

DOCCS FACT SHEET

November 1, 2022

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 31,213 incarcerated individuals and 26,909 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*							
2017 2018 2019 2020 2021							
Assaults on Staff Statewide**	799	972	1,033	1,047	1,177	1,231	
Assaults on Incarcerated Individuals					.,		
Statewide**	1,224	1,165	1,265	1,205	1,108	1,207	

*Excludes Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2017-2022								
	2017	2018	2019	2020	2021	2022		
Assaults on Staff Statewide	799	972	1,033	1,047	1,177	1,231		
Maximum-Security*	567	722	769	774	885	858		
Medium-Security	214	237	249	262	284	352		

Excludes Edgecombe and Parole Diversion Programs.

*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 3 rd Quarter Assaults								
	Jul	Aug	Sept		Total			
Assault on Incarcerated Individual	130	131	129		390			
Assault on Staff	140	152	117		409			
Total	270	283	246		799			

		egree of Inj						
Incident Type: Staff Injured during an Incarcerated Individual on Incarcerated Individual Assault								
	Aug	Sep	Oct	Total				
No Injury	445	456	439	1,340				
Minor*	9	11	10	39				
Moderate**	0	0	1	1				
Serious***	1	0	0	1				
Severe****	0	0	0	0				
Total	455	467	450	1,372				
Incident Type: Staf	f Injured duri	ng an Incarce	erated Individ	ual on Staff Assault				
	Aug	Sep	Oct	Total				
No Injury	585	489	510	1,638				
Minor*	238	190	149	616				
Moderate**	11	7	13	26				
Serious***	5	7	3	10				
Severe****	0	0	0	0				
Total	859	693	675	2,207				

Note: Excludes Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents.

Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 57.2 percent, from a high of 72,649 incarcerated individuals to 31,099 (10/1/22).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.

• DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

	D	OCCS Sec	urity Staf	f and Incarce	rated Pop	ulation	
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population	Numeric Change	Percent Change	Staffing Ratio Incarcerated Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8

Staffing and Population Differences								
	12/31/99	11/1/22	Numeric Difference	Percent Change				
Correction Officers Sergeants Lieutenants	22,112	16,395	5,717	-25.8%				
Incarcerated Individuals	72,649	31,213	41,436	-57.0%				

	DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff													
	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022
Council 82													-	
Lieutenants	526	521	458	483	482	472	475	471	480	478	470	436	413	414
NYSCOPBA														
Sergeants	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,024
Officers	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,957
Subtotal	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,002	15,981
Total	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,415	16,395

N	Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities November 1 st of Selected Years								
	2012				2017			2022	
	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%
Maximum Facilities	19,064	23,594	80.8%	17,247	21,258	81.1%	12,334	14,004	88.1%
Medium Facilities	15,089	27,342	55.2%	13,719	24,832	55.2%	9,894	15,147	65.3%

Note: This table includes incarcerated individuals at the following parole program facilities: Edgecombe, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business							
Inmate Status	8/1/2022	9/1/2022	10/1/2022	11/1/2022			
SHU Disciplinary Sanction	394	430	450	356			
KEEPLOCK Disciplinary Sanction	0	0	0	0			
Administrative Segregation	0	0	0	0			
Involuntary Protective Custody	0	0	0	0			
Pending Disciplinary Hearing	94	109	101	96			
Pending Movement From Unit	2	21	0	0			
Pending Investigation	0	0	0	1			
Special Watch	0	0	0	0			
Voluntary Protective Custody	0	0	0	0			
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	490	540	551	453			

Statewide Incarcerated Individuals Convicted of a Violent Felony Offense							
End of Year	2017	2018	2019	2020	2021	2022 YTD	
Violent Offenders	32,236	31,093	29,623	25,193	22,706	23,139	

Note: This table includes individuals at the following parole program facilities: Edgecombe Residential Treatment, and Orleans and Hudson Parole Diversion Program

2016 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Returr New Com		Return Type Parole Violator		
2016 Releases	21,224	8,627	40.6%	1,789	8.4%	6,838	32.2%	
Parole	8,769	4,411	50.3%	658	7.5%	3,753	42.8%	
Conditional Release	10,220	3,800	37.2%	715	7.0%	3,085	30.2%	
Maximum Expiration	2,235	416	18.6%	416	18.6%	N/A	N/A	

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated

individual's sanctioned release to the community. **Note**: DOCCS followed a 2015 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Community	Community Supervision Staffing and Cases by Region/Bureau*							
(start of month dat	a - excludes staff ar	nd parolees in the revocation process)						
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers						
Bronx Region								
Bureau I	582	15						
Bureau II	526	20						
Bureau III	314	13						
Bureau IV	367	12						
Bureau V	432	15						
Brooklyn Region								
Bureau I	574	24						
Bureau II	367	19						
Bureau III	583	20						
Bureau IV	652	23						
Central New York								
Northeast	404	19						
Plattsburgh Sub	244	8						
Syracuse Belt	383	16						
Syracuse	654	25						
Utica	679	24						
Watertown Sub	251	7						
Hudson Valley Region								
New Rochelle	549	15						
Peekskill	550	16						
Albany	683	24						
Schenectady	663	21						
Poughkeepsie	564	20						
Manhattan/Staten Island								
Manhattan Bureau II	360	14						
Manhattan Bureau III	572	12						
Manhattan Bureau IV	545	13						
Manhattan Bureau VI	544	22						
Staten Island	316	10						

Queens/Long Island		
Queens Bureau I	324	8
Queens Bureau II	611	17
Queens Bureau III	666	15
Long Island SOU	455	14
Suffolk	489	14
Nassau	409	14
Western Region		
Buffalo Metro	670	18
Niagara Frontier	320	11
Elmira	446	15
Binghamton Sub	366	10
Rochester Metro	594	20
Rochester Belt	445	16
Rochester SOU	563	27
Southern Tier Sub	467	13

*Average case load size cannot be determined using this data