. Unusual Incident Type by Degree of Staff Injury: January - December 2016

Incident Type	No Injury		Minor		Moderate		Serious		Severe		Total
	#	%	#	%	#	%	#	%	#	%	
Accident	1,893	96.4%	14	0.7%	22	1.1%	32	1.6%	3	0.2%	1,964
Assault on Inmate	4,322	98.1%	81	1.8%	1	0.0%	1	0.0%	0	0.0%	4,405
Assault on Staff	3,125	75.8%	917	22.2%	66	1.6%	14	0.3%	0	0.0%	4,122
Assault on Other	66	90.4%	6	8.2%	0	0.0%	1	1.4%	0	0.0%	73
Contraband	18,315	99.7%	55	0.3%	1	0.0%	0	0.0%	0	0.0%	18,371
Death	1,695	99.9%	0	0.0%	0	0.0%	0	0.0%	1	0.1%	1,696
Disruptive Behavior	3,699	94.9%	182	4.7%	11	0.3%	7	0.2%	0	0.0%	3,899
Disruption of Facility	80	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	80
Employee Misconduct	591	99.8%	0	0.0%	0	0.0%	1	0.2%	0	0.0%	592
Escape	12	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	12
Attempted Escape	8	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	8
Fire	150	90.9%	15	9.1%	0	0.0%	0	0.0%	0	0.0%	165
Inmate Mass Demonstration	18	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	18
Property Destroyed	12	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	12
Property Lost or Stolen	294	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	294
Self-Inflicted Injury	324	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	324
Sexual Misconduct	180	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	180
Suicide Attempt	971	97.2%	26	2.6%	2	0.2%	0	0.0%	0	0.0%	999
Temp Release Related	46	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	46
Staff Use of Weapons	885	98.9%	10	1.1%	0	0.0%	0	0.0%	0	0.0%	895
Other Incidents	4,529	98.9%	30	0.7%	14	0.3%	6	0.1%	1	0.0%	4,580
Total	41,215	96.4%	1,336	3.1%	117	0.3%	62	0.1%	5	0.0%	42,735

**Table 9-2. Degree of Staff Injury by Facility and Security Level:
January - December 2016**

Correctional Facility	No Injury	Minor	Moderate	Serious	Severe	Total
Maximum Security						
Attica	1,796	53	5	4	0	1,858
Auburn	2,107	42	2	1	0	2,152
Bedford Hills	555	53	1	2	1	612
Clinton	2,116	66	6	4	0	2,192
Coxsackie	664	66	5	0	1	736
Downstate	937	57	4	1	0	999
Eastern	439	3	0	0	1	443
Elmira	1,460	71	8	4	0	1,543
Five Points	1,261	31	2	2	0	1,296
Great Meadow	1,754	111	4	1	0	1,870
Green Haven	1,432	89	9	2	0	1,532
Shawangunk	869	4	1	0	0	874
Sing Sing	1,350	60	9	1	0	1,420
Southport	517	37	6	4	0	564
Sullivan	725	32	2	2	0	761
Upstate	806	12	1	2	0	821
Wende	796	26	3	1	0	826
Sub-total	19,584	813	68	31	3	20,499
Special Housing SHU200						
Cayuga SHU200	2	0	0	0	0	2
Collins SHU200	217	11	1	0	0	229
Fishkill SHU200	214	35	0	1	0	250
Gouverneur SHU200	144	1	0	0	0	145
Greene SHU200	90	8	0	0	0	98
Lakeview SHU200	78	4	1	0	0	83
Mid-State SHU200	75	2	0	0	0	77
Orleans SHU200	146	6	0	0	0	152
Sub-total	966	67	2	1	0	1,036
Residential Mental Health Treatment Unit						
Attica RMHU	54	1	0	0	0	55
Five Points RMHU	573	49	4	0	0	626
Marcy RMHU	650	16	1	0	0	667
Bedford Hills TBU	75	14	1	0	0	90
Great Meadow BHU	254	14	0	0	0	268
Sub-total	1,606	94	6	0	0	1,706
Regional Medical Unit						
Bedford Hills RMU	7	0	0	0	0	7
Coxsackie RMU	150	2	0	0	0	152
Walsh RMU	230	4	0	0	0	234
Wende RMU	158	4	0	0	0	162
Sub-total	545	10	0	0	0	555
Total Maximum Security	22,701	984	76	32	3	23,796

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**Table 9-2. Degree of Staff Injury by Facility and Security Level:
January - December 2016**

Correctional Facility	No Injury	Minor	Moderate	Serious	Severe	Total
Medium Security						
Adirondack	56	0	0	0	0	56
Albion	478	22	4	2	0	506
Altona	237	1	0	0	0	238
Bare Hill	763	14	0	1	0	778
Cape Vincent	402	7	0	1	0	410
Cayuga	467	3	3	0	0	473
Collins	681	6	5	1	0	693
Fishkill	1,418	41	5	5	1	1,470
Franklin	1,268	26	3	2	0	1,299
Gouverneur	515	6	2	0	0	523
Gowanda	898	18	1	4	0	921
Greene	1,107	10	0	0	0	1,117
Groveland	620	16	1	1	0	638
Hale Creek	50	1	0	0	0	51
Hudson	73	9	0	0	0	82
Lakeview Female Transit	9	0	0	0	0	9
Livingston	422	8	0	2	0	432
Marcy	1,107	33	1	2	0	1,143
Midstate	971	38	2	1	0	1,012
Mohawk	812	10	0	2	0	824
Ogdensburg	258	5	4	2	0	269
Orleans	616	7	0	1	0	624
Otisville	191	0	0	0	0	191
Riverview	560	12	1	0	0	573
Taconic	73	0	0	0	0	73
Ulster	606	5	3	0	0	614
Walkkill	172	1	0	0	0	173
Washington	945	14	3	2	0	964
Watertown	223	1	0	0	0	224
Woodbourne	388	8	1	0	0	397
Wyoming	1,693	12	1	0	0	1,706
Sub-total	18,079	334	40	29	1	18,483
Regional Medical Unit						
Fishkill RMU	54	0	0	0	0	54
Total Medium Security	18,133	334	40	29	1	18,537

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**Table 9-2. Degree of Staff Injury by Facility and Security Level:
January - December 2016**

Correctional Facility	No Injury	Minor	Moderate	Serious	Severe	Total
Minimum Shock						
Moriah Shock	8	0	0	0	0	8
Lakeview Shock	49	1	0	1	0	51
Sub-Total	57	1	0	1	0	59
Minimum Security Other						
Edgecombe	30	2	0	0	0	32
Hudson Industrial Training	0	0	0	0	0	0
Lakeview Male Transit	11	2	0	0	0	13
Lincoln	48	0	0	0	0	48
Queensboro	103	12	0	0	1	116
Rochester	27	1	0	0	0	28
Sub-Total	219	17	0	0	1	237
Minimum Work Release						
Albion Work Release	2	0	0	0	0	2
Edgecombe Work Release	16	0	0	0	0	16
Fishkill Work Release	13	0	0	0	0	13
Hale Creek Work Release	0	0	0	0	0	0
Hudson Work Release	15	0	0	0	0	15
Sub-Total	46	0	0	0	0	46
Total Minimum Security	322	18	0	1	1	342
Other						
Central Office	59	0	1	0	0	60
Grand Total	41,215	1,336	117	62	5	42,735

Table 9-3. Degree and Type of Staff Injury: 2007 - 2016

Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
No Injury										
Sub-total	18,697	20,243	20,499	21,511	21,820	23,097	25,201	28,615	36,344	41,215
Minor										
Bone	1	8	0	2	0	2	3	8	1	1
Bruise	292	318	338	340	341	336	416	494	443	304
Burn	1	1	1	3	3	1	3	2	3	1
Concussion	1	0	0	0	0	0	1	0	0	0
Laceration	64	81	79	90	86	74	73	82	108	88
Smoke Inhaled	1	5	24	12	34	20	77	23	27	13
Sprain	34	39	30	26	38	30	40	51	36	29
Unconscious	0	0	1	0	0	0	0	0	0	1
Puncture	12	17	13	10	11	13	9	6	8	13
Abrasion	147	230	214	239	218	241	301	320	347	262
Pain	0	0	0	0	0	0	0	130	578	610
Other	16	42	43	36	21	20	23	29	17	14
Sub-total	569	741	743	758	752	737	946	1,145	1,568	1,336
Moderate										
Bone	66	71	78	67	72	83	89	70	30	8
Bruise	7	1	3	6	10	2	8	19	35	21
Burn	4	2	4	2	1	4	0	4	4	2
Concussion	1	3	5	1	6	5	5	4	9	7
Laceration	12	13	14	17	19	16	12	22	33	14
Smoke Inhaled	0	4	0	2	4	1	0	10	1	0
Sprain	9	4	5	2	4	7	4	5	8	7
Unconscious	0	0	1	2	1	0	0	1	2	2
Puncture	3	5	5	3	4	0	8	8	7	7
Abrasion	1	1	0	0	2	2	3	9	7	3
Pain	0	0	0	0	0	0	0	5	24	16
Other	8	8	20	15	4	7	11	16	23	30
Sub-total	111	112	135	117	127	127	140	173	183	117
Serious										
Bone	2	2	1	0	0	2	0	23	44	51
Bruise	0	0	0	0	0	0	0	0	1	0
Concussion	0	0	0	0	0	0	0	0	1	2
Laceration	2	1	0	0	0	0	0	0	4	0
Sprain	0	0	0	0	0	0	0	1	0	0
Unconscious	0	1	0	0	1	0	1	1	0	1
Puncture	0	0	1	0	0	0	0	4	3	2
Gun Shot	0	0	0	0	0	0	0	0	0	1
Pain	0	0	0	0	0	0	0	1	0	1
Other	5	1	1	0	1	3	0	1	9	4
Sub-total	9	5	3	0	2	5	1	31	62	62
Severe										
Bone	0	0	0	0	0	0	0	1	1	1
Concussion	0	0	0	0	0	0	0	0	0	1
Laceration	0	0	0	0	0	0	0	0	0	1
Unconscious	0	0	0	0	0	0	0	0	1	0
Gun Shot	0	0	0	0	0	0	0	0	2	2
Sub-total	0	0	0	0	0	0	0	1	4	5
Grand Total	19,386	21,101	21,380	22,386	22,701	23,966	26,288	29,965	38,161	42,735

Table 9-4. Staff Injury by Security Level: 2007 - 2016

Type of Weapon	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
No Injury	12,211	13,169	13,370	14,558	15,036	15,249	16,111	16,803	19,580	22,701
Minor	432	571	596	598	608	583	779	813	1,100	984
Moderate	57	80	82	70	80	82	87	107	122	76
Serious	5	3	2	0	1	2	1	19	29	32
Severe	0	0	0	0	0	0	0	1	2	3
Sub-Total	12,705	13,823	14,050	15,226	15,725	15,916	16,978	17,743	20,833	23,796
Medium Security										
No Injury	5,958	6,454	6,492	6,275	6,255	7,345	8,638	11,244	16,363	18,133
Minor	120	155	136	150	142	152	162	307	445	334
Moderate	39	29	48	38	43	38	49	56	61	40
Serious	4	1	1	0	1	3	0	12	31	29
Severe	0	0	0	0	0	0	0	0	1	1
Sub-Total	6,121	6,639	6,677	6,463	6,441	7,538	8,849	11,619	16,901	18,537
Minimum Security										
No Injury	479	599	581	654	521	418	431	534	401	322
Minor	12	15	11	10	2	2	5	24	23	18
Moderate	10	2	3	6	4	5	3	8	0	0
Serious	0	1	0	0	0	0	0	0	2	1
Severe	0	0	0	0	0	0	0	0	1	1
Sub-Total	501	617	595	670	527	425	439	566	427	342
Other										
No Injury	49	21	56	24	8	85	21	34	0	59
Minor	5	0	0	0	0	0	0	1	0	0
Moderate	5	1	2	3	0	2	1	2	0	1
Sub-Total	59	22	58	27	8	87	22	37	0	60
All Security Levels										
No Injury	18,697	20,243	20,499	21,511	21,820	23,097	25,201	28,615	36,344	41,215
Minor	569	741	743	758	752	737	946	1,145	1,568	1,336
Moderate	111	112	135	117	127	127	140	173	183	117
Serious	9	5	3	0	2	5	1	31	62	62
Severe	0	0	0	0	0	0	0	1	4	5
Grand Total	19,386	21,101	21,380	22,386	22,701	23,966	26,288	29,965	38,161	42,735

Table 9-5. Unusual Incident Type by Degree of Staff Injury: 2007 - 2016

Incident & Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Accident										
No Injury	1,544	1,480	1,661	1,320	1,503	1,879	1,874	1,933	1,763	1,893
Minor	12	19	8	8	2	12	6	13	5	14
Moderate	70	62	76	64	66	69	70	59	45	22
Serious	6	2	1	0	1	1	0	17	24	32
Severe	0	0	0	0	0	0	0	1	0	3
Sub-total	1,632	1,563	1,746	1,392	1,572	1,961	1,950	2,023	1,837	1,964
Assault on Inmate										
No Injury	1,926	1,864	1,745	2,017	2,061	2,124	2,358	2,754	3,426	4,322
Minor	19	33	19	19	23	28	31	54	59	81
Moderate	1	2	2	0	1	1	2	0	4	1
Serious	0	0	0	0	0	0	0	1	0	1
Sub-total	1,946	1,899	1,766	2,036	2,085	2,153	2,391	2,809	3,489	4,405
Assault on Staff										
No Injury	1,739	1,763	1,878	1,994	1,968	2,028	2,150	2,547	3,346	3,125
Minor	428	535	551	581	569	531	672	864	1,174	917
Moderate	25	26	25	32	37	42	46	68	105	66
Serious	0	0	0	0	0	2	1	10	25	14
Severe	0	0	0	0	0	0	0	0	1	0
Sub-total	2,192	2,324	2,454	2,607	2,574	2,603	2,869	3,489	4,651	4,122
Assault on Other										
No Injury	34	28	10	69	8	18	31	33	27	66
Minor	1	2	1	6	0	1	1	3	3	6
Serious	0	0	0	0	0	0	0	0	0	1
Sub-total	35	30	11	75	8	19	32	36	30	73
Contraband										
No Injury	6,925	7,404	7,270	7,895	7,869	8,282	9,341	11,929	17,359	18,315
Minor	20	35	30	25	20	34	35	56	77	55
Moderate	1	0	0	0	0	1	1	1	4	1
Sub-total	6,946	7,439	7,300	7,920	7,889	8,317	9,377	11,986	17,440	18,371
Death										
No Injury	1,090	1,091	1,236	1,199	1,103	1,141	1,376	1,289	1,275	1,695
Minor	0	0	1	1	0	1	3	0	0	0
Serious	1	0	1	0	0	0	0	0	0	0
Severe	0	0	0	0	0	0	0	0	1	1
Sub-total	1,091	1,091	1,238	1,200	1,103	1,142	1,379	1,289	1,276	1,696
Disruptive Behavior										
No Injury	1,661	2,133	1,930	1,880	1,919	2,495	2,570	2,680	3,050	3,699
Minor	56	64	64	69	66	95	95	73	168	182
Moderate	7	10	16	9	16	11	11	11	7	11
Serious	0	0	0	0	0	0	0	0	6	7
Sub-total	1,724	2,207	2,010	1,958	2,001	2,601	2,676	2,764	3,231	3,899

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Table 9-5. Unusual Incident Type by Degree of Staff Injury: 2007 - 2016

Incident & Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Disruption of Facility										
No Injury	57	120	131	56	73	27	24	64	60	80
Minor	0	0	11	0	0	0	0	0	0	0
Sub-total	57	120	142	56	73	27	24	64	60	80
Employee Misconduct										
No Injury	471	450	613	707	564	690	532	562	597	591
Minor	2	3	0	6	1	2	0	1	3	0
Moderate	1	1	1	1	0	0	0	1	0	0
Serious	0	0	1	0	0	1	0	0	0	1
Sub-total	474	454	615	714	565	693	532	564	600	592
Escape										
No Injury	10	0	4	13	37	5	7	0	10	12
Minor	0	0	0	0	0	1	0	0	0	0
Serious	0	0	0	0	0	0	0	0	1	0
Sub-total	10	0	4	13	37	6	7	0	11	12
Attempted Escape										
No Injury	3	16	14	0	10	11	3	18	11	8
Fire										
No Injury	128	238	219	159	165	118	215	82	186	150
Minor	4	12	23	17	38	13	79	24	22	15
Moderate	0	3	0	2	4	1	0	10	1	0
Sub-total	132	253	242	178	207	132	294	116	209	165
Inmate Disturbance										
No Injury	10	0	0	0	0	0	0	0	0	0
Inmate Mass Demonstration										
No Injury	0	0	0	3	6	0	0	4	0	18
Property Destroyed										
No Injury	22	24	25	48	40	59	11	10	23	12
Minor	0	0	0	0	0	0	0	2	0	0
Sub-total	22	24	25	48	40	59	11	12	23	12
Property Lost or Stolen										
No Injury	403	498	426	452	341	330	308	212	293	294
Self-Inflicted Injury										
No Injury	255	275	238	233	290	406	278	345	303	324
Minor	0	1	0	0	1	0	0	3	0	0
Moderate	0	0	0	0	0	0	0	1	0	0
Sub-total	255	276	238	233	291	406	278	349	303	324

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Table 9-5. Unusual Incident Type by Degree of Staff Injury: 2007 - 2016

Incident & Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Sexual Misconduct										
No Injury	289	216	221	270	275	214	204	263	362	180
Minor	0	1	1	0	0	0	0	3	0	0
Sub-total	289	217	222	270	275	214	204	266	362	180
Suicide Attempt										
No Injury	235	394	675	564	656	777	819	866	786	971
Minor	2	9	6	8	4	3	4	11	12	26
Moderate	2	3	0	0	0	0	0	0	0	2
Sub-total	239	406	681	572	660	780	823	877	798	999
Temp Release Related										
No Injury	51	80	142	180	114	78	68	48	43	46
Minor	0	0	0	1	0	0	0	0	0	0
Sub-total	51	80	142	181	114	78	68	48	43	46
Staff Use of Weapons										
No Injury	413	469	409	402	442	399	575	530	572	885
Minor	11	7	3	3	7	1	2	2	2	10
Moderate	0	0	1	0	0	0	1	0	0	0
Severe	0	0	0	0	0	0	0	0	1	0
Sub-total	424	476	413	405	449	400	578	532	575	895
Employee Job Action										
No Injury	0	7	14	0	3	1	0	0	0	0
Other Incidents										
No Injury	1,431	1,693	1,638	2,050	2,373	2,015	2,457	2,446	2,852	4,529
Minor	14	20	25	14	21	15	18	36	43	30
Moderate	4	5	14	9	3	2	9	22	17	14
Serious	2	3	0	0	1	1	0	3	6	6
Severe	0	0	0	0	0	0	0	0	1	1
Sub-total	1,451	1,721	1,677	2,073	2,398	2,033	2,484	2,507	2,919	4,580
Grand Total	19,386	21,101	21,380	22,386	22,701	23,966	26,288	29,965	38,161	42,735

Section Ten: Inmate Injury

This section details the injuries to DOCCS inmates, including the number of inmates injured and the extent of their injuries. The previous tables in this report have been incident-based; this section is individual-based. This means that instead of the total column summing the total number of incidents, it now sums the total number of inmates who were involved in an incident or incident category.

Table 10-1 displays the number of inmates that were injured and the type of unusual incident in which the injury occurred. Assaults on inmates had the highest number of inmate injuries (1,484), while accidents had the highest number of serious and severe injuries (255).

Table 10-2 displays the number of inmates injured, the degree of injury received, and the facility where the injury occurred. Clinton had the highest number of inmate injuries (283), while Gowanda had the highest number of injuries that were serious or severe (33). The medium security prison with the most inmate injuries was Franklin (172), and the minimum security prison with the most inmate injuries was Queensboro (11).

Table 10-3 displays the degree and type of inmate injuries for the ten-year period from 2007 to 2016. Minor injuries were typically bruises, abrasions, or lacerations. Moderate injuries were usually bone injuries or lacerations. Serious injuries were usually bone injuries, as were severe injuries. The injury category of severe was added as an injury degree in October 2014; the injury type pain was added at the same time.

Table 10-4 displays the degree of inmate injury by security level from 2007 to 2016. In 2007, there were 7,377 inmates linked to incidents; of these, 2,107 (29%) were injured. In 2016, there were 12,078 inmates linked to incidents; of these 3,287 (27%) were injured.

The degree of inmate injury that occurred in each type of unusual incident during the ten-year period from 2007 to 2016 is found in Table 10-5. The number of inmates injured in inmate assault incidents increased from 852 in 2007 to 1,484 in 2016.

**Table 10-1. Unusual Incident Type by Degree of Inmate Injury:
January - December 2016**

Incident Type	No Injury	Minor	Moderate	Serious	Severe	Total
Accident	61	26	169	251	4	511
Assault on Inmate	821	1,017	408	56	3	2,305
Assault on Staff	457	339	16	9	0	821
Assault on Other	11	1	0	0	0	12
Contraband	4,819	76	4	1	0	4,900
Death	168	0	0	0	2	170
Disruptive Behavior	713	402	136	122	1	1,374
Disruption of Facility	3	0	0	0	0	3
Employee Misconduct	4	0	0	0	0	4
Escape	1	0	0	0	0	1
Attempted Escape	1	0	0	0	0	1
Fire	57	9	1	0	0	67
Inmate Mass Demonstration	18	0	0	0	0	18
Property Destroyed	1	0	0	0	0	1
Property Lost or Stolen	30	0	0	0	0	30
Self-Inflicted Injury	10	17	50	4	0	81
Sexual Misconduct	52	1	0	0	0	53
Suicide Attempt	86	67	38	18	3	212
Temp Release Related	22	0	0	0	0	22
Staff Use of Weapons	489	9	1	0	0	499
Other Incidents	967	16	4	4	2	993
Total	8,791	1,980	827	465	15	12,078

**Table 10-2. Degree of Inmate Injury by Facility and Security Level:
January - December 2016**

Correctional Facility	No Injury	Minor	Moderate	Serious	Severe	Total
Maximum Security						
Attica	468	87	42	13	1	611
Auburn	521	140	43	6	1	711
Bedford Hills	68	24	4	5	0	101
Clinton	677	205	57	18	3	960
Coxsackie	127	31	5	4	0	167
Downstate	180	50	11	4	0	245
Eastern	92	8	4	12	1	117
Elmira	318	107	36	16	0	477
Five Points	308	46	31	8	0	393
Great Meadow	469	154	46	16	0	685
Green Haven	308	53	22	5	0	388
Shawangunk	190	8	5	1	1	205
Sing Sing	233	40	26	14	1	314
Southport	76	16	7	2	0	101
Sullivan	134	29	13	4	2	182
Upstate	167	15	4	9	1	196
Wende	138	22	16	9	0	185
Sub-total	4,474	1,035	372	146	11	6,038
Special Housing SHU200						
Cayuga SHU200	0	0	1	0	0	1
Collins SHU200	59	10	2	0	0	71
Fishkill SHU200	36	19	9	0	0	64
Gouverneur SHU200	25	4	0	3	0	32
Greene SHU200	25	4	1	1	0	31
Lakeview SHU200	19	2	0	0	0	21
Mid-State SHU200	14	3	0	1	0	18
Orleans SHU200	27	5	0	1	0	33
Sub-total	205	47	13	6	0	271
Residential Mental Health Treatment Unit						
Attica RMHU	10	0	0	0	0	10
Five Points RMHU	122	21	3	2	0	148
Marcy RMHU	73	14	14	1	0	102
Bedford Hills TBU	14	3	0	0	0	17
Great Meadow BHU	38	8	0	1	0	47
Sub-total	257	46	17	4	0	324
Regional Medical Unit						
Bedford Hills RMU	0	1	0	0	0	1
Coxsackie RMU	28	2	0	0	0	30
Walsh RMU	36	2	0	4	0	42
Wende RMU	24	0	0	3	0	27
Sub-total	88	5	0	7	0	100
Total Maximum Security	5,024	1,133	402	163	11	6,733

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**Table 10-2. Degree of Inmate Injury by Facility and Security Level:
January - December 2016**

Correctional Facility	No Injury	Minor	Moderate	Serious	Severe	Total
Medium Security						
Adirondack	13	3	2	2	0	20
Albion	77	18	8	11	0	114
Altona	50	4	12	7	0	73
Bare Hill	147	46	25	11	0	229
Cape Vincent	83	14	8	10	0	115
Cayuga	96	6	8	5	0	115
Collins	157	20	8	10	0	195
Fishkill	259	30	26	25	1	341
Franklin	259	97	53	22	0	431
Gouverneur	90	17	8	10	1	126
Gowanda	141	42	26	32	1	242
Greene	266	82	16	7	0	371
Groveland	129	19	12	17	0	177
Hale Creek	9	1	3	2	0	15
Hudson	14	9	1	0	0	24
Lakeview Female Transit	1	0	0	1	0	2
Livingston	80	13	9	11	0	113
Marcy	228	63	20	16	0	327
Midstate	205	61	11	14	0	291
Mohawk	177	20	12	9	0	218
Ogdensburg	51	5	3	1	0	60
Orleans	111	17	12	20	0	160
Otisville	22	3	4	1	0	30
Riverview	115	27	11	8	0	161
Taconic	14	2	0	1	0	17
Ulster	121	15	9	7	0	152
Wallkill	26	0	4	3	0	33
Washington	200	75	40	5	0	320
Watertown	39	3	6	1	1	50
Woodbourne	62	5	2	7	0	76
Wyoming	444	120	59	16	0	639
Sub-total	3,686	837	418	292	4	5,237
Regional Medical Unit						
Fishkill RMU	9	0	1	2	0	12
Total Medium Security	3,695	837	419	294	4	5,249

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**Table 10-2. Degree of Inmate Injury by Facility and Security Level:
January - December 2016**

Correctional Facility	No Injury	Minor	Moderate	Serious	Severe	Total
Minimum Shock						
Moriah Shock	0	0	0	0	0	0
Lakeview Shock	9	0	1	3	0	13
Sub-Total	9	0	1	3	0	13
Minimum Security Other						
Edgecombe	3	2	1	2	0	8
Hudson Industrial Training	0	0	0	0	0	0
Lakeview Male Transit	2	0	1	2	0	5
Lincoln	21	0	0	0	0	21
Queensboro	12	8	2	1	0	23
Rochester	12	0	1	0	0	13
Sub-Total	50	10	5	5	0	70
Minimum Work Release						
Albion Work Release	1	0	0	0	0	1
Edgecombe Work Release	3	0	0	0	0	3
Fishkill Work Release	3	0	0	0	0	3
Hale Creek Work Release	0	0	0	0	0	0
Hudson Work Release	6	0	0	0	0	6
Sub-Total	13	0	0	0	0	13
Total Minimum Security	72	10	6	8	0	96
Other						
Central Office	0	0	0	0	0	0
Grand Total	8,791	1,980	827	465	15	12,078

Table 10-3. Degree and Type of Inmate Injury: 2007 - 2016

Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
No Injury	5,270	5,333	4,978	5,264	5,283	5,264	5,980	6,451	8,090	8,791
Minor										
Bone	5	15	8	11	9	5	21	30	23	12
Bruise	222	230	239	256	222	233	258	259	304	266
Burn	6	9	11	2	4	4	7	6	1	4
Concussion	1	0	0	0	1	1	0	0	0	0
Laceration	529	597	514	559	551	576	699	785	800	895
Smoke Inhale	0	1	4	13	3	5	38	2	3	7
Sprain	1	3	0	3	3	2	1	6	3	4
Unconscious	2	1	0	0	0	0	1	5	0	1
Puncture	70	77	56	60	54	53	78	49	72	73
Abrasion	341	429	471	433	507	536	652	710	774	647
Pain	0	0	0	0	0	0	0	8	47	47
Other	10	12	14	13	9	16	18	9	25	24
Sub-total	1,187	1,374	1,317	1,350	1,363	1,431	1,773	1,869	2,052	1,980
Moderate										
Bone	445	407	481	399	436	533	537	461	264	171
Bruise	8	10	13	7	5	10	9	10	13	16
Burn	15	12	7	8	15	12	18	13	10	18
Concussion	0	1	6	2	4	2	4	2	1	7
Laceration	381	324	315	293	318	383	360	449	479	557
Smoke Inhale	0	0	0	0	0	0	2	0	0	0
Sprain	2	2	0	0	2	2	1	2	0	3
Unconscious	3	8	9	3	9	7	9	4	4	4
Puncture	33	28	30	25	31	14	16	15	18	28
Pain	3	2	1	1	7	1	4	7	7	9
Abrasion	0	0	0	0	0	0	0	0	1	3
Other	16	14	13	11	15	21	33	16	19	11
Sub-total	906	808	875	749	842	985	993	979	816	827
Serious										
Bone	1	8	6	3	4	2	11	106	295	396
Bruise	1	0	1	0	0	0	0	0	0	0
Burn	0	1	0	0	0	0	0	1	1	2
Concussion	0	0	0	0	0	0	0	1	0	0
Laceration	4	5	5	4	2	3	5	6	39	44
Unconscious	4	6	4	6	3	5	3	0	2	6
Puncture	0	1	3	3	0	0	1	5	4	10
Abrasion	0	0	0	0	1	0	0	0	1	1
Pain	0	0	0	0	0	0	0	0	1	0
Other	4	7	7	2	0	5	2	6	3	6
Sub-total	14	28	26	18	10	15	22	125	346	465
Severe										
Bone	0	0	0	0	0	0	0	4	6	2
Bruise	0	0	0	0	0	0	0	0	1	0
Burn	0	0	0	0	0	0	0	0	0	1
Laceration	0	0	0	0	0	0	0	0	2	3
Unconscious	0	0	0	0	0	0	0	0	0	2
Puncture	0	0	0	0	0	0	0	0	2	1
Gun Shot	0	0	0	0	0	0	0	0	2	0
Other	0	0	0	0	0	0	0	3	16	6
Sub-total	0	0	0	0	0	0	0	7	29	15
Grand Total	7,377	7,543	7,196	7,381	7,498	7,695	8,768	9,431	11,333	12,078

Table 10-4. Inmate Injury by Security Level: 2007 - 2016

Type of Weapon	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
No Injury	3,467	3,364	3,167	3,520	3,577	3,484	3,863	3,691	4,425	5,024
Minor	820	948	911	1,006	985	986	1,230	1,132	1,219	1,133
Moderate	443	382	450	400	430	465	471	428	389	402
Serious	7	19	10	13	6	12	12	40	99	163
Severe	0	0	0	0	0	0	0	5	20	11
Sub-Total	4,737	4,713	4,538	4,939	4,998	4,947	5,576	5,296	6,152	6,733
Medium Security										
No Injury	1,552	1,736	1,624	1,517	1,565	1,647	2,023	2,632	3,588	3,695
Minor	350	409	391	332	369	440	530	716	822	837
Moderate	427	386	402	319	384	496	496	530	412	419
Serious	6	6	12	4	4	3	10	82	244	294
Severe	0	0	0	0	0	0	0	2	9	4
Sub-Total	2,335	2,537	2,429	2,172	2,322	2,586	3,059	3,962	5,075	5,249
Minimum Security										
No Injury	251	233	187	227	141	120	91	128	77	72
Minor	17	17	15	12	9	5	13	20	11	10
Moderate	36	40	23	30	28	24	26	21	15	6
Serious	1	3	4	1	0	0	0	3	3	8
Sub-Total	305	293	229	270	178	149	130	172	106	96
Other										
No Injury	0	0	0	0	0	13	3	0	0	0
Minor	0	0	0	0	0	0	0	1	0	0
Sub-Total	0	0	0	0	0	13	3	1	0	0
All Security Levels										
No Injury	5,270	5,333	4,978	5,264	5,283	5,264	5,980	6,451	8,090	8,791
Minor	1,187	1,374	1,317	1,350	1,363	1,431	1,773	1,869	2,052	1,980
Moderate	906	808	875	749	842	985	993	979	816	827
Serious	14	28	26	18	10	15	22	125	346	465
Severe	0	0	0	0	0	0	0	7	29	15
Grand Total	7,377	7,543	7,196	7,381	7,498	7,695	8,768	9,431	11,333	12,078

Table 10-5. Unusual Incident Type by Degree of Inmate Injury: 2007 - 2016

Incident & Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Accident										
No Injury	88	118	105	68	83	80	88	82	62	61
Minor	11	31	25	17	20	21	32	42	26	26
Moderate	379	336	375	312	334	408	407	345	221	169
Serious	3	8	7	1	0	1	8	59	162	251
Severe	0	0	0	0	0	0	0	3	6	4
Sub-total	481	493	512	398	437	510	535	531	477	511
Assault on Inmate										
No Injury	530	507	447	490	515	494	558	588	628	821
Minor	566	630	570	614	620	603	729	821	837	1,017
Moderate	284	232	230	228	258	226	233	283	328	408
Serious	2	5	2	5	4	2	4	19	40	56
Severe	0	0	0	0	0	0	0	0	1	3
Sub-total	1,382	1,374	1,249	1,337	1,397	1,325	1,524	1,711	1,834	2,305
Assault on Staff										
No Injury	333	281	297	292	273	277	309	373	420	457
Minor	262	327	313	328	301	305	362	443	521	339
Moderate	12	13	13	13	28	18	25	24	36	16
Serious	0	0	0	1	0	0	0	0	13	9
Severe	0	0	0	0	0	0	0	1	1	0
Sub-total	607	621	623	634	602	600	696	841	991	821
Assault on Other										
No Injury	8	6	5	15	2	4	5	8	6	11
Minor	1	0	0	2	0	0	2	1	0	1
Sub-total	9	6	5	17	2	4	7	9	6	12
Contraband										
No Injury	2,585	2,682	2,451	2,659	2,675	2,827	3,169	3,811	4,822	4,819
Minor	72	86	62	73	66	60	77	90	108	76
Moderate	0	5	1	3	1	5	5	5	7	4
Serious	0	0	0	0	0	0	1	0	1	1
Sub-total	2,657	2,773	2,514	2,735	2,742	2,892	3,252	3,906	4,938	4,900
Death										
No Injury	167	172	172	148	151	152	176	143	122	168
Minor	0	0	1	0	0	0	1	0	3	0
Serious	2	2	2	2	0	4	2	1	1	0
Severe	0	0	0	0	0	0	0	3	15	2
Sub-total	169	174	175	150	151	156	179	147	141	170
Disruptive Behavior										
No Injury	457	390	380	374	441	436	503	436	707	713
Minor	205	213	251	207	234	308	399	328	425	402
Moderate	145	139	149	131	152	241	251	228	163	136
Serious	0	2	2	1	2	1	2	39	118	122
Severe	0	0	0	0	0	0	0	0	1	1
Sub-total	807	744	782	713	829	986	1,155	1,031	1,414	1,374

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Table 10-5. Unusual Incident Type by Degree of Inmate Injury: 2007 - 2016

Incident & Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Disruption of Facility										
No Injury	0	22	34	4	9	0	1	1	3	3
Minor	0	1	0	0	0	0	0	1	0	0
Moderate	0	0	1	0	0	0	0	0	0	0
Serious	0	0	0	0	0	0	0	1	0	0
Sub-total	0	23	35	4	9	0	1	3	3	3
Employee Misconduct										
No Injury	6	11	9	7	8	5	3	8	6	4
Minor	0	0	0	0	0	0	0	0	1	0
Sub-total	6	11	9	7	8	5	3	8	7	4
Escape										
No Injury	3	0	1	3	5	0	1	0	2	1
Minor	0	0	0	0	1	1	0	0	0	0
Severe	0	0	0	0	0	0	0	0	1	0
Sub-total	3	0	1	3	6	1	1	0	3	1
Attempted Escape										
No Injury	1	5	2	0	1	1	1	1	2	1
Minor	0	0	0	0	2	0	0	2	0	0
Moderate	0	0	0	0	0	1	0	0	0	0
Sub-total	1	5	2	0	3	2	1	3	2	1
Fire										
No Injury	25	109	57	49	32	48	89	17	35	57
Minor	0	1	4	13	3	6	40	3	2	9
Moderate	1	2	0	0	0	1	2	0	0	1
Sub-total	26	112	61	62	35	55	131	20	37	67
Inmate Disturbance										
No Injury	47	0	0	0	0	0	0	0	0	0
Inmate Mass Demonstration										
No Injury	0	0	0	12	37	0	0	7	0	18
Property Destroyed										
No Injury	4	3	7	4	13	12	0	4	0	1
Minor	2	1	0	1	0	1	0	0	0	0
Sub-total	6	4	7	5	13	13	0	4	0	1
Property Lost or Stolen										
No Injury	79	199	122	167	49	67	57	32	33	30
Minor	0	0	0	0	0	0	0	0	1	0
Moderate	0	1	0	0	0	0	0	0	0	0
Sub-total	79	200	122	167	49	67	57	32	34	30
Self-Inflicted Injury										
No Injury	13	11	6	8	6	16	9	15	15	10
Minor	13	11	5	4	34	49	33	28	29	17
Moderate	73	63	64	50	44	56	39	48	31	50
Serious	0	0	0	0	0	0	0	0	3	4
Sub-total	99	85	75	62	84	121	81	91	78	81

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Table 10-5. Unusual Incident Type by Degree of Inmate Injury: 2007 - 2016

Incident & Injury Type	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Sexual Misconduct										
No Injury	128	97	83	112	97	82	88	92	99	52
Minor	2	2	1	0	1	0	1	2	0	1
Sub-total	130	99	84	112	98	82	89	94	99	53
Suicide Attempt										
No Injury	31	51	74	54	68	75	72	76	84	86
Minor	27	33	58	58	60	55	70	78	57	67
Moderate	6	14	37	11	18	24	28	39	30	38
Serious	3	3	6	5	3	7	3	4	5	18
Severe	0	0	0	0	0	0	0	0	0	3
Sub-total	67	101	175	128	149	161	173	197	176	212
Temp Release Related										
No Injury	156	123	114	126	71	36	28	24	17	22
Minor	0	0	0	0	0	0	0	1	0	0
Moderate	0	1	1	0	1	0	0	0	0	0
Serious	1	2	3	1	0	0	0	0	0	0
Sub-total	157	126	118	127	72	36	28	25	17	22
Staff Use of Weapons										
No Injury	211	174	185	180	214	181	304	220	345	489
Minor	20	24	11	14	6	6	15	8	13	9
Moderate	0	1	1	0	0	0	0	0	0	1
Sub-total	231	199	197	194	220	187	319	228	358	499
Other Incidents										
No Injury	398	372	427	492	533	471	519	513	682	967
Minor	6	14	16	19	15	16	12	21	29	16
Moderate	6	1	3	1	6	5	3	7	0	4
Serious	3	6	4	2	1	0	2	2	3	4
Severe	0	0	0	0	0	0	0	0	4	2
Sub-total	413	393	450	514	555	492	536	543	718	993
Grand Total	7,377	7,543	7,196	7,381	7,498	7,695	8,768	9,431	11,333	12,078

Section Eleven: Deaths

Table 11 displays deaths that occurred during the ten-year period from 2007 to 2016. This includes deaths of inmates who were officially under the custody of DOCCS, DOCCS staff, and civilians in a DOCCS facility. Additionally, in 2014, DOCCS began admitting detainees under the custody of county jails to its Regional Medical Units (RMU). These detainees were not formally under DOCCS custody. Four of these detainees died in DOCCS facilities in 2014. Information in the unusual incident system on inmate cause of death was supplemented with medical reports prepared after autopsies. Of the inmates under DOCCS custody, 148 died in 2016. Four DOCCS staff members died in 2016, two of whom were on-duty at the time. There were no civilian deaths in 2016. Overall, the number of deaths declined slightly between 2007 and 2016.

Table 11. Deaths: 2007 - 2016

Cause of Death	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Inmate										
Natural Causes	122	111	119	97	95	90	115	103	93	118
AIDS	9	8	8	2	6	3	2	2	1	1
Suicide	18	10	10	20	11	14	13	13	11	16
Homicide	0	1	2	1	3	2	2	0	5	0
Drug Overdose	1	1	1	0	1	4	5	1	3	6
Accident	0	3	1	2	1	2	2	2	2	1
Undetermined	0	0	1	0	1	0	4	1	1	1
Cause Pending	0	0	0	0	0	0	0	0	0	5
Sub-total	150	134	142	122	118	115	143	122	116	148
Absconder/Escapee										
Natural Causes	0	0	0	1	0	0	0	0	0	0
Homicide	0	0	0	0	0	0	0	0	1	0
Sub-total	0	0	0	1	0	0	0	0	1	0
CNYPC										
Natural Causes	0	0	0	0	0	1	0	0	0	0
SARA Sex Offender										
Natural Causes	0	0	0	0	1	0	0	0	0	0
Detainee										
Natural Causes	0	0	0	0	0	0	0	4	0	0
Staff										
Natural Causes	0	0	1	2	1	1	0	0	0	1
Accident	0	1	0	0	1	0	0	0	0	0
Other	1	0	0	0	0	0	0	0	0	0
Off-Duty Extraordinary	1	6	6	6	2	4	1	4	4	2
Unknown	0	0	1	0	0	0	1	1	0	1
Sub-total	2	7	8	8	4	5	2	5	4	4
Civilian										
Natural	1	0	0	0	0	1	0	0	0	0
Other	0	1	0	0	0	1	0	0	3	0
Unknown	0	0	0	0	0	0	0	0	0	0
Sub-total	1	1	0	0	0	2	0	0	3	0
Grand Total	153	142	150	131	123	123	145	131	124	152

Section Twelve: Inmate Mental Health

This section examines the mental health status of inmates connected to unusual incidents. Mental health services in DOCCS are administered by the Office of Mental Health (OMH). OMH is responsible for evaluating inmates and determining their appropriate level of mental health service. Inmates with an OMH level of 1-4 are considered to be on the OMH caseload with level 1 being the most serious and receiving the most frequent/intense level of mental health service, with level 4 being the least serious. A level 6 designation indicates that an inmate is not in need of mental health services, while level 7 indicates that the inmate has not received a mental health evaluation. There is not an OMH level 5. OMH did not begin evaluating inmates at reception until December 2007, so up to that point (and for a while thereafter) there was a significant portion of inmates at level 7 who had never received a mental health evaluation. A separate designation of seriously mentally ill (SMI) exists to identify inmates at OMH levels 1 & 2 who have more significant mental health problems. In the tables that follow, SMI status is indicated with the categories of 1S and 2S.

The unusual incident system classifies inmates linked to unusual incidents by the role they had relative to those incidents. They can be classified as perpetrators, participants, suspects, victims, or witnesses/bystanders. This section focuses exclusively on the inmates who were classified as perpetrators, participants, or suspects.

Table 12-1 displays unusual incident type by the OMH level and SMI status of the inmates responsible for the incident. There were 9,084 inmates involved in unusual incidents in 2016 as perpetrators, participants, or suspects. Approximately 33% of these inmates (2,992) were on the OMH caseload at the time of the incident. The incident types where a large percentage of inmates were on the OMH caseload were self-inflicted injuries (91%), suicide attempts (76%), and staff assaults (56%); other incident types also had a high percentage of OMH cases, but only involved very few inmates, attempted escape, property destroyed, and the miscellaneous category of other incidents. SMI inmates comprised 26% of attempted suicides, 62% of self-inflicted injuries, and 22% of staff assaults, but only 5% of contraband incidents.

Table 12-2 displays the OMH level of inmates under custody by security level from 2007 to 2016. Note that the population figures used elsewhere in this report are based on daily average populations over the course of the year, while Table 12-2 is based on the under custody population during the first week of each month, averaged for the year, so there are slight variations between the two. In 2016, the majority of inmates (80%) were OMH level 6 or level 7 and not on the OMH caseload. A total of 20% of DOCCS inmates were on the OMH caseload, and 24% of these inmates (or 5% of all inmates) were classified as seriously mentally ill.

Table 12-3 displays the OMH level of inmates linked to unusual incidents as perpetrators, participants, or suspects by the security level of the facility from 2007 to 2016. Table 12-4 presents the same information but in terms of the rate per 1,000 inmates at each OMH level. In 2016, inmates at OMH level 1 had a rate of 480.5; this means that, for every 1,000 inmates at OMH level 1, 480.5 were linked to an incident. This is over three times the rate of 153.5 for inmates at OMH level 6.

Table 12-5 displays unusual incident type by the OMH level of the inmates linked to the incident from 2007 to 2016. The table indicates that, although the number of inmates involved in unusual incidents who are on the OMH caseload has increased, their proportion relative to other inmates has remained fairly consistent across incident types over the past ten years.

Table 12-1. Unusual Incident Type by Perpetrator, Participant, and Suspect OMH Level and SMI Status: January - December 2016

Incident Type	OMH Level									Total
	1	1S	2	2S	3	4	6	7	Unknown	
Accident	0	1	0	0	2	1	26	1	1	32
Assault on Inmate	15	59	59	5	108	29	878	7	0	1,160
Assault on Staff	53	168	90	5	83	41	337	5	1	783
Assault on Other	0	1	0	0	0	0	10	0	0	11
Contraband	70	201	305	21	505	112	3,373	32	16	4,635
Death	0	0	0	0	0	0	0	0	0	0
Disruptive Behavior	42	170	84	5	117	44	886	10	2	1,360
Disruption of Facility	0	0	1	0	0	0	1	1	0	3
Employee Misconduct	0	0	0	0	0	0	2	0	0	2
Escape	0	0	0	0	0	0	1	0	0	1
Attempted Escape	1	0	0	0	0	0	0	0	0	1
Fire	0	4	1	2	0	1	7	1	0	16
Inmate Mass Demonstration	1	0	0	0	0	0	14	3	0	18
Property Destroyed	0	0	0	0	1	0	0	0	0	1
Property Lost or Stolen	0	1	0	0	2	0	18	0	0	21
Self-Inflicted Injury	8	47	6	0	6	2	7	0	0	76
Sexual Misconduct	1	3	2	1	7	0	36	1	0	51
Suicide Attempt	19	44	26	2	32	13	44	0	0	180
Temp Release Related	0	0	0	0	1	0	20	1	0	22
Staff Use of Weapons	25	80	35	2	51	24	273	1	0	491
Other Incidents	12	54	31	5	30	12	73	3	0	220
Total	247	833	640	48	945	279	6,006	66	20	9,084

Note: This table only includes inmates identified as perpetrators, participants, or suspects.

Table 12-2. OMH Level of Inmates Under Custody by Security Level: 2007 - 2016

OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Level 1	1,621	397	359	251	223	278	282	353	382	391
Level 1S	102	1,415	1,276	1,342	1,385	1,326	1,243	1,358	1,543	1,651
Level 2	1,232	751	826	901	964	1,009	1,107	1,180	1,186	1,189
Level 2S	44	660	584	562	582	520	475	296	202	177
Level 3	2,316	2,295	1,677	1,501	1,538	1,610	1,603	1,611	1,726	1,898
Level 4	1,334	1,338	482	256	261	268	266	290	336	424
Level 6	11,958	12,314	15,007	16,546	17,351	17,459	17,003	16,802	16,493	16,124
Level 7	5,981	5,702	4,623	3,320	2,532	2,070	1,698	1,402	1,178	977
Unknown	226	200	99	89	68	68	76	56	66	68
Sub-Total*	24,813	25,072	24,933	24,766	24,904	24,608	23,753	23,347	23,111	22,899
Medium Security										
Level 1	5	27	93	59	74	98	112	139	128	123
Level 1S	1	83	141	193	218	216	212	255	357	439
Level 2	1,107	661	801	890	1,001	1,097	1,222	1,354	1,370	1,333
Level 2S	49	722	526	452	384	254	199	177	131	137
Level 3	2,472	2,291	1,568	1,316	1,318	1,411	1,504	1,631	1,836	2,008
Level 4	1,462	1,566	644	236	205	245	253	292	310	378
Level 6	13,144	12,715	16,798	21,170	22,504	23,365	23,553	23,270	22,532	21,654
Level 7	16,368	14,583	10,740	5,274	2,756	1,628	1,102	766	578	479
Unknown	41	30	34	15	20	11	5	4	4	4
Sub-Total*	34,650	32,677	31,345	29,604	28,480	28,324	28,162	27,888	27,245	26,555
Minimum Security										
Level 1	0	0	0	0	0	0	0	0	1	0
Level 1S	0	0	0	0	0	0	0	0	2	1
Level 2	2	3	2	3	2	1	2	3	7	10
Level 2S	0	3	5	3	1	1	0	0	1	0
Level 3	34	25	18	16	8	5	5	6	9	10
Level 4	96	85	41	21	11	15	9	13	12	12
Level 6	951	1,012	1,505	2,078	2,169	1,733	1,705	1,616	1,483	1,425
Level 7	2,758	2,801	1,579	658	242	82	42	24	16	10
Unknown	1	0	2	0	0	0	0	0	0	0
Sub-Total*	3,842	3,928	3,151	2,780	2,432	1,837	1,763	1,663	1,531	1,468
All Security Levels										
Level 1	1,627	424	452	310	297	376	393	492	510	514
Level 1S	103	1,498	1,417	1,535	1,603	1,542	1,454	1,613	1,903	2,091
Level 2	2,341	1,415	1,629	1,793	1,966	2,106	2,331	2,536	2,563	2,532
Level 2S	93	1,385	1,115	1,017	967	775	675	473	333	314
Level 3	4,822	4,611	3,263	2,832	2,864	3,026	3,112	3,248	3,570	3,916
Level 4	2,892	2,988	1,167	513	477	527	528	595	658	814
Level 6	26,053	26,040	33,310	39,794	42,024	42,557	42,260	41,688	40,508	39,203
Level 7	25,107	23,085	16,941	9,252	5,530	3,780	2,842	2,193	1,771	1,466
Unknown	268	230	136	104	88	79	81	60	69	72
Grand Total*	63,305	61,677	59,429	57,150	55,816	54,769	53,678	52,899	51,885	50,922

*Note: the numbers in this table are based on averages and may not equal the totals due to rounding.

**Table 12-3. OMH Level and SMI Status of Unusual Incident Inmate Participants
by Security Level: 2007 - 2016**

OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Level 1	264	118	96	64	70	111	144	166	186	208
Level 1S	133	320	320	359	453	400	459	554	561	764
Level 2	180	127	166	200	186	224	269	325	334	394
Level 2S	47	128	90	115	138	124	117	65	44	34
Level 3	418	341	259	304	301	351	371	380	488	541
Level 4	246	195	117	74	53	72	78	82	131	196
Level 6	1,875	1,927	2,126	2,319	2,409	2,285	2,688	2,496	3,164	2,983
Level 7	478	413	259	225	136	113	79	67	66	44
Unknown	12	8	1	5	10	10	8	17	21	10
Sub-Total	3,653	3,577	3,434	3,665	3,756	3,690	4,213	4,152	4,995	5,174
Medium Security										
Level 1	1	10	14	15	20	14	13	23	26	39
Level 1S	2	11	17	28	25	23	24	41	79	69
Level 2	56	75	57	82	93	117	134	198	264	245
Level 2S	15	37	32	41	23	11	16	14	16	14
Level 3	163	149	112	113	105	136	182	245	347	403
Level 4	72	96	22	27	18	37	37	41	69	83
Level 6	645	710	868	1,063	1,241	1,392	1,753	2,411	3,099	2,952
Level 7	576	538	439	135	68	40	44	25	18	19
Unknown	4	8	0	2	2	2	7	0	2	10
Sub-Total	1,534	1,634	1,561	1,506	1,595	1,772	2,210	2,998	3,920	3,834
Minimum Security										
Level 1	0	0	0	0	0	0	0	0	0	0
Level 1S	0	0	0	0	0	0	0	0	0	0
Level 2	3	0	0	1	1	0	0	0	0	1
Level 2S	0	2	0	0	2	0	0	0	0	0
Level 3	1	3	3	2	2	0	2	0	2	1
Level 4	5	7	3	1	1	0	1	5	3	0
Level 6	47	50	54	116	109	83	95	132	80	71
Level 7	185	158	121	90	19	8	2	3	0	3
Unknown	1	0	1	0	0	1	0	0	0	0
Sub-Total	242	220	182	210	134	92	100	140	85	76
Other										
Level 2S	0	0	0	0	0	0	0	1	0	0
Level 6	0	0	0	0	0	2	0	0	0	0
Level 7	0	0	0	0	0	1	0	0	0	0
Sub-Total	0	0	0	0	0	3	0	1	0	0
All Security Levels										
Level 1	265	128	110	79	90	125	157	189	212	247
Level 1S	135	331	337	387	478	423	483	595	640	833
Level 2	239	202	223	283	280	341	403	523	598	640
Level 2S	62	167	122	156	163	135	133	80	60	48
Level 3	582	493	374	419	408	487	555	625	837	945
Level 4	323	298	142	102	72	109	116	128	203	279
Level 6	2,567	2,687	3,048	3,498	3,759	3,762	4,536	5,039	6,343	6,006
Level 7	1,239	1,109	819	450	223	162	125	95	84	66
Unknown	17	16	2	7	12	13	15	17	23	20
Grand Total	5,429	5,431	5,177	5,381	5,485	5,557	6,523	7,291	9,000	9,084

Note: This table only includes inmates identified as perpetrators, participants, or suspects.

Table 12-4. Rate of Inmate Involvement in Unusual Incidents per 1,000 Inmates by OMH Level, SMI Status, and Security Level: 2007 - 2016

OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum Security										
Level 1	162.8	297.3	267.5	255.3	313.5	399.6	510.9	470.0	487.3	532.0
Level 1S	N/A	226.1	250.8	267.5	327.1	301.6	369.4	407.9	363.5	462.7
Level 2	146.1	169.2	200.9	222.1	193.0	222.1	243.0	275.5	281.7	331.4
Level 2S	N/A	193.8	154.2	204.8	237.1	238.4	246.1	219.8	218.2	192.1
Level 3	180.5	148.6	154.4	202.6	195.7	218.0	231.5	235.9	282.8	285.0
Level 4	184.4	145.8	242.8	288.9	202.9	269.2	293.0	282.5	390.0	462.3
Level 6	156.8	156.5	141.7	140.2	138.8	130.9	158.1	148.6	191.8	185.0
Level 7	79.9	72.4	56.0	67.8	53.7	54.6	46.5	47.8	56.0	45.0
Unknown	53.1	39.9	10.1	56.4	147.4	146.2	104.7	305.8	318.2	147.1
Sub-Total	147.2	142.7	137.7	148.0	150.8	150.0	177.4	177.8	216.1	225.9
Medium Security										
Level 1	190.5	365.9	151.1	254.6	270.0	142.4	116.5	166.1	203.9	317.1
Level 1S	N/A	133.1	120.4	145.1	114.6	106.5	113.3	160.8	221.1	157.2
Level 2	50.6	113.4	71.1	92.2	92.9	106.7	109.7	146.2	192.8	183.8
Level 2S	N/A	51.3	60.8	90.7	59.9	43.3	80.3	79.0	122.2	102.2
Level 3	65.9	65.0	71.5	85.9	79.7	96.4	121.0	150.2	189.0	200.7
Level 4	49.3	61.3	34.1	114.2	87.6	150.9	146.3	140.5	222.4	219.6
Level 6	49.1	55.8	51.7	50.2	55.1	59.6	74.4	103.6	137.5	136.3
Level 7	35.2	36.9	40.9	25.6	24.7	24.6	39.9	32.6	31.2	39.7
Unknown	97.4	270.4	0.0	131.9	99.2	190.5	1,423.7	0.0	500.0	2,500.0
Sub-Total	44.3	50.0	49.8	50.9	56.0	62.6	78.5	107.5	143.9	144.4
Minimum Security										
Level 1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.0	N/A
Level 1S	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.0	0.0
Level 2	1,285.7	0.0	0.0	324.3	600.0	0.0	0.0	0.0	0.0	100.0
Level 2S	N/A	727.3	0.0	0.0	1,846.2	0.0	N/A	N/A	N/A	N/A
Level 3	29.7	118.0	168.2	125.0	247.4	0.0	393.4	0.0	226.4	100.0
Level 4	52.1	82.4	73.8	48.8	94.5	0.0	107.1	372.7	248.3	0.0
Level 6	49.4	49.4	35.9	55.8	50.3	47.9	55.7	81.7	54.0	49.8
Level 7	67.1	56.4	76.6	136.7	78.6	97.2	47.6	124.1	0.0	300.0
Unknown	1,000.0	0.0	480.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	63.0	56.0	57.8	75.6	55.1	50.1	56.7	84.2	55.5	51.8
Other										
Level 2S	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Level 6	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Level 7	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-Total	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
All Security Levels										
Level 1	162.9	301.7	243.6	255.2	302.7	332.4	399.1	384.3	415.4	480.5
Level 1S	N/A	221.0	237.8	252.1	298.2	274.3	332.1	368.8	336.4	398.4
Level 2	102.1	142.8	136.9	157.8	142.4	161.9	172.9	206.2	233.4	252.8
Level 2S	N/A	120.6	109.5	153.4	168.5	174.2	197.1	169.1	180.1	152.9
Level 3	120.7	106.9	114.6	147.9	142.5	160.9	178.3	192.4	234.5	241.3
Level 4	111.7	99.7	121.7	198.8	150.9	206.7	219.5	215.0	308.4	342.8
Level 6	98.5	103.2	91.5	87.9	89.4	88.4	107.3	120.9	156.6	153.2
Level 7	49.3	48.0	48.3	48.6	40.3	42.9	44.0	43.3	47.4	45.0
Unknown	63.4	69.5	14.8	67.5	136.4	164.7	184.4	285.3	333.3	277.8
Grand Total	85.8	88.1	87.1	94.2	98.3	101.5	121.5	137.8	173.5	178.4

Note: This table only includes inmates identified as perpetrators, participants, or suspects.

Table 12-5. Unusual Incident Type by Inmate OMH Level and SMI Status: 2007 - 2016

Incident Type & OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Accident										
Level 1	0	0	3	0	1	1	0	0	1	0
Level 1S	0	0	0	0	0	0	2	1	3	1
Level 2	1	1	3	1	1	1	0	0	1	0
Level 2S	1	1	0	1	0	1	0	0	0	0
Level 3	1	1	3	0	3	2	2	2	1	2
Level 4	0	0	0	1	0	1	0	3	1	1
Level 6	9	11	17	18	19	23	42	32	16	26
Level 7	9	11	11	6	2	1	1	1	0	1
Unknown	0	0	0	0	0	0	0	0	0	1
Sub-total	21	25	37	27	26	30	47	39	23	32
Assault on Inmate										
Level 1	15	11	8	7	9	9	8	11	7	15
Level 1S	3	24	15	20	27	26	34	34	32	59
Level 2	17	17	25	35	31	42	28	46	47	59
Level 2S	5	12	11	13	11	7	4	8	7	5
Level 3	51	52	42	33	45	33	45	68	70	108
Level 4	24	30	12	7	9	12	7	11	15	29
Level 6	385	422	404	497	532	519	602	675	753	878
Level 7	167	168	118	53	36	11	19	11	6	7
Unknown	1	0	0	0	0	0	0	1	1	0
Sub-total	668	736	635	665	700	659	747	865	938	1,160
Assault on Staff										
Level 1	64	25	20	14	10	14	33	43	47	53
Level 1S	36	73	79	78	106	85	112	129	166	168
Level 2	45	54	33	48	30	43	59	93	96	90
Level 2S	9	23	28	26	26	30	22	11	9	5
Level 3	82	76	46	55	58	59	62	69	98	83
Level 4	45	47	23	12	11	13	16	19	37	41
Level 6	226	235	321	340	324	310	351	424	488	337
Level 7	77	65	42	23	16	13	8	8	5	5
Unknown	5	1	0	0	0	1	1	5	1	1
Sub-total	589	599	592	596	581	568	664	801	947	783
Assault on Other										
Level 1	3	0	0	2	0	0	0	0	0	0
Level 1S	0	0	0	3	0	0	0	0	1	1
Level 2	0	0	1	2	0	0	0	3	0	0
Level 3	1	1	0	1	0	0	0	1	0	0
Level 4	1	1	0	0	0	0	0	0	0	0
Level 6	3	3	0	6	1	4	7	3	5	10
Level 7	1	1	1	2	1	0	0	0	0	0
Sub-total	9	6	2	16	2	4	7	7	6	11
Contraband										
Level 1	75	45	35	32	28	35	40	49	80	70
Level 1S	39	91	88	91	119	82	97	152	177	201
Level 2	110	77	94	112	126	143	178	241	293	305
Level 2S	26	60	48	39	65	44	41	38	31	21
Level 3	272	211	168	202	157	230	264	325	466	505
Level 4	135	131	59	40	25	43	41	54	84	112
Level 6	1,227	1,343	1,412	1,763	1,890	1,896	2,290	2,807	3,536	3,373
Level 7	582	538	374	213	112	101	69	61	54	32
Unknown	7	12	1	7	8	7	13	9	20	16
Sub-total	2,473	2,508	2,279	2,499	2,530	2,581	3,033	3,736	4,741	4,635

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Table 12-5. Unusual Incident Type by Inmate OMH Level and SMI Status: 2007 - 2016

Incident Type & OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Death										
Level 1S	0	0	0	0	0	1	1	1	0	0
Level 2	0	0	0	0	1	0	0	0	1	0
Level 3	1	0	1	0	0	0	0	0	0	0
Level 6	3	4	3	2	4	0	3	1	4	0
Level 7	0	0	0	0	0	0	2	0	1	0
Sub-total	4	4	4	2	5	1	6	2	6	0
Disruptive Behavior										
Level 1	36	16	15	11	7	19	26	22	28	42
Level 1S	31	43	36	55	73	79	79	73	95	170
Level 2	24	23	31	34	45	55	55	62	85	84
Level 2S	8	26	11	30	29	20	26	11	3	5
Level 3	81	70	42	46	64	68	95	91	112	117
Level 4	59	42	20	17	9	22	24	20	33	44
Level 6	368	349	489	449	567	686	813	724	1,028	886
Level 7	183	151	114	51	22	18	17	8	8	10
Unknown	1	1	0	0	1	3	0	2	1	2
Sub-total	791	721	758	693	817	970	1,135	1,013	1,393	1,360
Disruption of Facility										
Level 1S	0	0	0	0	0	0	0	0	2	0
Level 2	0	0	0	0	0	0	0	0	0	1
Level 3	0	0	0	0	1	0	1	0	0	0
Level 4	0	1	0	0	0	0	0	0	0	0
Level 6	0	2	14	1	1	0	0	2	1	1
Level 7	0	3	5	0	0	0	0	0	0	1
Sub-total	0	6	19	1	2	0	1	2	3	3
Employee Misconduct										
Level 2	0	0	1	0	0	0	0	0	0	0
Level 2S	0	0	0	0	1	0	0	0	0	0
Level 3	0	0	0	0	1	0	0	1	0	0
Level 6	3	0	1	4	0	2	2	1	3	2
Level 7	1	1	0	0	0	0	0	0	1	0
Sub-total	4	1	2	4	2	2	2	2	4	2
Escape										
Level 6	0	0	0	3	4	1	1	0	2	1
Level 7	2	0	1	0	0	0	0	0	1	0
Sub-total	2	0	1	3	4	1	1	0	3	1
Attempted Escape										
Level 1	0	0	0	0	1	0	0	0	0	1
Level 1S	0	0	0	0	0	1	1	2	0	0
Level 2S	0	1	0	0	0	0	0	0	0	0
Level 3	1	0	0	0	0	0	0	0	0	0
Level 4	0	1	0	0	0	0	0	0	0	0
Level 6	0	1	2	0	1	1	0	0	2	0
Level 7	0	1	0	0	0	0	0	0	0	0
Sub-total	1	4	2	0	2	2	1	2	2	1
Fire										
Level 1	0	0	0	0	1	0	2	0	1	0
Level 1S	0	1	2	5	4	3	5	2	2	4
Level 2	1	0	0	1	0	1	2	0	0	1
Level 2S	0	1	0	0	2	0	3	0	0	2
Level 3	2	3	1	0	1	1	5	3	2	0
Level 4	0	2	0	0	0	0	0	0	0	1
Level 6	8	4	14	4	7	4	7	3	5	7
Level 7	1	4	4	1	0	0	0	1	0	1
Sub-total	12	15	21	11	15	9	24	9	10	16

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Table 12-5. Unusual Incident Type by Inmate OMH Level and SMI Status: 2007 - 2016

Incident Type & OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Inmate Disturbance										
Level 2	1	0	0	0	0	0	0	0	0	0
Level 3	3	0	0	0	0	0	0	0	0	0
Level 4	2	0	0	0	0	0	0	0	0	0
Level 6	36	0	0	0	0	0	0	0	0	0
Level 7	5	0	0	0	0	0	0	0	0	0
Sub-total	47	0	0	0	0	0	0	0	0	0
Mass Demonstration										
Level 1	0	0	0	0	0	0	0	0	0	1
Level 1S	0	0	0	1	0	0	0	0	0	0
Level 2	0	0	0	1	0	0	0	0	0	0
Level 3	0	0	0	2	0	0	0	0	0	0
Level 6	0	0	0	8	37	0	0	7	0	14
Level 7	0	0	0	0	0	0	0	0	0	3
Sub-total	0	0	0	12	37	0	0	7	0	18
Property Destroyed										
Level 1	0	1	0	0	0	0	0	0	0	0
Level 1S	0	1	0	1	4	2	0	2	0	0
Level 2	2	0	0	0	1	1	0	1	0	0
Level 2S	1	1	0	1	0	0	0	0	0	0
Level 3	0	1	0	0	1	1	0	0	0	1
Level 4	0	0	1	0	0	0	0	0	0	0
Level 6	2	0	4	3	3	5	0	0	0	0
Level 7	0	0	2	0	1	0	0	0	0	0
Sub-total	5	4	7	5	10	9	0	3	0	1
Property Lost or Stolen										
Level 1	0	0	0	1	0	1	0	0	0	0
Level 1S	0	0	0	12	0	3	1	2	0	1
Level 2	0	1	0	9	1	2	5	1	1	0
Level 2S	0	0	0	9	0	0	2	1	0	0
Level 3	1	1	3	4	3	4	2	2	4	2
Level 4	2	3	1	2	1	0	0	1	0	0
Level 6	18	22	37	62	20	22	22	19	14	18
Level 7	22	24	11	17	3	9	2	0	2	0
Sub-total	43	51	52	116	28	41	34	26	21	21
Self-Inflicted Injury										
Level 1	27	13	2	2	10	13	9	14	10	8
Level 1S	10	22	30	25	29	32	27	51	29	47
Level 2	14	3	3	7	6	19	16	6	5	6
Level 2S	3	4	4	6	4	8	2	1	2	0
Level 3	15	13	12	6	14	27	12	5	10	6
Level 4	4	4	3	2	4	3	1	3	3	2
Level 6	10	16	14	9	13	12	7	7	12	7
Level 7	3	2	2	0	0	0	0	0	0	0
Sub-total	86	77	70	57	80	114	74	87	71	76
Sexual Misconduct										
Level 1	2	3	0	0	1	1	2	3	2	1
Level 1S	2	2	5	5	3	3	7	7	6	3
Level 2	5	1	3	7	11	7	14	11	15	2
Level 2S	1	10	4	4	2	2	5	3	3	1
Level 3	12	13	6	19	5	6	5	10	6	7
Level 4	8	4	0	0	1	2	0	2	1	0
Level 6	48	36	47	66	58	53	51	47	59	36
Level 7	34	13	13	3	6	1	0	1	0	1
Unknown	0	0	0	0	1	0	0	0	0	0
Sub-total	112	82	78	104	88	75	84	84	92	51

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Table 12-5. Unusual Incident Type by Inmate OMH Level and SMI Status: 2007 - 2016

Incident Type & OMH Level	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Suicide Attempt										
Level 1	6	4	13	2	17	18	14	19	13	19
Level 1S	3	27	44	46	40	45	46	55	37	44
Level 2	4	10	16	11	13	16	17	23	21	26
Level 2S	1	7	6	7	3	8	14	1	0	2
Level 3	14	18	23	19	19	24	20	20	19	32
Level 4	6	1	9	5	6	2	7	5	8	13
Level 6	17	15	37	26	34	26	23	45	35	44
Level 7	2	5	4	1	0	1	2	0	1	0
Unknown	1	1	0	0	0	1	0	0	0	0
Sub-total	54	88	152	117	132	141	143	168	134	180
Temp Release Related										
Level 2	3	0	0	1	0	0	0	0	0	0
Level 2S	0	0	1	0	2	0	0	0	0	0
Level 3	4	1	4	2	2	2	2	0	2	1
Level 4	5	2	2	1	1	0	1	1	0	0
Level 6	25	23	24	55	53	27	24	23	15	20
Level 7	119	99	85	67	13	6	1	0	0	1
Unknown	0	0	1	0	0	1	0	0	0	0
Sub-total	156	125	117	126	71	36	28	24	17	22
Staff Use of Weapons										
Level 1	20	5	7	5	1	4	14	10	5	25
Level 1S	10	28	18	26	37	27	37	33	37	80
Level 2	5	10	6	10	8	8	10	18	19	35
Level 2S	5	8	5	9	11	9	8	5	2	2
Level 3	18	17	12	18	16	13	23	15	28	51
Level 4	22	11	9	9	3	4	6	6	12	24
Level 6	128	103	127	106	127	113	214	134	248	273
Level 7	16	11	10	5	7	1	1	3	4	1
Unknown	1	0	0	0	1	0	0	0	0	0
Sub-total	225	193	194	188	211	179	313	224	355	491
Other Incidents										
Level 1	17	5	7	3	4	10	9	18	18	12
Level 1S	1	19	20	19	36	34	34	51	53	54
Level 2	7	5	7	4	6	3	19	18	14	31
Level 2S	2	13	4	11	7	6	6	1	3	5
Level 3	23	15	11	12	18	17	17	13	19	30
Level 4	10	18	3	6	2	7	13	3	9	12
Level 6	51	98	81	76	64	58	77	85	117	73
Level 7	15	12	22	8	4	0	3	1	1	3
Unknown	1	1	0	0	1	0	1	0	0	0
Sub-total	127	186	155	139	142	135	179	190	234	220
Grand Total	5,429	5,431	5,177	5,381	5,485	5,557	6,523	7,291	9,000	9,084

Note: This table only includes inmates identified as perpetrators, participants, or suspects.

Appendix

Table A-1 displays information on prisons that have closed in the ten-year time period from 2007 to 2016, including the security level of the facility and the date it was closed. Table A-2 contains facility inmate population information for 2007-2016.

Table A-1. Prison Closures 2007-2016

Year	Facility	Security Level	Closure Date
2007	Marcy SHU200	SHU	12/26/07
2009	Camp Gabriels	Minimum Camp	07/15/09
	Camp Pharsalia	Minimum Camp	07/15/09
	Camp McGregor	Minimum Camp	07/15/09
	Lakeview Annex**	Medium	10/13/09
	Washington Annex**	Medium	10/13/09
	Sullivan Annex**	Maximum	10/13/09
	Green Haven Annex**	Maximum	10/13/09
	Eastern Annex**	Maximum	10/13/09
	Groveland Annex**	Medium	10/13/09
2010	Oneida PC**	Medium	06/02/10
	Collins PC**	Medium	06/14/10
2011	Lyon Mountain	Minimum	01/03/11
	Butler Min	Minimum	01/04/10
	Mid-State Min*	Minimum	01/14/11
	Mid-Orange	Medium	10/03/11
	Oneida	Medium	10/03/11
	Summit	Minimum	10/03/11
	Summit General	Minimum	10/03/11
	Buffalo	Minimum WR	10/03/11
	Fulton	Minimum WR	10/03/11
	Camp Georgetown	Minimum Camp	10/03/11
Arthurkill	Medium	12/01/11	
2013	Bayview	Fem. Medium	09/05/13
	Bayview Work Release	Fem. Minimum WR	09/05/13
	Beacon	Fem. Minimum	09/05/13
2014	Butler Fdr	Medium	10/22/14
	Chateaugay RPV	Medium	10/23/14
	Mt. McGregor	Medium	10/23/14
	Monterey SICF	Minimum Shock	10/23/14

*Mid-State Minimum closed in January 2011, but did not have any inmates under custody as of December 2008.

**In 2009-2010, there were several sub-facilities that closed while the main facility remained open.

Table A-2. Correctional Facility Average Inmate Populations: 2007 - 2016

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Maximum										
Attica	2,174	2,152	2,135	2,138	2,144	2,132	2,092	2,098	2,051	1,992
Auburn	1,766	1,738	1,719	1,724	1,725	1,671	1,653	1,659	1,638	1,560
Bedford Hills	805	769	764	737	742	741	784	747	751	741
Clinton	2,860	2,871	2,840	2,779	2,790	2,794	2,778	2,713	2,624	2,643
Coxsackie	976	982	972	974	963	948	879	873	812	860
Downstate	1,229	1,185	1,204	1,163	1,191	1,168	1,198	1,182	1,155	1,156
Eastern	1,181	1,182	1,102	1,007	1,003	995	924	907	909	905
Elmira	1,828	1,780	1,811	1,802	1,788	1,757	1,744	1,671	1,599	1,594
Five Points	1,391	1,345	1,345	1,343	1,339	1,323	1,329	1,297	1,223	1,269
Great Meadow	1,624	1,620	1,614	1,609	1,597	1,601	1,585	1,497	1,490	1,452
Green Haven	2,152	2,055	2,005	2,052	2,063	2,010	1,942	1,948	1,957	1,955
Shawangunk	544	539	542	538	538	531	505	502	503	502
Sing Sing	1,752	1,767	1,757	1,724	1,732	1,634	1,558	1,613	1,606	1,580
Southport	884	889	879	868	879	883	751	683	679	617
Sullivan	489	489	484	485	482	478	458	476	496	475
Upstate	1,279	1,291	1,283	1,278	1,290	1,278	1,130	1,097	1,142	1,134
Wende	850	843	836	831	829	809	795	798	757	761
Sub-total	23,784	23,497	23,292	23,052	23,095	22,753	22,105	21,761	21,392	21,196
Special Housing SHU200										
Cayuga SHU200	178	183	182	176	182	182	153	141	143	138
Collins SHU200	172	181	172	169	172	178	155	158	163	164
Fishkill SHU200	176	186	177	167	172	173	138	141	150	151
Gouverneur SHU200	176	186	185	172	181	188	171	181	184	167
Greene SHU200	180	184	180	170	172	183	177	163	162	147
Lakeview SHU200	182	191	185	178	185	185	148	150	156	154
Marcy SHU200	134	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State SHU200	174	176	178	165	174	181	162	163	171	160
Orleans SHU200	142	149	160	157	163	163	134	141	152	150
Sub-total	1,514	1,436	1,419	1,354	1,401	1,433	1,238	1,238	1,281	1,231
Residential Mental Health Treatment Unit										
Attica STP	22	30	27	19	13	N/A	N/A	N/A	N/A	N/A
Five Points STP	21	38	41	32	13	0	22	N/A	N/A	N/A
Green Haven STP	N/A	10	19	14	N/A	N/A	N/A	N/A	N/A	N/A
Attica RMHU	N/A	N/A	N/A	N/A	4	8	8	7	7	8
Five Points RMHU	N/A	N/A	N/A	N/A	22	51	51	52	52	55
Marcy RMHU	N/A	N/A	1	57	81	78	82	81	86	93
Bedford Hills TBU	8	13	12	14	13	12	8	7	8	9
Great Meadow BHU	34	34	32	30	30	27	24	27	31	32
Sullivan BHU	51	44	23	19	38	23	8	0	N/A	N/A
Sub-total	136	169	155	185	214	199	203	174	184	197
Regional Medical Unit										
Bedford Hills RMU	21	20	19	14	14	14	15	15	16	16
Coxsackie RMU	58	58	57	57	58	58	58	57	58	59
Walsh RMU	111	110	110	109	111	111	110	110	116	116
Wende RMU	78	79	77	77	78	79	79	78	79	78
Sub-total	268	267	263	257	261	262	262	260	269	269
Total Maximum Security	25,702	25,369	25,129	24,848	24,971	24,647	23,808	23,433	23,126	22,893

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Table A-2. Correctional Facility Average Inmate Populations: 2007 - 2016

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Medium										
Adirondack	552	509	452	417	409	382	398	393	378	383
Albion	1,108	1,055	987	888	833	870	1,035	1,101	1,101	1,065
Altona	474	464	474	401	437	453	475	473	451	455
Arthur Kill	947	954	951	916	544	N/A	N/A	N/A	N/A	N/A
Bare Hill	1,710	1,691	1,691	1,589	1,630	1,647	1,633	1,663	1,612	1,481
Bayview	201	189	171	157	156	140	3	0	N/A	N/A
Butler	205	217	186	177	195	213	171	15	N/A	N/A
Cape Vincent	863	856	862	801	808	859	864	863	851	849
Cayuga	864	843	863	815	823	861	826	858	847	831
Chateaugay	211	205	201	221	221	219	197	11	N/A	N/A
Collins	940	903	808	786	797	885	895	895	878	873
Fishkill	1,433	1,423	1,440	1,447	1,429	1,438	1,429	1,423	1,403	1,400
Franklin	1,717	1,700	1,697	1,587	1,635	1,704	1,652	1,645	1,559	1,430
Gouverneur	866	849	861	766	764	799	808	856	851	850
Gowanda	1,741	1,713	1,627	1,572	1,526	1,490	1,486	1,535	1,542	1,531
Greene	1,615	1,598	1,598	1,601	1,572	1,599	1,601	1,575	1,521	1,506
Groveland	1,238	1,181	1,028	994	982	1,071	1,079	1,076	1,058	1,041
Hale Creek	461	460	456	395	281	333	306	283	352	344
Hudson	416	371	268	273	291	324	322	313	303	98
Lakeview Female Transit	207	66	4	4	4	6	6	6	4	5
Livingston	867	853	863	786	766	844	813	857	846	842
Marcy	1,103	1,104	1,096	1,051	991	1,092	1,095	1,080	1,051	1,039
Mid-Orange	723	707	647	637	329	N/A	N/A	N/A	N/A	N/A
Midstate	1,298	1,266	1,271	1,280	1,313	1,425	1,387	1,421	1,390	1,398
Mohawk	1,290	1,242	1,104	1,048	1,110	1,304	1,299	1,289	1,280	1,283
Mt. McGregor	534	490	491	424	416	438	381	19	N/A	N/A
Ogdensburg	591	540	466	388	363	368	378	400	398	411
Oneida	1,177	1,136	1,044	945	555	N/A	N/A	N/A	N/A	N/A
Orleans	857	850	844	794	786	759	740	790	779	767
Otisville	601	581	486	484	483	580	582	577	567	574
Riverview	868	854	866	797	817	861	809	853	847	852
Taconic	354	340	327	288	294	310	347	354	354	341
Ulster	811	627	723	703	784	734	811	798	704	776
Wallkill	597	595	563	572	527	568	577	576	552	530
Washington	1,058	1,010	873	766	753	760	741	825	837	840
Watertown	639	609	597	517	534	557	545	532	528	529
Woodbourne	791	790	771	782	785	823	820	828	803	800
Wyoming	1,699	1,673	1,658	1,576	1,614	1,664	1,642	1,682	1,674	1,570
Sub-total	33,627	32,514	31,315	29,645	28,557	28,380	28,153	27,865	27,321	26,694
Medium RMU										
Fishkill RMU	29	29	27	28	28	29	28	29	29	30
Total Medium Security	33,656	32,543	31,342	29,673	28,585	28,409	28,181	27,894	27,350	26,724

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Table A-2. Correctional Facility Average Inmate Populations: 2007 - 2016

Correctional Facility	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Minimum Shock										
Monterey Shock	211	152	131	141	213	203	145	2	N/A	N/A
Moriah Shock	182	172	171	148	191	181	191	248	202	190
Lakeview Shock	587	541	546	540	598	564	616	594	552	505
Summit Shock	122	115	126	121	77	N/A	N/A	N/A	N/A	N/A
Sub-total	1,102	980	974	950	1,079	948	952	844	754	695
Minimum Security Other										
Beacon	240	219	201	165	135	110	29	N/A	N/A	N/A
Buffalo	128	132	125	121	59	0	0	0	N/A	N/A
Butler	232	208	101	55	N/A	N/A	N/A	N/A	N/A	N/A
Edgecombe	N/A	8	17	18	18	17	17	16	15	16
Fulton	74	140	144	132	60	0	0	0	N/A	N/A
Hudson Industrial Training	40	38	33	30	35	28	25	27	26	42
Lakeview Male Transit	169	132	141	144	157	111	128	124	119	106
Lincoln	151	196	206	198	161	135	110	103	112	137
Lyon Mountain	155	146	136	73	N/A	N/A	N/A	N/A	N/A	N/A
Mid-State Min	168	137	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Queensboro	412	408	403	407	394	291	345	356	297	264
Rochester	52	59	55	64	67	69	57	64	65	68
Summit General	45	39	33	6	N/A	N/A	N/A	N/A	N/A	N/A
Camp Gabriels	194	170	36	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Georgetown	188	169	135	174	78	N/A	N/A	N/A	N/A	N/A
Camp McGregor	157	124	22	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Camp Pharsalia	161	147	34	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Sub-total	2,566	2,472	1,822	1,587	1,164	761	711	690	634	633
Minimum Work Release										
Albion Work Release	52	48	41	38	18	15	16	20	22	33
Edgecombe Work Release	126	22	0	0	0	0	10	22	21	34
Fishkill Work Release	64	55	53	60	40	30	31	33	49	46
Hale Creek Work Release	N/A	N/A	N/A	N/A	2	6	5	5	7	5
Hudson Work Release	53	57	53	59	42	32	34	26	34	42
Sub-Total	295	182	147	157	102	83	96	106	133	160
Total Minimum Security	3,963	3,634	2,943	2,694	2,345	1,792	1,759	1,640	1,521	1,488
Grand Total	63,321	61,546	59,414	57,215	55,901	54,848	53,748	52,967	51,997	51,105

This report was prepared by:

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